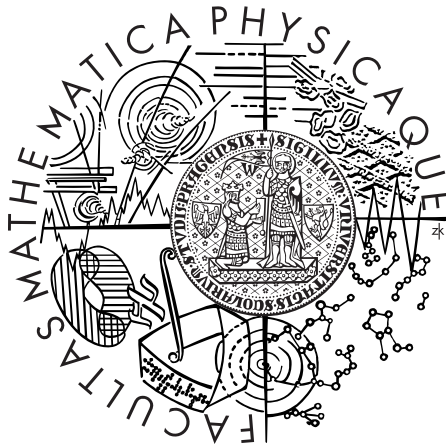


Charles University in Prague  
Faculty of Mathematics and Physics

## Master Thesis



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# Extending Partial Representations of Graphs

Department of Applied Mathematics

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I hereby declare that I have written all text of this thesis on my own. I would like to thank coauthors of the above papers for fruitful discussions and many suggestions concerning problems and writing style. In order to indicate which results were obtained by myself, I shall describe chronologically how the results were discovered.

First of all, I would like to thank Prof. Jan Kratochvíl for supervising both my bachelor's thesis and my master's thesis. The origin of the partial representation extension problem is in my bachelor's thesis. We started working on interval graphs and later considered the problem for other graph classes. I would like to thank Pavol Hell for suggesting a PQ-tree approach. This thesis shows progress on the problems in the last two years.

Based on my bachelor's thesis, we wrote a paper (with many modifications based on many suggestions of the coauthors) which was accepted for the TAMC 2011 conference in Tokyo. After my talk there, Yota Otachi and Toshiki Saitoh contacted us with an idea how to extend proper intervals in linear time, based on a paper of Deng, Hell, and Huang. Later, this led me to constructing a polynomial time algorithm (based on

LP) for extending unit interval graphs. By this, I solved an open problem from my bachelor's thesis.

Since I have presented our open problems at several open problem sessions, extension of unit interval graphs was independently solved by Ignaz Rutter. During GD 2011, I discussed together with Ignaz and Jan our approach and possible faster combinatorial algorithms avoiding LP, and thus we decided to write a future paper together. The faster algorithm itself and several structural properties of unit interval representations were developed during fruitful discussions with Maria Saumell and Tomáš Vyskočil.

During MCW 2011, I had fruitful discussions with Yota Otachi and Toshiki Saitoh. We improved the running time of the algorithm for extending interval graphs to linear time, and created the **NP**-completeness reductions for chordal graphs.

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I declare that I carried out this master thesis independently, and only with the cited sources, literature and other professional sources.

I understand that my work relates to the rights and obligations under the Act No. 121/2000 Coll., the Copyright Act, as amended, in particular the fact that the Charles University in Prague has the right to conclude a license agreement on the use of this work as a school work pursuant to Section 60 paragraph 1 of the Copyright Act.

Prague, August 2, 2012

Pavel Klavík

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**Název práce:** Rozšiřování částečných reprezentací grafů  
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**Klíčová slova:** průnikové grafy, rozpoznávání, složitost, algoritmy  
**Abstrakt:**

V této práci se zabýváme geometrickými průnikovými reprezentacemi grafů. Pro danou třídu se známý problém rozpoznávání ptá, jestli graf na vstupu náleží do této třídy. Studujeme zobecnění tohoto problému nazvané *rozšiřování částečných reprezentací*. Vstup je tvořen grafem spolu s částečnou reprezentací, jinými slovy část grafu je předkreslena. Problém se ptá, jestli je možné tuto částečnou reprezentaci rozšířit na reprezentaci celého grafu.

Tento problém studujeme pro třídy intervalových grafů, vlastních intervalových grafů, jednotkových intervalových grafů a chordálních grafů (ve formě reprezentací jako podstromy ve stromě). Popisujeme lineární algoritmy pro první dvě třídy a téměř kvadratický algoritmus pro jednotkové intervalové grafy. Pro chordální grafy uvažujeme různé verze problému a ukazujeme, že skoro všechny jsou **NP**-úplné.

Přestože třídy vlastních a jednotkových intervalových grafů jsou si rovny, problém rozšiřování částečných reprezentací je rozlišuje. Jednotkové intervalové grafy kladou dodatečné podmínky týkající se přesných pozic intervalů. V práci popisujeme novou strukturu jednotkových intervalových reprezentací, která umožňuje tyto dodatečné podmínky řešit.

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**Abstract:**

In this thesis, we study geometric intersection representations of graphs. For a fixed class, the well-known recognition problem asks whether a given graph belongs to this class. We study a generalization of this problem called *partial representation extension*. Its input consists of a graph with a partial representation, so a part of the graph is pre-drawn. The problem asks whether this partial representation can be extended to a representation of the entire graph.

We study this problem for classes of interval graphs, proper interval graphs, unit interval graphs and chordal graphs (in the setting of subtrees-in-tree representations). We give linear-time algorithms for the first two classes and an almost quadratic-time algorithm for unit interval graphs. For chordal graphs, we consider different versions of the problem and show that almost all cases are **NP**-complete.

Even though the classes of proper and unit interval graphs are known to be equal, the partial representation extension problem distinguishes them. For unit interval graphs, it poses additional restrictions concerning precise positions of intervals, and we describe a new structure of unit interval representations to deal with this.

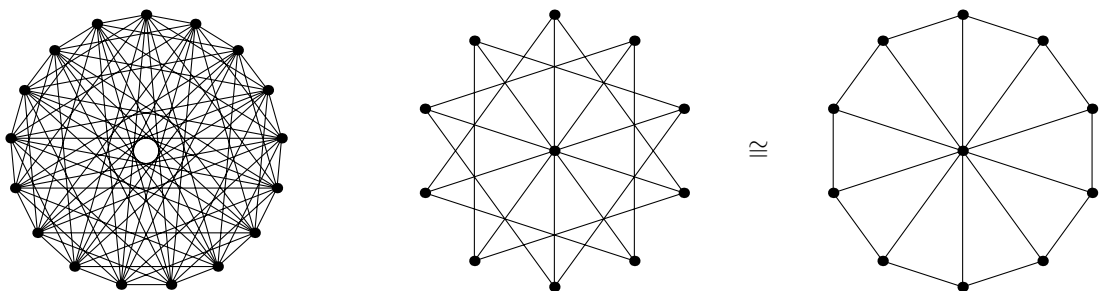


# 1

## Introduction

Geometric representations of graphs have been studied as a part of graph theory from its very beginning. Euler initiated the study of graphs by studying planar graphs in the setting of three-dimensional polytopes. (But this relation of planar graphs and polytopes was discovered much later by Cauchy. This is also the reason why vertices, edges and faces of graphs have geometrical names.) A theorem of Kuratowski [32] provides the first combinatorial characterization of planar graphs and can be considered as the start of modern graph theory. Nowadays, graphs and their drawing are deeply connected everywhere in graph theory.

One of the fundamental themes of mathematics is that one wants to understand the structure of big objects, and there are many methods designed to work with these big objects. If the object is highly symmetrical, one can try to factorize symmetrical parts to create a smaller ‘equivalent’ object which is easier to work with. Linear algebra methods can be applied to embed the object into a low dimension and to find fundamental directions in a linearized structure of the object. The technique we study here is finding a good visualization of the object which displays its structure well. For example, a good representation of a graph can visualize very well the information contained in this graph. Figure 1.1 shows examples of graph visualizations.



**Figure 1.1:** Three examples of graph visualizations. The structure of the graph on the left is not very understandable since the graph contains too many edges for this type of drawing. But even the drawing of the graph in the middle containing only a few edges is not very good. Furthermore, it is not obvious that the graph in the middle is isomorphic to the graph on the right, for which the structure of edges is completely clear.

**Intersection Representations.** There are graphs for which drawings as in Figure 1.1 are not convenient.<sup>1</sup> For example, a graph may contain many edges, which makes these drawings not very understandable even if the structure of the edges is very simple. Therefore it seems reasonable to study different types of drawings tailored to specific types of graphs.

In this thesis, we study intersection representations, which assign geometric objects to vertices of graphs and which encode edges by intersections of these objects. Formally, an intersection representation  $\mathcal{R}$  of  $G$  is a collection of sets  $\{R_v : v \in V(G)\}$  such that  $R_u \cap R_v \neq \emptyset$  if and only if  $uv \in E(G)$ . Since every graph can be represented in this way [34], to obtain interesting classes of graphs we restrict the sets  $R_v$ , for instance to some specific geometric objects. For example, interval graphs have intersection representations in which every set  $R_v$  is a closed interval of the real line.

Classical examples of intersection-defined classes include interval graphs, circle graphs, permutation graphs, string graphs, convex graphs, and function graphs. As can be seen from the three books [17, 36, 44], geometric intersection graphs have been intensively studied for many reasons. First of all, graphs of these types naturally appear in applications, and sometimes an application directly gives an intersection representation. Also, many hard combinatorial problems can be solved very efficiently on these intersection-defined classes of graphs. Moreover, there are many interesting theoretical results connecting these classes to each other and to the rest of the graph theory.

### 1.1 The Partial Representation Extension Problem

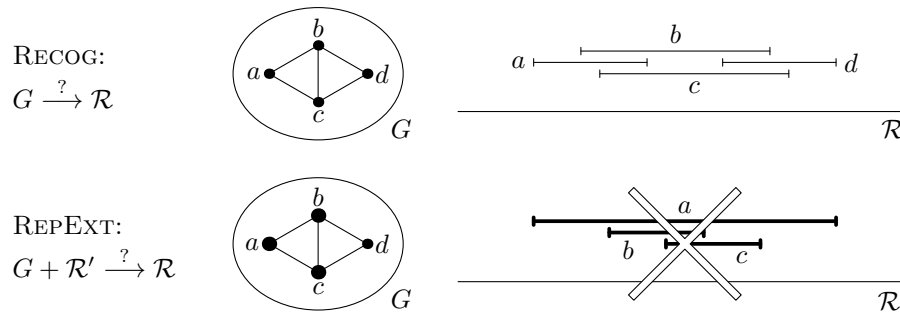
When one considers a specific class of intersection-defined graphs, it is very natural to study a problem called *recognition*. The recognition problem of a class  $\mathcal{C}$ , denoted by  $\text{RECOG}(\mathcal{C})$ , asks whether an input graph has a representation  $\mathcal{R}$  belonging to this class  $\mathcal{C}$ . The study of recognition has many applications since some problems are easily solvable if we know a representation of the input graph.

For most of the intersection-defined classes, the complexity of their recognition is well-known and deeply understood. For example, interval graphs can be recognized in linear time [6, 9], while recognition of string graphs is **NP**-complete [30, 42]. (We note that belonging to **NP** is non-trivial since there are examples of string graphs requiring an exponential number of crossing points in every representation [31].) Our goal is to study the easily recognizable classes and explore if the recognition problem becomes harder when extra conditions are given with the input.

**Partial Representation Extension.** We study the following question of partial representation extension for intersection-defined classes of graphs. A *partial representation*  $\mathcal{R}'$  of  $G$  is a representation of an induced subgraph  $G'$ . The vertices of  $G'$  are called *pre-drawn*. A representation  $\mathcal{R}$  of the entire graph  $G$  extends the partial representation  $\mathcal{R}'$  if  $R'_v = R_v$  for every  $v \in V(G')$ . The partial representation extension for intersection-defined classes, first considered in [29], is the following decision problem:

---

<sup>1</sup>In these drawing, vertices are represented by points in the plane, and edges are represented by continuous curves connecting pairs of points.



**Figure 1.2:** The graph  $G$  is an interval graph, but the partial representation  $\mathcal{R}'$  is not extendible.

**Problem:** REPEXT( $\mathcal{C}$ ) (Partial Representation Extension of  $\mathcal{C}$ )  
**Input:** A graph  $G$  and a partial representation  $\mathcal{R}'$ .  
**Question:** Does  $G$  have a representation  $\mathcal{R}$  extending  $\mathcal{R}'$ ?

Figure 1.2 compares the recognition problem with the partial representation extension problem. In this thesis we investigate the complexity of partial representation extension for interval graphs, proper interval graphs, unit interval graphs, and chordal graphs.

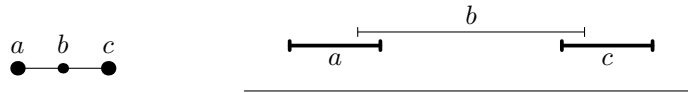
Concerning other results for REPEXT, the paper [25] studies partial representation extension of permutation and function graphs. It shows that both classes are extendible in polynomial time, and that the problem becomes **NP**-complete if the partial representation specifies functions only partially. Bläsius and Rutter [5] give another linear-time algorithm for interval graphs extension, even though the paper itself deals with a more general problem and the algorithm is quite involved.

## 1.2 Classes under Consideration

This thesis has three major chapters, which are mostly independent. In each chapter we deal with the complexity of the partial representation extension problem for different classes using different techniques. We now describe in detail the classes we shall study.

**Interval Graphs.** In Chapter 2, we deal with *interval graphs*, which are one of the oldest and most studied classes of graphs, introduced by Hajos [19]. A graph is an interval graph if it has a representation  $\mathcal{R}$  consisting of closed intervals on the real line, i.e., each vertex  $v$  is represented by a closed interval  $R_v$  in such a way that  $R_u \cap R_v \neq \emptyset$  if and only if  $uv \in E(G)$ . We denote the class of interval graphs by **INT**.

Interval graphs have many useful theoretical properties, for example they are perfect and related to path-width decomposition. Most hard combinatorial problems are polynomially solvable for interval graphs: maximum clique,  $k$ -coloring, maximum independent set, etc. Also, interval graphs naturally appear in many applications concerning biology, psychology, time scheduling, and archaeology; see for example [40, 45, 3].



**Figure 1.3:** A partial representation of a path  $P_2$  representing end-points far apart is extendible only proper intervals, but not by unit intervals.

**Proper and Unit Interval Graphs.** Chapter 3 deals with two famous subclasses of interval graphs. An interval representation is called *proper* if no interval is a proper subset of another interval (meaning  $R_u \subseteq R_v$  implies  $R_u = R_v$ ). An interval representation is called *unit* if the length of each interval is one. The class of *proper interval graphs* (**PROPER INT**) consists of all interval graphs having proper interval representations, whereas the class of *unit interval graphs* (**UNIT INT**) consists of all interval graphs having unit interval representations.

Several linear-time recognition algorithms are known for these classes [33, 20, 8, 7]. Also, solving many problems is even easier in the case of these classes. A famous result of Roberts [39] states that these two classes are equal:

$$\text{PROPER INT} = \text{UNIT INT.} \tag{1.1}$$

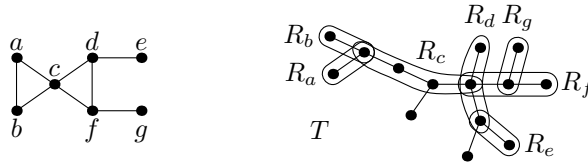
It is easy to see that **UNIT INT**  $\subseteq$  **PROPER INT**. To obtain the other inclusion, we modify a proper interval representation by scaling and shifting.

Most papers concentrate only on proper interval graphs which have an easier combinatorial structure. To the best of our knowledge, there are only a few papers working specifically with unit interval representations, and they either show different proofs of (1.1), or give algorithms constructing unit interval representations directly. For example a very nice paper of Corneil et al. [8] describes a linear-time algorithm constructing a unit interval representation in a grid of size  $\frac{1}{n}$  (so each endpoint has the form  $\frac{k}{n}$ ), where  $n$  is the number of vertices.

At first, this ignoring of specific properties of unit interval representations does not seem like a big problem. For example, when one works with a problem like vertex coloring or hamiltonicity, exactly the same situation is obtained for unit interval graphs as for proper interval graphs. But when one studies representations restricted in some way, this equivalence does not hold anymore. Indeed, the equivalence is just stating that whenever a graph has a proper interval representation, it also has some other unit interval representation.

In the case of partial representation extension, we need to distinguish these classes; see Figure 1.3. Partial unit interval representations put additional restrictions which we need to deal with, and therefore we develop some additional structure. There is a good lesson one can take out of this: *Whenever there are two equivalent objects, one needs to know precisely under which conditions this equivalence holds.*

**Chordal Graphs.** In Chapter 4, we deal with *chordal graphs* (also called *triangulated graphs*). One definition of this class states that a graph is chordal if it does not contain an induced cycle of length four or more, i.e., each “long” cycle is triangulated. An equivalent definition, which we use in this thesis, states that chordal graphs are intersection graphs of subtrees of a tree. More precisely, for every chordal graph  $G$



**Figure 1.4:** An example of a chordal graph with one of its representations.

there exists a tree  $T$  and a collection  $\mathcal{R} = \{R_v : v \in V(G)\}$  of subtrees of  $T$  such that  $R_u \cap R_v \neq \emptyset$  if and only if  $uv \in E(G)$ . For an example of a chordal graph and one of its intersection representations, see Figure 1.4.

The class of chordal graphs is well-studied and has many wonderful properties. Chordal graphs are closed under induced subgraphs and contain so called *perfect elimination schemes*, closely related to optimal reorderings of sparse matrices for Gaussian elimination. Also, chordal graphs are perfect and many hard combinatorial problems are easy to solve on chordal graphs: maximum clique, maximum independent set,  $k$ -coloring, etc. Chordal graphs can be recognized in time  $\mathcal{O}(n + m)$  [41].

When chordal graphs are viewed as *subtrees-in-tree graphs* (**T-in-T**), it is natural to consider two other possibilities: *subpaths-in-path graphs* (**P-in-P**) and *subpaths-in-tree graphs* (**P-in-T**) which are also called *path graphs*. For example the graph in Figure 1.4 is a path graph but not a subpath-in-path graph. In addition, we consider a proper version of subpath-in-path graphs (**PROPER P-in-P**) which are **P-in-P** graphs having a representation such that  $R_u \subseteq R_v$  implies  $R_u = R_v$ .<sup>2</sup>

It is easy to see that

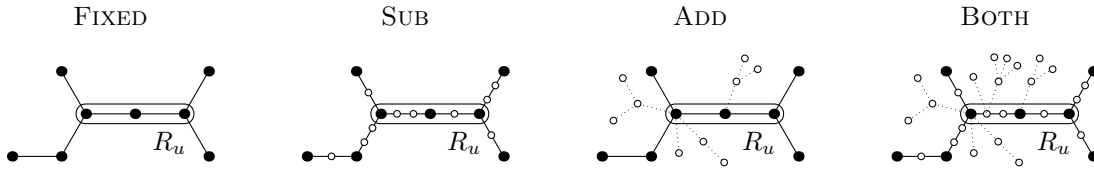
$$\text{PROPER P-in-P} = \text{PROPER INT} \quad \text{and} \quad \text{P-in-P} = \text{INT}.$$

These subpaths-in-path representations can be viewed as a discretization of representations on the real line and for a refined enough discretization we are able to represent every interval graph as a subpath-in-path graph. Therefore, the classes **PROPER P-in-P** and **P-in-P** are recognizable in linear time. The current fastest algorithm for path graph recognition runs in time  $\mathcal{O}(nm)$  [15, 43].

So why do we study these classes again separately in Chapter 4? The reason is that the partial representation extension problem puts additional constraints on representations which makes the subpath-in-path classes behave slightly differently compared to their real line counterparts. Actually, the  $\text{REPEXT}(\text{PROPER P-in-P})$  and  $\text{REPEXT}(\text{P-in-P})$  problems are much closer to  $\text{REPEXT}(\text{UNIT INT})$  and very similar techniques can be applied; all these problems involve construction of representations in limited spaces.

It is not immediately clear how to define partial representations of subtrees in a tree, and we analyse four different definitions and show how the complexity changes.

<sup>2</sup>One might also define proper versions of **P-in-T** and **T-in-T** classes but these classes are not normally considered. If an arbitrary tree  $T$  can be chosen, every representation  $\mathcal{R}$  can be modified to a proper representation by attaching leaves to the tree and enlarging all subtrees of  $\mathcal{R}$ . Of course, if  $T$  is restricted (for example by a partial representation), then this is not the case and some interesting differences may appear. These classes seem to be possible directions for future research.



**Figure 1.5:** Four possible modifications of  $T'$  with a single pre-drawn vertex  $u$ . The added branches in  $T$  are denoted by dots and new vertices of  $T$  are denoted by small circles.

A partial representation  $\mathcal{R}'$  specifies some tree  $T'$  and gives one subtree  $R_u \subseteq T'$  for each  $u \in V(G')$ . The representation  $\mathcal{R}$  extending  $\mathcal{R}'$  is placed in a tree  $T$  which is created by some modification of  $T'$ . We consider four possible modifications and get different extension problems:

- **FIXED** – the tree is not modified at all, i.e.,  $T = T'$ .
- **SUB** – the tree can only be subdivided, i.e.,  $T$  is a subdivision of  $T'$ .<sup>3</sup>
- **ADD** – we can add branches to the tree, i.e.,  $T'$  is a subgraph of  $T$ .
- **BOTH** – we can both add branches and subdivide, i.e., a subgraph of  $T$  is a subdivision of  $T'$ , or in other words  $T'$  is a topological minor of  $T$ .

For an illustration of the four modifications, see Figure 1.5.

We denote these problems by  $\text{REPEXT}(\mathcal{C}, \mathfrak{T})$  where  $\mathfrak{T}$  denotes the type. Constructing a representation in a specified tree  $T'$  is interesting even if no subtree is pre-drawn, i.e.,  $G'$  is empty; this problem is denoted by  $\text{RECOG}^*(\mathcal{C}, \mathfrak{T})$ . Clearly, hardness of the  $\text{RECOG}^*$  problem implies the hardness of the corresponding  $\text{REPEXT}$  problem.

### 1.3 Motivation

There are several strong reasons for studying partial representation extension problems which we illustrate in this thesis.

**Other Extension Problems.** The partial representation extension problems belong to a general paradigm of problems where a *partial solution* is given and the task is to extend it. Every *partial solution extension problem* is at least as hard as the original problem without any partial solution, which corresponds to a well-known saying in architecture that it is much easier to build a house from scratch. Sometimes, partial solution extension problems show unusual changes in their complexity. We give a few examples of these problems.

- Every  $k$ -regular bipartite graph is  $k$ -edge-colorable. But if some edges are pre-colored, the extension problem becomes **NP**-complete even for  $k = 3$  [11], and even when the input is restricted to planar graphs [35].

---

<sup>3</sup>Let  $xy \in E(T')$  be a subdivided edge (with a vertex  $z$  added in the middle). Then also pre-drawn subtrees containing both  $x$  and  $y$  are modified and contain  $z$  as well. So technically in the case of subdivision, it is not true that  $R'_u = R_u$  for every pre-drawn interval but from the topological point of view the partial representation is extended.

- A similar situation holds for vertex coloring. In general,  $k$ -coloring is **NP**-complete, but it is solvable efficiently for some simple classes like bipartite graphs or interval graphs. When some vertices are pre-colored, extension of these colorings is **NP**-complete even if the input graph is restricted to a bipartite graph [21] or an interval graph [4].
- Surprisingly, extension of partial representations of graphs has only recently been considered. For planar graphs, partial representation extension is solvable in linear time [2]. To complete the picture, every planar graph admits a straight-line drawing, but partial representation extension of such representations is **NP**-complete [37].

The paper [29] gives the first polynomial results concerning extension of partial representations of intersection-defined classes. A common yet quite surprising property of intersection representations is that for most of these classes the partial representation extension problem remains polynomially solvable, unlike the case of partial coloring extension problems.

**Better Understanding of Structure.** When one wants to solve a partial solution extension problem in polynomial time, a good understanding of the structure of possible solutions seems to be necessary. One can analyse a partial solution and find a solution extending this partial solution using this structure.

Consider again vertex  $k$ -coloring of bipartite graphs. For trivial reasons, every bipartite graph is  $k$ -colorable for  $k \geq 2$ . We know this independently of the structure of the graph. But without understanding the structure of possible vertex  $k$ -colorings, one can hardly solve the partial coloring extension problem. The result that this problem is **NP**-hard can be translated as that there is (very likely, unless  $\mathbf{P} = \mathbf{NP}$ ) no good structure of possible colorings one can use to solve the extension problem.

So a good property of partial solution extension problems is that if they are solvable in polynomial time, they force one to get a very good insight into the structure of all possible solutions. All algorithms for partial representation extension we know work on the following principle. One works with all possible solutions stored in some efficient way. Then some specific conditions are derived from the partial representation, and tested efficiently for all possible solutions. If some solution satisfies the derived conditions, it can be used as a representation extending the partial representation. If no solution satisfies the conditions, the partial representation is not extendible.

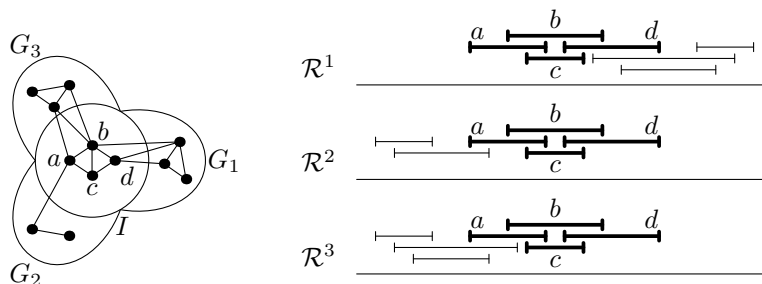
In many cases the structure which can be used is already known and well understood. For interval graphs, one can use a tree structure called PQ-trees which compactly represents all possible solutions. For proper interval graphs, we use the known ‘uniqueness’ of the solution. The paper [25] uses for permutation and function graphs a known relation of all solutions with the modular decomposition of the input graph. For unit interval graphs, no sufficient structure was known and we describe completely new structural properties in Sections 3.2.1, 3.3.2, 3.3.3, and 3.3.4. Lastly, our hardness results concerning chordal graph extension can be interpreted as saying that there is no strong structure one could use. In many cases the partial representation extension problems of chordal graphs (and their subclasses) can solve very hard problems concerning integer partitioning.

To recapitulate, we believe that the partial representation extension problem is interesting because when one wants to attack this problem, a new structure of all representations has to be discovered and used (if it is not already known). Motivations like these appear all over mathematics. Hilbert once stated about Fermat’s Last Theorem that a good mathematical problem is like a goose hatching golden eggs; when people try to attack it, new structures and properties are discovered and understood. Indeed, this specific case of unsolvability of diophantine equations is mostly interesting because by solving it many new techniques and structures in algebraic number theory were discovered. Of course, we are not claiming that the partial representation extension problem is comparable to Fermat’s Last Theorem, just that the property ‘to solve the problem, you need to get a better understanding of the structure’ is generally desirable.

**Applications and New Techniques.** Another nice property of partial representation extension problems is that algorithms or techniques developed for them can be applied to other problems. For example, using our structural properties one can easily answer the following algorithmic question: Given a unit interval graph, what is the length of a smallest possible segment of the real line such that the representation can be constructed within this segment? Additionally, the opposite question of what is the size of the widest possible unit interval representation of a given connected graph can be answered. For example, for a path having  $2n$  vertices, the length  $n + \varepsilon$  for any  $\varepsilon > 0$  is sufficient, and the widest representation has length  $2n$ . We are not aware of any previous structural or algorithmic results of this type.

Also, a recent related problem of simultaneous graph representations was introduced by Jampani and Lubiw [22, 23]. The input gives graphs  $G_1, \dots, G_k$  with common vertices  $I$ , meaning  $V(G_i) \cap V(G_j) = I$  for every  $i \neq j$ . The problem asks whether there exist representations  $\mathcal{R}^1, \dots, \mathcal{R}^k$  such that each  $\mathcal{R}^i$  represents  $G_i$  and the representations are equal on  $I$ , meaning  $R_v^i = R_v^j$  for every  $v \in I$  and  $i \neq j$ . We denote this problem by  $\text{SIMREP}(\mathcal{C})$ . For an example, see Figure 1.6.

Simultaneous representations are closely related to partial representations and we discuss this connection in the concluding chapter. Using this relation, the paper [5] solves  $\text{REPEXT}(\text{INT})$  in time  $\mathcal{O}(n+m)$  by solving another simultaneous representations problem. The simultaneous representations problem similarly distinguishes between proper and unit interval graphs. We already have some partial results (not written anywhere yet) which show that many of the techniques developed in Chapter 3 can



**Figure 1.6:** Simultaneous interval representations of three graphs  $G_1$ ,  $G_2$  and  $G_3$  with  $I = \{a, b, c, d\}$ .



be applied to the  $\text{SIMREP}(\text{PROPER INT})$  and  $\text{SIMREP}(\text{UNIT INT})$  problems, and that both problems can be solved in polynomial time if the graphs  $G_1, \dots, G_k$  are connected.

There is also another connection of the partial representation extension of interval graphs to much harder problems concerning Allen algebras, and again we discuss this connection in more details in the concluding chapter.

## 1.4 Results of This Thesis

We present a short list of the main results of this thesis, listed by chapter.

### 1.4.1 Results of Chapter 2

In this chapter, we deal with the partial representation extension problem for interval graphs. Our main result is:

**Theorem 1.1.** *The problem  $\text{REPEXT}(\text{INT})$  is solvable in time  $\mathcal{O}(n+m)$  where  $n$  is the number of vertices and  $m$  is the number of edges.*

We note that to get this running time we make some minor and very natural assumptions on the input, more details in Section 1.5. Our algorithm is based on a PQ-tree approach for recognition of interval graphs [6]. We derive a partial ordering from the partial representation and test whether this partial ordering is compatible with the PQ-tree. We show that the representation can be extended if and only if the partial ordering is compatible.

### 1.4.2 Results of Chapter 3

We deal with the classes of proper and unit interval graphs. For the first class, we prove:

**Theorem 1.2.** *The problem  $\text{REPEXT}(\text{PROPER INT})$  is solvable in time  $\mathcal{O}(n+m)$  where  $n$  is the number of vertices and  $m$  is the number of edges.*

Again, some natural assumptions on the input are necessary; see Section 1.5. Our approach is based on the ‘uniqueness’ of the left-to-right ordering of intervals in every representation. We give a simple characterization of all extendible instances, and the algorithm just needs to test this characterization in time  $\mathcal{O}(n+m)$ .

Next, we deal with the  $\text{REPEXT}(\text{UNIT INT})$  problem. Actually, we mostly deal with a more general problem called *bounded representation* of unit interval graphs,  $\text{BOUNDREP}$  for short:

- Problem:**  $\text{BOUNDREP}$  (Bounded Representation of  $\text{UNIT INT}$ )  
**Input:** A graph  $G$  and some lower/upper bounds for the positions of some intervals.  
**Question:** Does  $G$  have a unit interval representation which respects the bounds?

Unfortunately, this problem is hard in general.

**Theorem 1.3.** *The BOUNDREP problem is NP-complete.*

In each representation of a graph with  $c$  components, these components are ordered from left to right according to the position of their intervals; see Section 1.5 for details. Let us denote this ordering  $\blacktriangleleft$ , so  $C_1 \blacktriangleleft \dots \blacktriangleleft C_c$ . Also let  $D(r)$  denote the complexity of dividing numbers of length  $r$  in binary. Our computational model is the Turing machine and the best known algorithm achieves  $D(r) = \mathcal{O}(r \log r 2^{\log^* r})$  [13]. We get the following main result of this chapter:

**Theorem 1.4.** *The BOUNDREP problem with a prescribed ordering  $\blacktriangleleft$  can be solved in time  $\mathcal{O}(n^2 + nD(r))$ , where  $r$  is the size of the input.*

The algorithm makes  $\mathcal{O}(n^2)$  combinatorial iterations, each of them taking time  $\mathcal{O}(1)$ . The additional time  $\mathcal{O}(nD(r))$  is used for arithmetic operations with the bounds.

This result gives the following corollaries:

**Corollary 1.5.** *The BOUNDREP problem can be solved in time  $\mathcal{O}((n^2 + nD(r))c!)$ , where  $c$  is the number of components of  $G$  and  $r$  is the size of the input.*

**Corollary 1.6.** *The REPEXT(UNIT INT) problem can be solved in time  $\mathcal{O}(n^2 + nD(r))$ , where  $r$  is the size of the input.*

### 1.4.3 Results of Chapter 4

We consider the complexity of the RECOG\* and REPEXT problems for chordal graphs and its three subclasses and all four types. Our results are displayed in Figure 1.7.

- All NP-complete results are reduced from the 3-PARTITION problem. The reductions are very similar and the basic case is REPEXT(PROPER P-in-P, FIXED). Also, the reductions are very close to the reduction in Theorem 1.3.
- Polynomial cases for PROPER P-in-P and P-in-P are based on known algorithms for recognition and extension, and use similar techniques as the ones described in Chapters 2 and 3. Unlike for the real line the space in  $T$  is limited, and we adapt the algorithm for the specific problems.

Also, we study the parametrized complexity of these problems with respect to three parameters: The number of pre-drawn subtrees  $k$ , the number of components  $c$  and the size  $t$  of the tree  $T'$ . In some cases, the parametrization does not help and the problem is NP-complete even if the value of the parameter is zero or one. In other cases, the problems are fixed-parameter tractable (FPT), W[1]-hard or in XP.

The main result concerning parametrization is the following. The BINPACKING problem is a well-known problem concerning integer partitions; more details in Section 4.1.3. For two problems  $A$  and  $B$ , we denote by  $A \leq B$  a polynomial reduction and by  $A \leq_{\text{wtt}} B$  a weak truth-table reduction which means that more instances of the problem  $B$  can be used to solve  $A$ . (More precisely, this number of  $B$ -oracle questions used to solve  $A$  is bounded by a computable function.)

**Theorem 1.7.**  $\text{BINPACKING} \leq \text{REPEXT}(\text{PROPER INT}, \text{FIXED}) \leq_{\text{wtt}} \text{BINPACKING}$  where the weak truth-table reduction needs to solve  $2^k$  instances of BINPACKING.

		PROPER P-in-P	P-in-P	P-in-T	T-in-T
FIXED	RECOG*	$\mathcal{O}(n + m)$	$\mathcal{O}(n + m)$	NP-complete	NP-complete
	REPEXT	NP-complete	NP-complete	NP-complete	NP-complete
SUB	RECOG*	$\mathcal{O}(n + m)$ [33, 8]	$\mathcal{O}(n + m)$ [6, 9]	NP-complete	NP-complete
	REPEXT	$\mathcal{O}(n + m)$	$\mathcal{O}(n + m)$	NP-complete	NP-complete
ADD	RECOG*	$\mathcal{O}(n + m)$ [33, 8]	$\mathcal{O}(n + m)$ [6, 9]	$\mathcal{O}(nm)$ [15, 43]	$\mathcal{O}(n + m)$ [41]
	REPEXT	$\mathcal{O}(n + m)$	NP-complete	NP-complete	NP-complete
BOTH	RECOG*	$\mathcal{O}(n + m)$ [33, 8]	$\mathcal{O}(n + m)$ [6, 9]	$\mathcal{O}(nm)$ [15, 43]	$\mathcal{O}(n + m)$ [41]
	REPEXT	$\mathcal{O}(n + m)$	$\mathcal{O}(n + m)$ [5]	<b>open</b>	NP-complete

**Figure 1.7:** The complexity of the different problems for all four considered classes. Results without references are new results of this thesis.

## 1.5 Notation and Preliminaries

As usual, we reserve  $n$  for the number of vertices and  $m$  for the number of edges of the graph  $G$ . We denote the set of vertices by  $V(G)$  and the set of edges by  $E(G)$ . For a vertex  $v$ , we let  $N(v) = \{x, vx \in E(G)\}$  denote the open neighborhood of  $v$ , and  $N[v] = N(v) \cup \{v\}$  its closed neighborhood. We call maximal connected subgraphs of a graph its *components*.

For each interval  $R_u$ , we denote by  $\ell_u$  and  $r_u$  the positions of its left and right endpoints. For INT, PROPER INT and UNIT INT classes, these are positions on the real line. For PROPER P-in-P and P-in-P classes, these are vertices of the path  $T$ . For numbered vertices  $v_1, \dots, v_n$ , we abbreviate endpoints of  $v_i$  just as  $\ell_i$  and  $r_i$ .

**Topology of Components.** The following property works quite generally for many intersection-defined classes of graphs, and works for all classes studied in this thesis. The only condition required for this property is that the sets  $R_v$  are connected subsets of some topological space, for example  $\mathbb{R}^k$ . (As a negative example, this property does not hold for 2-interval graphs. A graph is a 2-interval graph if each  $R_v$  is union of two closed intervals.) Let  $C$  be a component of  $G$ . Then the property is that for each representation  $\mathcal{R}$ ,  $\bigcup_{v \in C} R_v$  is a connected subset of the space, and we call this subset *area of C*. Clearly, the areas of components are pairwise disjoint.

For classes of interval graphs (both on the real line, and as subpaths-in-path graphs), the areas of the components have to be ordered from left to right. Let us denote this ordering  $\blacktriangleleft$ , so we have  $C_1 \blacktriangleleft \dots \blacktriangleleft C_c$ . For different representations  $\mathcal{R}$ , we can have different orderings  $\blacktriangleleft$ . When there is no restriction on  $\mathcal{R}$ , it is possible to create a representation in every one of  $c!$  possible orderings.

**(Un)located Components.** A partial representation  $\mathcal{R}'$  divides the components into two types. A component  $C$  is called *located* if it contains at least one pre-drawn vertex, and it is called *unlocated* otherwise. For located components, we have partial information about their position. The positions of unlocated components in a representation are much more free.

For classes of interval graph, the located components are ordered from left to right. A trivial necessary condition for an extendible partial representation is that the pre-drawn intervals of each component appear consecutively. Indeed, if  $u, v \in C$ ,  $w \in C'$  and  $R_w$  is between  $R_u$  and  $R_v$  where  $C$  and  $C'$  are two distinct components, then the partial representation is clearly not extendible. For every representation  $\mathcal{R}$  extending the partial representation, the ordering  $\blacktriangleleft$  has to agree with this left-to-right order of the located components.

For problems as  $\text{REPEXT}(\text{PROPER INT})$  or  $\text{REPEXT}(\text{UNIT INT})$ , unlocated components are not very interesting; they can be placed on the real line far to the right, without interfering with the partial representation at all. For problems in Chapter 4, the space in  $T$  is limited and the unlocated components have to be placed somewhere. In many cases, the existence of unlocated components is necessary for some problems to be **NP**-complete.

**Remarks Concerning Input.** To obtain the linear-time algorithms described in this thesis, we need some reasonable assumption on the partial representation which is given by the input. Similarly, most of the graph algorithms cannot achieve better running time than  $\mathcal{O}(n^2)$  if the input graph is given by an adjacency matrix instead of a list of neighbors for each vertex.

First, we consider classes **INT** and **PROPER INT**. We say that the partial representation is *sorted* if it gives the pre-drawn intervals sorted from left to right. We say that the partial representation is *normalized* if all its endpoints are integers between 0 and  $2k$  (where  $k$  is the number of pre-drawn intervals). Notice that from a normalized representation, we can produce a sorted representation in time  $\mathcal{O}(k)$ , and vice versa.

To get linear time in Theorems 1.1 and 1.2, we assume that the input partial representation is given sorted or normalized. If this assumption is not satisfied, the algorithms would need additional time  $\mathcal{O}(k \log k)$  to sort the partial representation. This assumption makes sense since the solutions of  $\text{REPEXT}$  for these classes depends only on the topology of the partial representation, not on the precise positions of endpoints.

Concerning classes **PROPER P-in-P** and **P-in-P**, we assume an input of the following type. For the path  $T$  in which the representation should be constructed, the input only specifies its length which is polynomial with respect to  $n$  and  $m$ . Then for every pre-drawn subpath  $R_v$ , only two numbers  $\ell_v$  and  $r_v$  are given. Moreover, we assume that these pre-drawn endpoints are sorted from left to right, otherwise additional time  $\mathcal{O}(k \log k)$  is required.

# 2

## Extending Interval Graphs

In this chapter, we solve the problem  $\text{REPEXT}(\text{INT})$  in time  $\mathcal{O}(n + m)$ . In the first section, we describe how PQ-trees work and how to apply interval orders on them fast. The problem solved in the first section is an example of a more general type of problems: Having a set of elements with some tree structure and a partial ordering, we want to order the elements according to this partial ordering while maintaining the tree structure.

In the second section, we show how to solve the partial representation extension problem using PQ-trees and interval orders. Let  $G$  be an input graph of  $\text{REPEXT}(\text{INT})$ . It is important to stress out that this interval order is based on a completely different interval graph  $H$  where the vertices of  $H$  correspond to the maximal cliques of  $G$ . This distinction between  $G$  and  $H$  becomes more clear since  $G$  is represented by closed intervals and  $H$  is represented by open intervals.

### 2.1 PQ-trees and Interval Orders

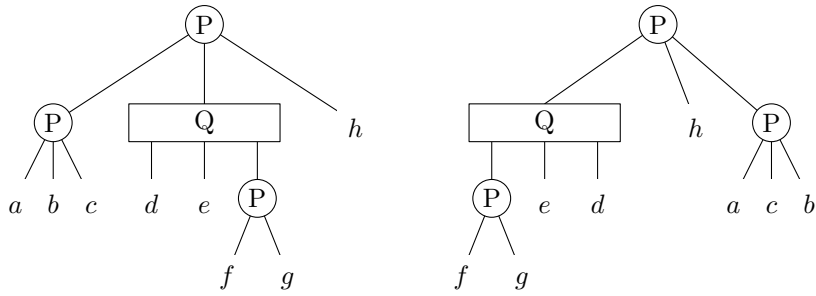
To describe PQ-trees, we start with a motivational problem. An input of the *consecutive ordering problem* is a set  $E$  of elements and restricting sets  $S_1, S_2, \dots, S_k$ . The task is to find a (linear) ordering of  $E$  such that every  $S_i$  appears consecutively (as one block) in this ordering.

**Example 2.1.** Consider elements  $E = \{a, b, c, d, e, f, g, h\}$  and restricting sets  $S_1 = \{a, b, c\}$ ,  $S_2 = \{d, e\}$ , and  $S_3 = \{e, f, g\}$ . For example, orderings  $abcdefgh$  and  $fgedhacb$  are feasible. On the other hand, orderings  $\underline{a}c\underline{d}efg\underline{b}h$  (violates  $S_1$ ) and  $\underline{d}e\underline{f}hg\underline{a}bc$  (violates  $S_3$ ) are not feasible.

**PQ-trees.** A PQ-tree is a tree structure that allows to solve consecutive ordering efficiently. Moreover, it stores all feasible orderings for a given input.

The leaves of the tree correspond one-to-one to the elements of  $E$ . The inner nodes are of two types: The *P-nodes* and the *Q-nodes*. For every node, the order of its children is fixed. A PQ-tree  $T$  represents one ordering  $<_T$ , given by the ordering of the leaves from left to right, see Figure 2.1.

To obtain other feasible orderings, we can reorder children of inner nodes. Children of a P-node can be reordered in an arbitrary way. On the other hand, we can only



**Figure 2.1:** PQ-trees representing orderings  $abcdefgh$  and  $fgedhacb$ .

reverse an order of children of a Q-node. Two trees are equivalent if we can change one tree to the other only using these operations. For example, the trees in Figure 2.1 are equivalent. Every equivalence class of PQ-trees corresponds to all the orderings feasible for some input sets. The equivalence class of the PQ-trees in Figure 2.1 corresponds to the input sets in Example 2.1.

For the purpose of this thesis, we only need to know that a PQ-tree can be constructed in time  $\mathcal{O}(e + k + t)$  where  $e$  is the number of elements of  $E$ ,  $k$  is the number of restricting sets and  $t$  is the total size of restricting sets. Booth and Lueker [6] describe details of their construction.

### 2.1.1 Applying a Partial Ordering

Suppose that  $T$  is a PQ-tree and we have a partial ordering  $\triangleleft$  of its elements (leaves). We say that a reordering  $T'$  of the PQ-tree  $T$  is *compatible* with  $\triangleleft$  if the ordering  $<_{T'}$  extends  $\triangleleft$ , meaning  $a \triangleleft b$  implies  $a <_{T'} b$ .

- Problem:** REORDER( $T, \triangleleft$ )  
**Input:** A PQ-tree  $T$  and a partial ordering  $\triangleleft$ .  
**Question:** Is it possible to reorder  $T$  to  $T'$ , compatibly with  $\triangleleft$ ?

The algorithm we are going to describe works even for a general relation  $\triangleleft$ . For example,  $\triangleleft$  does not have to be transitive (as in example in Figure 2.2) or even acyclic (but in such a case, of course, no solution exists).

**Proposition 2.2.** *The problem REORDER( $T, \triangleleft$ ) is solvable in in time  $\mathcal{O}(e + m)$ , where  $e$  is the number of elements and  $m$  is the number of comparable pairs in  $\triangleleft$ .*

A PQ-tree defines some hierarchical structure on its elements. We start with a simple lemma which states that we can try to solve the problem locally (inside of some subtree) and this local solution will always be correct; either there exists no solution of the problem at all, or our local solution can be extended for the whole tree.

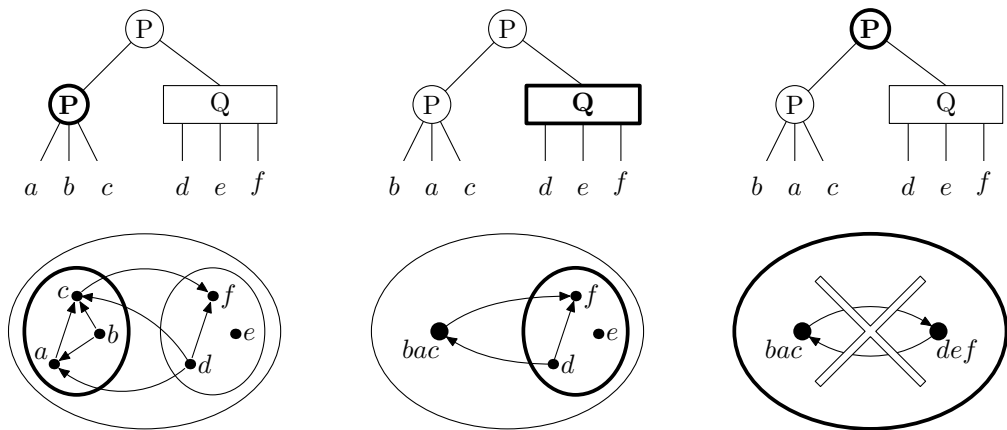
**Lemma 2.3.** *Let  $S$  be a subtree of a PQ-tree  $T$ . If  $T$  can be reordered compatibly with  $\triangleleft$  then every local reordering of the subtree  $S$  compatible with  $\triangleleft$  can be extended to a reordering of the whole tree  $T$  compatible with  $\triangleleft$ .*

*Proof.* Let  $T'$  be a reordering of the whole PQ-tree  $T$  compatible with  $\triangleleft$ . Notice that all elements of  $S$  appear consecutively in  $\triangleleft_{T'}$ . Therefore, we can replace this local ordering of  $S$  by any other order satisfying all conditions given by  $\triangleleft$ . We obtain another reordering of the whole tree  $T$  which is compatible with  $\triangleleft$  and extends the local ordering of  $S$  as requested.  $\square$

This gives the following greedy algorithm. We represent the ordering  $\triangleleft$  by a digraph having  $m$  edges. We reorder the nodes from bottom to the root and modify the digraph by contractions. When we finish reordering of a subtree, the order is fixed and never changed in the future; by Lemma 2.3, either this local reordering will be extendible, or there is no correct reordering of the whole tree at all. When we finish reordering of a subtree, we contract all its vertices. We process a node of the PQ-tree when all its subtrees are already processed and represented by single vertices in the digraph.

For a P-node, we check whether the subdigraph induced by the vertices corresponding to the children of the P-node is acyclic. If it is acyclic, we reorder the children according to a topological sorting. Otherwise, there exists a cycle, no feasible ordering exists and the algorithm returns “no”. For a Q-node, there are two possible orderings. All we need is love, and to check whether one of them is feasible. For a pseudocode, see Algorithm 1 in Appendix A.1. For an example, see Figure 2.2.

*Proof of Proposition 2.2.* We use the described algorithm. We need to argue its correctness. The algorithm processes the tree from bottom to the top. For every subtree  $S$ , it finds some reordering of  $S$  according to  $\triangleleft$ . If no such reordering of  $S$  can be



**Figure 2.2:** We show an example how the reordering algorithm works, the order of the operations is from left to right. First, we reorder the highlighted P-node of the PQ-tree on the left. The subdigraph induced by  $a, b$  and  $c$  has a topological sorting  $b \rightarrow a \rightarrow c$ . We contract these vertices into the vertex  $bac$ . Next, we keep the order of highlighted Q-node and contract its children into the vertex  $def$ . When we reorder the root P-node, we obtain a cycle between  $bac$  and  $def$ , and the algorithm outputs “no”. Notice that the original digraph  $\triangleleft$  is acyclic, just it is not compatible with the structure of the PQ-tree.

found, it is not possible to order the whole tree according to  $\triangleleft$ . And if it is possible, every reordering of  $S$  is correct according to Lemma 2.3.

The algorithm can be implemented in linear time depending on the size of the PQ-tree and the partial ordering  $\triangleleft$  which is  $\mathcal{O}(e + m)$ . Each edge of the digraph  $\triangleleft$  is processed exactly once and then it is contracted.  $\square$

### 2.1.2 Applying an Interval Order

Let  $\{I_v : v \in V(H)\}$  be a representation of an interval graph  $H$  using open intervals.<sup>1</sup> Once again, we note that this graph  $H$  is a completely different graph from the input graph  $G$  of REPEXT(INT) which we are going to solve later; the vertices of  $H$  correspond to the elements (leaves) of the PQ-tree which later correspond to the maximal cliques of  $G$ .

An interval representation of  $H$  defines the following partial order on  $V(H)$  which is called an *interval order*. If two intervals  $I_u$  and  $I_v$  do not intersect, there is a natural ordering between them: One is on the left and the other one is on the right. Let us denote this interval order by  $\triangleleft$ . For  $u, v \in V(H)$ , we define  $u \triangleleft v$  if and only if  $r_u \leq \ell_v$ . For example, in Figure 1.3 we obtain an interval order in which  $a \triangleleft c$  but  $b$  is incomparable to both  $a$  and  $c$ .

There are many interesting relations between interval graphs and interval orders. The study of interval orders has the following motivation. Suppose that intervals of  $H$  correspond to events and each interval describes when the corresponding event could happen in the timeline. Then if  $a \triangleleft b$ , we know for sure that the event  $a$  happened before the event  $b$ . If two intervals intersect, we do not have any information about the order of their events. Nevertheless, for purpose of this paper, we only need to know the definition of interval orders. For more information, see survey [46].

**Reordering PQ-trees.** Let  $\triangleleft$  be an interval order of  $e$  elements, for which we know a normalized interval representation having all endpoints as integers between 0 and  $2e$ . The normalized representation allows us to take any subset of endpoints, to sort it in linear time corresponding to the size of the subset and then process the subset in this sorted order. For such  $\triangleleft$ , we show that we can solve REORDER( $T, \triangleleft$ ) faster:

**Proposition 2.4.** *If  $\triangleleft$  is an interval order with a normalized representation, we can solve the problem REORDER( $T, \triangleleft$ ) in time  $\mathcal{O}(e)$  where  $e$  is the number of elements of  $T$ .*

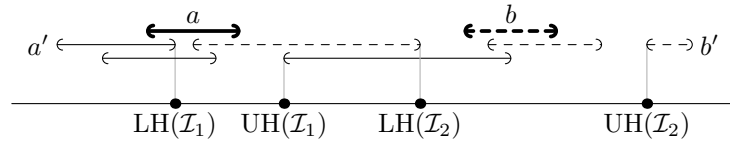
The general outline of the algorithm is exactly the same as before. We process the nodes of the PQ-tree from bottom to the root and reorder them according to local conditions. Using normalized interval representation of an interval order, we can implement all steps faster than before.

We are not going to construct the digraph explicitly, and therefore we are not doing any contractions. Instead, we are going to work with sets of intervals and compare them with respect to  $\triangleleft$  fast. When we process a node, its children correspond

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<sup>1</sup>For purposes of the following section, we allow empty intervals with  $\ell_v = r_v$ .





**Figure 2.3:** Normal intervals belong to  $\mathcal{I}_1$ , dashed intervals belong to  $\mathcal{I}_2$ . If  $a \triangleleft b$ , then also  $a' \triangleleft b'$ .

to sets  $\mathcal{I}_1, \dots, \mathcal{I}_k \subseteq V(H)$  we already processed before. The reordering is going to use specific properties of an interval order. When reordering of the node is done, we just put all the sets together:

$$\mathcal{I} = \mathcal{I}_1 \cup \mathcal{I}_2 \cup \dots \cup \mathcal{I}_k.$$

**Comparing Subtrees.** Let  $\mathcal{I}_1$  and  $\mathcal{I}_2$  be sets of intervals. We naturally say  $\mathcal{I}_1 \triangleleft \mathcal{I}_2$  if there exist  $a \in \mathcal{I}_1$  and  $b \in \mathcal{I}_2$  such that  $a \triangleleft b$ . Using the interval representation, we can test whether  $\mathcal{I}_1 \triangleleft \mathcal{I}_2$  in a constant time. The following lemma states that we just need to compare the “left-most” interval of  $\mathcal{I}_1$  with the “right-most” interval of  $\mathcal{I}_2$ .

**Lemma 2.5.** *Suppose that  $a \triangleleft b$ ,  $a \in \mathcal{I}_1$  and  $b \in \mathcal{I}_2$ . Then for every  $a' \in \mathcal{I}_1, r_{a'} \leq r_a$  and every  $b' \in \mathcal{I}_2, \ell_b \leq \ell_{b'}$  also  $a' \triangleleft b'$ .*

*Proof.* From definition,  $x \triangleleft y$  if and only if  $r_x \leq \ell_y$ . We have  $r_{a'} \leq r_a \leq \ell_b \leq \ell_{b'}$  and thus  $a' \triangleleft b'$ . See Figure 2.3, handles are explained later.  $\square$

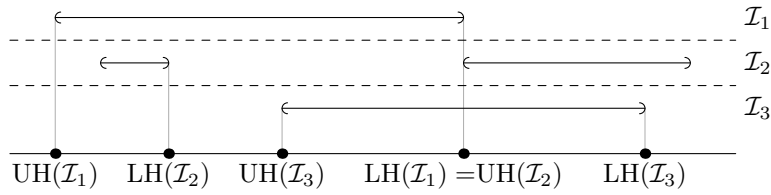
Using the previous lemma, we just need to compare  $a'$  having the left-most  $r_{a'}$  to  $b'$  having the right-most  $\ell_{b'}$  since  $\mathcal{I}_1 \triangleleft \mathcal{I}_2$  if and only if  $a' \triangleleft b'$ . To simplify the description, we define handles of  $\mathcal{I}$ , a *lower handle* and *upper handle*:

$$\text{LH}(\mathcal{I}) = \min\{r_x \mid x \in \mathcal{I}\} \quad \text{and} \quad \text{UH}(\mathcal{I}) = \max\{\ell_x \mid x \in \mathcal{I}\}.$$

Notice that  $\text{LH}(\mathcal{I}) < \text{UH}(\mathcal{I})$  if and only if  $\mathcal{I}$  is not a clique. Using handles, we can compare sets of intervals fast:  $\mathcal{I}_1 \triangleleft \mathcal{I}_2$  if and only if  $\text{LH}(\mathcal{I}_1) \leq \text{UH}(\mathcal{I}_2)$ . For an example, see Figure 2.3. For each processed subtree, we are going to remember just these handles, we do not need to remember which specific intervals were contained in the subtree. This is a replacement of the contraction operation used before.

**Reordering Nodes.** Now, we describe how to reorder children of a processed node fast. Let  $\mathcal{I}_1, \dots, \mathcal{I}_k$  be sets of intervals of the subtrees of this node for which we know handles. We call a linear ordering  $<$  of sets  $\mathcal{I}_1, \dots, \mathcal{I}_k$  *topological sorting* if  $\mathcal{I}_i \triangleleft \mathcal{I}_j$  implies  $\mathcal{I}_i < \mathcal{I}_j$  for every  $i \neq j$ . If the processed node is a P-node, we need to find any topological sorting. If it is a Q-node, we need to test whether the current ordering or its reversal is a topological sorting.

Since the interval representation is normalized and all the handles are at positions of the endpoints, we can sort all handles (both lower and upper) of  $\mathcal{I}_1, \dots, \mathcal{I}_k$  from left to right in time  $\mathcal{O}(k)$ . The handles can share coordinates and we deal with ties as follows: First, we place the lower handles of a given coordinate (in any order) and then we place the upper handles of the same coordinate (again, in any order). Now,  $\mathcal{I}_i \triangleleft \mathcal{I}_j$  if  $\text{LH}(\mathcal{I}_i)$  is on the left of  $\text{UH}(\mathcal{I}_j)$  in the ordering. For an example, see Figure 2.4.



**Figure 2.4:** For sets  $\mathcal{I}_1$ ,  $\mathcal{I}_2$  and  $\mathcal{I}_3$ , we get a common order of handles  $\text{UH}(\mathcal{I}_1) \leq \text{LH}(\mathcal{I}_2) \leq \text{UH}(\mathcal{I}_3) \leq \text{LH}(\mathcal{I}_1) \leq \text{UH}(\mathcal{I}_2) \leq \text{LH}(\mathcal{I}_3)$ . Notice that  $\triangleleft$  is not transitive:  $\mathcal{I}_1 \triangleleft \mathcal{I}_2$  and  $\mathcal{I}_2 \triangleleft \mathcal{I}_3$  but  $\mathcal{I}_1 \not\triangleleft \mathcal{I}_3$ . The only topological sorting is  $\mathcal{I}_1 < \mathcal{I}_2 < \mathcal{I}_3$ .

An element  $\mathcal{I}_j$  is *minimal* if there is no  $\mathcal{I}_i \triangleleft \mathcal{I}_j$ . The following lemma allows to find a minimal element fast:

**Lemma 2.6.** *Let  $\mathcal{I}_j$  be an element. It is a minimal element if and only if there is no lower handle other than  $\text{LH}(\mathcal{I}_j)$  on the left of  $\text{UH}(\mathcal{I}_j)$ .*

*Proof.* Notice that  $\mathcal{I}_i \triangleleft \mathcal{I}_j$  if and only if  $\text{LH}(\mathcal{I}_i) \leq \text{UH}(\mathcal{I}_j)$ . There is no such  $\mathcal{I}_i$  if and only if there is no  $\text{LH}(\mathcal{I}_i)$  on the left of  $\text{UH}(\mathcal{I}_j)$ .  $\square$

We can use this lemma to identify all minimal elements. If the ordering starts with two lower handles, there exists no minimal element. If the first element of the ordering is  $\text{LH}(\mathcal{I}_i)$  then  $\mathcal{I}_i$  is a unique candidate for a minimal element. We just need to check whether there is some other  $\text{LH}(\mathcal{I}_j)$  before  $\text{UH}(\mathcal{I}_i)$ , and if so, no minimal element exists.<sup>2</sup> If the common ordering starts with a group of upper handles, we have several candidates for a minimal element: All  $\mathcal{I}_i$ 's of these upper handles are minimal elements and maybe  $\mathcal{I}_j$  of the following lower handle  $\text{LH}(\mathcal{I}_j)$ ;  $\mathcal{I}_j$  is a minimal element if there is no other lower handle on the left of  $\text{UH}(\mathcal{I}_j)$ .

Now, suppose that  $\mathcal{I}_j$  is a minimal element and there is a topological sorting starting with  $\mathcal{I}_i$ . Then we can modify this sorting by moving  $\mathcal{I}_j$  to the front, and we obtain another topological sorting starting with  $\mathcal{I}_j$ . So we can construct some topological sorting as follows. We always detect one minimal element, remove its handles, append the minimal element to the constructed topological sorting and repeat the procedure for the remaining elements. And if in some step no minimal element exists, we know that no topological sorting exists.

For a P-node, we just need to find any topological sorting by repeated removing of minimal elements. For a Q-node, we just test whether the current ordering or its reversal is a topological order; by going through the given ordering, checking whether each element is a minimal elements and then removing its handles from the ordering. In both cases, if we find a correct topological sorting, we use it reorder the children of the node. Otherwise, the reordering is not possible and the algorithm fails in this node. We are able to do the reordering of the node in time  $\mathcal{O}(k)$ .

**Joining Subtrees.** After processing a node, we join several subtrees into one subtree by recalculating handles. Let  $\mathcal{I}_1, \dots, \mathcal{I}_k$  are sets of intervals corresponding to subtrees

<sup>2</sup>This can be done a in constant time if we remember in each moment position of two left-most lower handles in the ordering and update it after removing one of them from the ordering.

of the node. Then for the joined subtree  $\mathcal{I} = \mathcal{I}_1 \cup \mathcal{I}_2 \cup \dots \cup \mathcal{I}_k$  we calculate handles as follows:

$$\text{LH}(\mathcal{I}) = \min\{\text{LH}(\mathcal{I}_i)\} \quad \text{and} \quad \text{UH}(\mathcal{I}) = \max\{\text{UH}(\mathcal{I}_i)\}.$$

Notice that this exactly corresponds to the definition of handles but we can compute them in time  $\mathcal{O}(k)$  instead of  $\mathcal{O}(|\mathcal{I}|)$ .

**Putting Together.** We just put all the parts together exactly as before; for a pseudocode of the whole algorithm see Section A.2. The algorithm allow us to find a reordering of the PQ-tree  $T$  according to an interval order  $\triangleleft$  in time  $\mathcal{O}(e)$ :

*Proof of Proposition 2.4.* The algorithm is correct since it is processing the tree in exactly the same manner as the algorithm for general orders. First, the relation  $\triangleleft$  on sets  $\mathcal{I}_1$  and  $\mathcal{I}_2$  of intervals corresponds to existence of an edge after contracting the vertices of  $\mathcal{I}_1$  and  $\mathcal{I}_2$  in the digraph. Then, the topological sorting is correctly constructed using minimal elements if it exists, by Lemma 2.6.

Concerning the time complexity, we already discussed that we are able to compare sets using handles in a constant time, by Lemma 2.5. We spend time  $\mathcal{O}(k)$  in each node with  $k$  children. Thus the total time complexity of the algorithm is linear in the size of the tree, which is  $\mathcal{O}(e)$ .  $\square$

## 2.2 Extending Interval Graphs

In this section, we describe an algorithm solving  $\text{REPEXT}(\text{INT})$  in time  $\mathcal{O}(n + m)$ . Interval representations have closed intervals. Intervals may share the endpoints and may have zero lengths but this is just a subtle change of the algorithm.

We first describe recognition of interval graphs. Then we show how to modify the PQ-tree approach to solve  $\text{REPEXT}(\text{INT})$ .

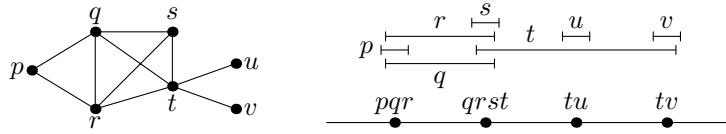
### 2.2.1 Recognition using PQ-trees

Recognition of interval graphs in linear time was a long-standing open problem, first solved by Booth and Lueker [6] using PQ-trees. Nowadays, there are two main approaches to recognition in linear time. The first one finds a feasible ordering of the maximal cliques which can be done using PQ-trees. The second approach uses surprising properties of the lexicographic breadth-first search, searches through the graph several times and constructs a representation if the graph is an interval graph [9].

We modify the PQ-tree approach to solve  $\text{REPEXT}(\text{INT})$  in time  $\mathcal{O}(n + m)$ . Recall the PQ-trees from Section 2.1.

**Maximal Cliques.** The PQ-tree approach is based on the following characterization of interval graphs, due to Fulkerson and Gross [12]:

**Lemma 2.7** (Fulkerson and Gross). *A graph is an interval graph if and only if there exists an ordering of the maximal cliques such that for every vertex the cliques containing this vertex appear consecutively in this ordering.*



**Figure 2.5:** An interval graph and one of its representations with denoted clique-points.

Consider an interval representation of an interval graph. For each maximal clique, consider the intervals representing the vertices of this clique and select a point in their intersection. (We know that this intersection is non-empty because intervals of the real line have the Helly property.) We call these points *clique-points*. For an illustration, see Figure 2.5. The ordering of the clique-points from left to right gives the ordering required by Lemma 2.7. Every vertex appears consecutively since it is represented by an interval. For a clique  $a$ , we denote the assigned clique-point by  $\text{cp}(a)$ .

On the other hand, given an ordering of the maximal cliques, we place clique-points in this ordering on the real line. Each vertex is represented by the interval containing exactly the clique-points of the cliques containing this vertex. In this way, we obtain a valid interval representation of the graph.

**Recognition Algorithm.** The maximal cliques of an interval graph have in total  $\mathcal{O}(n + m)$  vertices and can be found in linear time [41]. A feasible ordering of the maximal cliques can be found in linear time using PQ-trees. Recall their definition from Section 2.1. Elements of  $E$  are maximal cliques of an interval graph. For each vertex  $v$ , we introduce a restricting set  $S_v$  containing all the maximal cliques containing this vertex. Using PQ-trees, we can find a feasible ordering of maximal cliques and recognize an interval graph in time  $\mathcal{O}(n + m)$ .

### 2.2.2 Modification for REPEXT

In the rest of the section, we call maximal cliques just as cliques. We first sketch the algorithm. We construct a PQ-tree for the input graph, completely ignoring the given partial representation. The partial representation gives another restriction—an interval order  $\triangleleft$  of the cliques. Using the algorithm described in Section 2.1.2, we try to reorder the PQ-tree according to  $\triangleleft$  in time  $\mathcal{O}(n + m)$ . We are going to show the following: *The partial representation is extendible if and only if the reordering succeeds.* Moreover, we can use the reordered PQ-tree to construct a representation  $\mathcal{R}$  extending the partial representation  $\mathcal{R}'$ .

To construct a representation, we place clique-points on the real line according to the ordering. We need to be more careful in this step. Since several intervals are pre-drawn, we cannot change their representations. Using the clique-points, we construct a representation in a similar manner as in Figure 2.5.

Now, we describe everything in detail.

**Interval Ordering  $\triangleleft$ .** For a clique  $a$ , let  $I(a)$  denote the set of all the pre-drawn intervals that are contained in  $a$ . The pre-drawn intervals split the line into several *parts*, traversed by the same intervals. A clique-point  $\text{cp}(a)$  can be placed only to a part

containing exactly the intervals of  $I(a)$  and no other pre-drawn intervals.

We denote by  $\curvearrowleft(a)$  (resp.  $\curvearrowright(a)$ ) the leftmost (resp. the rightmost) point where the clique-point  $\text{cp}(a)$  can be placed, formally:

$$\begin{aligned}\curvearrowleft(a) &= \inf \{x \mid \text{the clique-point } \text{cp}(a) \text{ can be placed to } x\}, \\ \curvearrowright(a) &= \sup \{x \mid \text{the clique-point } \text{cp}(a) \text{ can be placed to } x\}.\end{aligned}$$

For an example, see Figure 2.6. Notice that it does not mean that the clique-point  $\text{cp}(a)$  can be placed to all the points between  $\curvearrowleft(a)$  and  $\curvearrowright(a)$ . If a clique-point can not be placed at all, the given partial representation is not extendible.

For two cliques  $a$  and  $b$ , we define  $a \triangleleft b$  if  $\curvearrowright(a) \leq \curvearrowleft(b)$ . It is quite natural since  $a \triangleleft b$  implies that every correct representation has to place  $\text{cp}(a)$  to the left of  $\text{cp}(b)$ . For example, the cliques  $a$  and  $b$  in Figure 2.6 satisfy  $a \triangleleft b$ .

**Lemma 2.8.** *The relation  $\triangleleft$  is an interval order.*

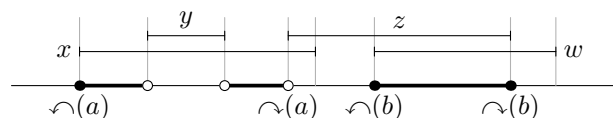
*Proof.* The intervals of the interval order  $\triangleleft$  correspond to the cliques of  $G$ . To a clique  $a$ , we assign an open interval  $I_a = (\curvearrowleft(a), \curvearrowright(a))$ . The definition of  $\triangleleft$  exactly states that  $a \triangleleft b$  if and only if the intervals  $I_a$  and  $I_b$  are disjoint and  $I_a$  is on the left of  $I_b$ .  $\square$

If the input partial representation is either sorted or normalized, we can construct a normalized representation of this interval order in time  $\mathcal{O}(n)$ . Also notice that to solve the problem  $\text{REPEXT}(\text{INT})$ , we only care about topology of the partial representation, the exact positions of the endpoints are not important.

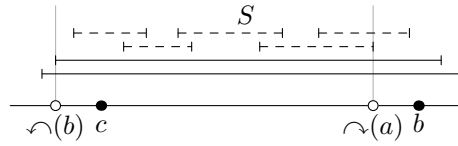
**The Algorithm.** We proceed in the following five steps. Only the first three steps are necessary, if we just want to answer the decision problem without constructing a representation.

1. Find maximal cliques and construct a PQ-tree, independently of the partial representation.
2. Compute  $\curvearrowleft$  and  $\curvearrowright$  for all the cliques and obtain a normalized interval representation of an interval order  $\triangleleft$ .
3. Reorder the PQ-tree according to the interval order  $\triangleleft$ , using Section 2.1.2.
4. Place the clique-points greedily on the real line, according to the ordering.
5. Construct a representation using the clique-points.

Step 1 is the original recognition algorithm. In Step 2, we normalize the partial representation, compute splitting of the real line into parts and compute  $\triangleleft$ . In Step 3,



**Figure 2.6:** Clique-points  $\text{cp}(a)$  and  $\text{cp}(b)$ , having  $I(a) = \{x\}$  and  $I(b) = \{z, w\}$ , can be placed to the bold parts of the real lines.



**Figure 2.7:** An illustration of the proof: The positions of the clique-points  $b$  and  $c$ , the intervals of  $S$  are dashed.

we apply the algorithm described in Section 2.1.2. In the rest of the chapter, we describe in detail steps four to five and prove the correctness of the algorithm.

**Step 4: Placing the Clique-Points.** We have an ordering  $<$  of the clique-points from Step 3. The real line has several intervals already pre-drawn by the partial representation. We place clique-points greedily from left to right, according to the ordering.

Suppose we want to place a clique-point  $\text{cp}(a)$ . Let  $\text{cp}(b)$  be the last placed clique-point. Consider the infimum over all the points where the clique-point  $\text{cp}(a)$  can be placed and that are to the right of the clique-point  $\text{cp}(b)$ . If there is a single such point on the right of  $\text{cp}(b)$  (equal to the infimum), we place  $\text{cp}(a)$  there. Otherwise  $\curvearrowright(a) < \curvearrowright(a)$  and we place the clique-point  $\text{cp}(a)$  to the right of this infimum by an appropriate epsilon, for example the length of the shortest part (see definition of  $\triangleleft$ ) divided by  $n$ . We can easily implement this greedy subroutine in time  $\mathcal{O}(n)$ .

The following lemma states that this greedy procedure cannot fail.

**Lemma 2.9.** *For an ordering  $<$  of the cliques compatible with the PQ-tree extending  $\triangleleft$ , the greedy subroutine described in Step 4 never fails.*

*Proof.* We prove the lemma by contradiction. See Figure 2.7. Let  $\text{cp}(a)$  be the clique-point for which the procedure fails. Since  $\text{cp}(a)$  cannot be placed, there are some clique-points placed on the right of  $\curvearrowright(a)$  (or possibly on  $\curvearrowright(a)$  directly). Let  $\text{cp}(b)$  be the leftmost one of them. If  $\curvearrowright(b) \geq \curvearrowright(a)$ , we obtain  $a \triangleleft b$  which contradicts  $b < a$  since  $\text{cp}(b)$  was placed before  $\text{cp}(a)$ . So, we know that  $\curvearrowright(b) < \curvearrowright(a)$ . To get a contradiction, we question why the clique-point  $\text{cp}(b)$  was not placed on the left of  $\curvearrowright(a)$ .

The clique-point  $\text{cp}(b)$  was not placed before  $\curvearrowright(a)$  because all these positions were either blocked by some other previously placed clique-points, or they are traversed by some pre-drawn interval not in  $I(b)$ . There is at least one clique-point placed to the right of  $\curvearrowright(b)$  (otherwise we could place  $\text{cp}(b)$  to  $\curvearrowright(b)$  or right next to it). Let  $\text{cp}(c)$  be the right-most clique-point placed between  $\curvearrowright(b)$  and  $\text{cp}(b)$ . Every point between  $\text{cp}(c)$  and  $\curvearrowright(a)$  has to be covered by a pre-drawn interval not in  $I(b)$ . Consider the set  $S$  of all the pre-drawn intervals not contained in  $I(b)$  intersecting  $[c, \curvearrowright(a)]$ ; depicted dashed in Figure 2.7.

Let  $C$  be a set of all the cliques containing at least one vertex from  $S$ . Since  $S$  induces a connected subgraph, all the cliques of  $C$  appear consecutively in the ordering of the cliques since every pair of adjacent vertices is contained in a maximal clique.

Now,  $a$  and  $c$  both belong to  $C$ , but  $b$  does not. We assumed that  $c < b < a$ . Since  $c < b$  and the consecutivity of  $C$ ,  $a < b$  which contradicts  $b < a$ .  $\square$

**Step 5: Constructing a Representation.** We construct a representation of the graph using the clique-points placed in the previous step, similarly to Figure 2.5. We represent each vertex as an interval containing exactly all the clique-points corresponding to the cliques containing this vertex.

The intervals placed by the partial representation contain the correct clique-points. Since the ordering of the clique-points is compatible with the PQ-tree, we obtain a correct representation.

**The Main Proof.** Now we are ready to prove one main result of this thesis, Theorem 1.1 which states the problem  $\text{REPEXT}(\text{INT})$  can be solved in time  $\mathcal{O}(n + m)$ :

*Proof of Theorem 1.1.* The conditions given by the interval order  $\triangleleft$  on the order of the clique-points are clearly necessary. If it is not possible to reorder the PQ-tree according to  $\triangleleft$ , the structure of the interval graph is inconsistent with the partial representation and  $\text{REPEXT}(\text{INT})$  is not solvable. On the other hand, if the PQ-tree can be reordered according to  $\triangleleft$ , Lemma 2.9 states that the partial representation is extendible.

The time complexity of the algorithm is clearly  $\mathcal{O}(n + m)$ . The number of cliques is at most  $\mathcal{O}(n + m)$  and the PQ-tree can be constructed in this time. According to Proposition 2.4, the PQ-tree can be reordered according to  $\triangleleft$  in time  $\mathcal{O}(n + m)$ . Finally, the representation can be constructed in time  $\mathcal{O}(n + m)$ .  $\square$





# 3

## Extending Proper and Unit Interval Graphs

In this chapter, we extend representations of proper and unit interval graphs. As was already described in the introduction, even though these classes are known to be equal, they behave differently for the partial representation extension problem.

In Section 3.1, we deal with proper interval graphs and the extension algorithm is based on a simple characterization of extendible instances. In Section 3.2, we study a more general problem of bounded representations of unit interval graphs and we show that this problem is **NP**-complete. In Section 3.3, we deal with specific instances of the bounded representation problem for which the left-to-right order of the components is prescribed, and give an almost quadratic-time combinatorial algorithm for this problem. In Section 3.4, we solve the **REPEXT(UNIT INT)** problem by showing that it can be expressed as an instance of the bounded representation problem with prescribed ordering.

### 3.1 Extending Proper Interval Graphs

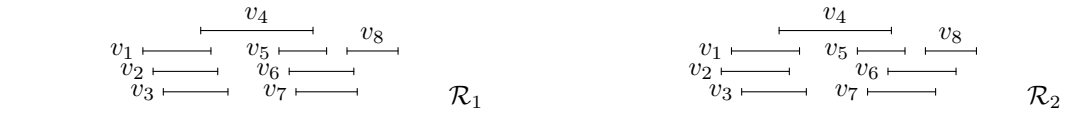
In this section, we describe how to extend partial representations of proper interval graphs in time  $\mathcal{O}(m + n)$ . We also give a simple characterization of all extendible instances.

**Left-to-right ordering.** Roberts [38] gave the following characterization of proper interval graphs:

**Lemma 3.1** (Roberts). *A graph is a proper interval graph if and only if there exists a linear ordering  $v_1 \triangleleft v_2 \triangleleft \dots \triangleleft v_n$  of its vertices such that the closed neighborhood of every vertex is consecutive.*

This linear order  $\triangleleft$  is the left-to-right order of the intervals on the real line in some representation. In each representation, the order of the left endpoints is exactly the same as the order of the right endpoints, and this order is  $\triangleleft$ . This makes recognition much simpler. For example of  $\triangleleft$ , see Figure 3.1.

How many different orderings  $\triangleleft$  may a proper interval graph admit? Possibly many but all of them have a very simple structure. Vertices  $u$  and  $v$  are called *indistinguishable* if  $N[u] = N[v]$ . The vertices of  $G$  can be partitioned into *groups* of (pairwise)



**Figure 3.1:** Two proper interval representations  $\mathcal{R}_1$  and  $\mathcal{R}_2$  with the left-to-right orderings  $v_1 \triangleleft v_2 \triangleleft v_3 \triangleleft v_4 \triangleleft v_5 \triangleleft v_6 \triangleleft v_7 \triangleleft v_8$  and  $v_2 \triangleleft v_1 \triangleleft v_3 \triangleleft v_4 \triangleleft v_5 \triangleleft v_7 \triangleleft v_6 \triangleleft v_8$ .

indistinguishable vertices. Note that indistinguishable vertices may be represented by the same intervals (and this is actually true for general intersection representations). In Figure 3.1, the graph contains two groups  $\{v_1, v_2, v_3\}$  and  $\{v_6, v_7\}$ . The vertices of each group appear consecutively in the ordering  $\triangleleft$  and may be reordered arbitrarily. Deng et al. [10] proved the following:

**Lemma 3.2** (Deng et al.). *For a connected proper interval graph, the ordering  $\triangleleft$  satisfying the condition of Lemma 3.1 is uniquely determined up to local reordering of groups and complete reversal.*

This lemma is key for partial representation extension of proper interval graphs. Essentially, we just have to deal with a unique ordering (and its reversal) and match the partial representation on it.

We want to construct a partial ordering  $<$  which is a simple representation of all orderings  $\triangleleft$  from Lemma 3.1. There exists a proper interval representation with an ordering  $\triangleleft$  if and only if  $\triangleleft$  extends either  $<$  or its reversal. According to Lemma 3.2,  $<$  can be constructed by taking an arbitrary ordering  $\triangleleft$  and making indistinguishable vertices incomparable. For graph in Figure 3.1, we get

$$(v_1, v_2, v_3) < v_4 < v_5 < (v_6, v_7) < v_8,$$

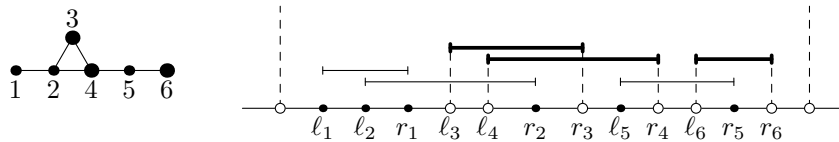
where groups of indistinguishable vertices are put in brackets. This ordering is unique up to reversal and can be constructed in time  $\mathcal{O}(n + m)$  [8].

**Characterization of Extendible Instances.** We give a simple characterization of the partial representation instances that are extendible. We start with connected instances. Let  $G$  be a proper interval graph and  $\mathcal{R}'$  be a partial representation of its induced subgraph  $G'$ . Then intervals in  $\mathcal{R}'$  are in some left-to-right ordering  $<^{G'}$ , and if two intervals are represented the same, they are incomparable in  $<^{G'}$ .

**Lemma 3.3.** *The partial representation  $\mathcal{R}'$  of a connected graph  $G$  is extendible if and only if there exists a linear ordering  $\triangleleft$  of  $V(G)$  extending  $<^{G'}$ , and either  $<$  or its reversal.*

*Proof.* If there exists a representation  $\mathcal{R}$  extending  $\mathcal{R}'$ , then it is in some left-to-right ordering  $\triangleleft$ . Clearly, the pre-drawn intervals are placed the same so  $\triangleleft$  has to extend  $<^{G'}$ . According to Lemma 3.2,  $\triangleleft$  extends  $<$  or its reversal.

Conversely, let  $v_1 \triangleleft \dots \triangleleft v_n$  be an ordering from the statement of the lemma. We construct a representation  $\mathcal{R}$  extending  $\mathcal{R}'$  as follows. We can assume that the graph  $G$  does not contain any pair  $u$  and  $v$  of indistinguishable vertices such that  $u$



**Figure 3.2:** Representation of a component with order  $1 \triangleleft 2 \triangleleft 3 \triangleleft 4 \triangleleft 5 \triangleleft 6$ . First, we compute the common order of the left and the right endpoints:  $l_1 \triangleleft l_2 \triangleleft r_1 \triangleleft l_3 \triangleleft l_4 \triangleleft r_2 \triangleleft r_3 \triangleleft l_5 \triangleleft r_4 \triangleleft l_6 \triangleleft r_5 \triangleleft r_6$ . The endpoints of the pre-drawn intervals split the segment into several subsegments. We place the remaining endpoints in this order and, within every subsegment, distributed equidistantly.

is not pre-drawn; otherwise we remove  $u$  from the graph and later put  $R_u = R_v$ . We compute a common linear ordering  $\triangleleft$  of left and right endpoints from left-to-right. With start with the ordering  $l_1 \triangleleft \dots \triangleleft l_n$ , into which we insert the right endpoints  $r_1, \dots, r_n$  one-by-one. For  $v_i$ , let  $v_j$  be the right-most neighbor in the ordering  $\triangleleft$ . Then, we place  $r_i$  right before  $l_{j+1}$  (if it exists, otherwise we append it to the end of the ordering).

This left-to-right common order  $\triangleleft$  is uniquely determined by  $\triangleleft$ . Since  $\triangleleft$  extends  $\triangleleft^{G'}$ , it is compatible with the partial representation (the pre-drawn endpoints are ordered as in  $\triangleleft$ ). To construct the representation, we just place the non-pre-drawn endpoints equidistantly into the gaps between neighboring pre-drawn endpoints (or to the left or right of  $\mathcal{R}'$ ); see Figure 3.2 for an example.

We argue correctness of the constructed representation  $\mathcal{R}$ . It extends  $\mathcal{R}'$  since the pre-drawn intervals are the same. Second, it is a correct interval representation. Let  $u$  and  $v$  be two vertices with  $v_i \triangleleft v_j$  and let  $v_k$  be the right-most neighbor of  $v_i$  in  $\triangleleft$ . If  $v_i v_j \in E(G)$ , then  $l_i \triangleleft l_k \triangleleft r_i$  and by consecutivity of  $N[u]$  in  $\triangleleft$  is  $l_j \triangleleft l_k$ . If  $uv \notin E(G)$ , then  $r_i \triangleleft l_{k+1} \triangleleft l_j$ . In both cases,  $R_u$  and  $R_v$  intersect correctly. Last, we argue that  $\mathcal{R}$  is a proper interval representation. In  $\triangleleft$  the order of the left endpoints is the same as the order of the right-endpoints since  $r_{i+1}$  is always placed on the right of  $r_i$  in  $\triangleleft$ .

We conclude that the representation  $\mathcal{R}$  can be made small enough to fit into any open segment of the real line that contains all pre-drawn intervals.  $\square$

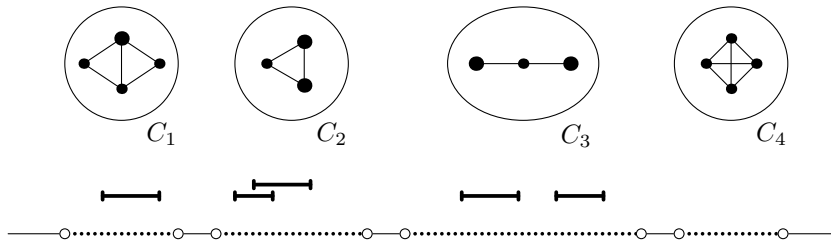
Now, we are ready to characterize general solvable instances.

**Lemma 3.4.** *The partial representation  $\mathcal{R}'$  of a graph  $G$  is extendible if and only if*

1. *for each component  $C$  the partial representation  $\mathcal{R}'_C$  consisting of pre-drawn intervals in  $C$  is extendible, and*
2. *pre-drawn vertices of each component are consecutive in  $\triangleleft^{G'}$ .*

*Proof.* The necessity of (1) is due to Lemma 3.3 applied on each component  $C$ . For (2), if some component  $C$  would not have its pre-drawn vertices consecutive in  $\triangleleft^{G'}$ , then the area  $\bigcup_{u \in C} R_u$  would not be a connected segment of the real line (contradicting existence of  $\blacktriangleleft$  from Section 1.5).

Now, if the instance satisfies the both conditions, we can construct a correct representation  $\mathcal{R}$  extending  $\mathcal{R}'$  as follows. Using (2), the located components are



**Figure 3.3:** An example of a graph with four components  $C_1, \dots, C_4$ . The pre-drawn intervals give the order of the located components  $C_1 \triangleleft C_2 \triangleleft C_3$ . The non-located component  $C_4$  is placed to the right. For each component, we reserve some segment in which we construct the representation.

ordered from left to right and we assign pairwise disjoint *open segments* containing all their pre-drawn intervals (there is a non-empty gap between located components we can use). To unlocated components we assign pair-wise disjoint open segments to the right of the right-most located component. See Figure 3.3. For each component, we construct a representation in its open segment, using the construction in the proof of Lemma 3.3.  $\square$

Now we are ready to prove that  $\text{REPEXT}(\text{PROPER INT})$  can be solved in time  $\mathcal{O}(n + m)$ :

*Proof of Theorem 1.2.* We just use the characterization by Lemma 3.4. The conditions (1) and (2) can be easily checked in time  $\mathcal{O}(n + m)$ . If necessary, a representation  $\mathcal{R}$  can be constructed in the same running time since the proofs of Lemmas 3.3 and 3.4 are constructive.  $\square$

For a pseudocode, see Algorithm 4 in Appendix A.4.

## 3.2 Bounded Representations of Unit Interval Graphs

In this section we deal with bounded representations. An input of  $\text{BOUNDREP}$  consists of a graph  $G$  and, for each vertex  $v_i$ , a *lower bound*  $\text{lbound}(v_i)$  and an *upper bound*  $\text{ubound}(v_i)$ . (We allow  $\text{lbound}(v_i) = -\infty$  and  $\text{ubound}(v_i) = +\infty$ .) The problem asks whether there exists a unit interval representation  $\mathcal{R}$  of  $G$  such that  $\text{lbound}(v_i) \leq \ell_i \leq \text{ubound}(v_i)$  for each interval  $v_i$ . Such a representation is called a *bounded representation*.

Since unit interval representations are proper interval representations, all properties of proper interval representations described in Section 3.1 carry over, in particular properties of orderings  $\triangleleft$  and  $<$ .

### 3.2.1 Representations in $\varepsilon$ -grids

Endpoints of intervals can be positioned at arbitrary real numbers. For the purpose of the algorithm, we want to work with drawings of limited resolutions. For a given instance of the bounded representation problem, we want to find a lower bound for

the required resolution such that this instance is solvable if and only if it is solvable in this limited resolution.

More precisely, we want to represent all intervals so that their endpoints correspond to points on some grid. For a value  $\varepsilon = \frac{1}{K} > 0$  where  $K$  is an integer, the  $\varepsilon$ -grid is the set of points  $\{k\varepsilon : k \in \mathbb{Z}\}$ .<sup>1</sup> For a given instance of BOUNDRREP, we ask which value of  $\varepsilon$  ensures that we can construct a representation having all endpoints on the  $\varepsilon$ -grid. So the value of  $\varepsilon$  is the resolution of the drawing.

For the standard unit interval graph representation problem a grid of size  $\frac{1}{n}$  is sufficient [8]. In the case of BOUNDRREP, the size of the grid has to depend on the values of the bounds. Consider all values  $\text{lbound}(v_i)$  and  $\text{ubound}(v_i)$  distinct from  $-\infty, +\infty$ , and express them as irreducible fractions  $\frac{p_1}{q_1}, \frac{p_2}{q_2}, \dots, \frac{p_b}{q_b}$ . Then we define:

$$\varepsilon' := \frac{1}{\text{lcm}(q_1, q_2, \dots, q_b)}, \quad \text{and} \quad \varepsilon := \frac{\varepsilon'}{n}. \quad (3.1)$$

We show that an  $\varepsilon$ -grid is sufficient to construct the bounded representation:

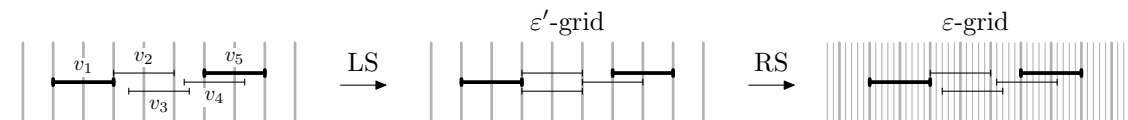
**Lemma 3.5.** *If there exists a valid representation  $\mathcal{R}'$  for an input of the problem BOUNDRREP, there exists a valid representation  $\mathcal{R}$  in which all intervals have endpoints on the  $\varepsilon$ -grid where  $\varepsilon$  is defined by (3.1).*

*Proof.* We construct an  $\varepsilon$ -grid representation  $\mathcal{R}$  from  $\mathcal{R}'$  in two steps. First, we shift intervals to the left, and then we shift intervals slightly back to the right. For every interval  $v_i$ , the sizes of the left and right shifts are denoted by  $\text{LS}(v_i)$  and  $\text{RS}(v_i)$  respectively. The shifting process is shown in Figure 3.4.

In the first step, we consider the  $\varepsilon'$ -grid and shift all the intervals to the left to the closest grid-point (we do not shift an interval if its endpoints are already on the grid). Original intersections are kept by this shifting since if  $x$  and  $y$  are two endpoints having  $x \leq y$  before the left-shift, then also  $x \leq y$  after the left-shift. So if  $v_i v_j \in E$  and  $\ell_i \leq \ell_j \leq r_i$ , then these inequalities are preserved by the shifting. On the other hand, we may introduce additional intersections by shifting two non-intersecting intervals to each other. In this case, after the shift the intervals only touch; for an example, see vertices  $v_2$  and  $v_4$  in Figure 3.4.

The second step shifts the intervals to the right in the refined  $\varepsilon$ -grid to remove the additional intersections created by the first step. The right-shift is a mapping

$$\text{RS} : \{v_1, \dots, v_n\} \rightarrow \{0, \varepsilon, 2\varepsilon, \dots, (n-1)\varepsilon\}$$



**Figure 3.4:** In the first step, we shift intervals to the left to the  $\varepsilon'$ -grid. The left shifts of  $v_1, \dots, v_5$  are  $(0, 0, \frac{1}{2}\varepsilon', \frac{1}{3}\varepsilon', 0)$ . In the second step, we shift to the right in the refined  $\varepsilon$ -grid. Right shifts have the same relative order as left shifts:  $(0, 0, 2\varepsilon, \varepsilon, 0)$ .

---

<sup>1</sup>If  $\varepsilon$  would not be of a form  $\frac{1}{K}$ , then the grid could not contain both left and right endpoints of the intervals. We reserve  $K$  for the value  $\frac{1}{\varepsilon}$  in this chapter.

having the *right-shift property*: For all pairs  $(v_i, v_j)$  with  $r_i = \ell_j$ , then  $\text{RS}(v_i) \geq \text{RS}(v_j)$  if and only if  $v_i v_j \in E$ .

To construct such a mapping RS, notice that if we relaxed the image of RS to  $[0, \varepsilon')$ , the reversal of LS would have the right-shift property, since it produces the original correct representation  $\mathcal{R}'$ . But the right-shift property depends only on the relative order of the shifts and not on the precise values. Therefore, we can construct RS from the reversal of LS by keeping the shifts in the same relative order. If  $\text{LS}(v_i)$  is one of the  $k$ th smallest shifts, we set  $\text{RS}(v_i) = (k - 1)\varepsilon$ .<sup>2</sup> See Figure 3.4.

We finally argue that these shifts produce a correct  $\varepsilon$ -grid representation. The right-shift does not create additional intersections: After LS non-intersecting pairs are at distance at least  $\varepsilon' = n\varepsilon$ , and by RS they can get closer by at most  $(n - 1)\varepsilon$ . Also, if after LS two intervals overlap by at least  $\varepsilon'$ , their intersection is not removed by RS. The only intersections which are modified by RS are touching pairs of intervals  $(v_i, v_j)$  having  $r_i = \ell_j$  after LS. The mapping RS shifts these pairs correctly according to the edges of the graph.

Next we look at the bound constraints. If, before the shifting,  $v_i$  was satisfying  $\ell_i \geq \text{lbound}(v_i)$ , then this is also satisfied after  $\text{LS}(v_i)$  since the  $\varepsilon'$ -grid contains the value  $\text{lbound}(v_i)$ . Obviously, the inequality is not broken after  $\text{RS}(v_i)$ . As for the upper bound, if  $\text{LS}(v_i) = 0$  and  $\text{RS}(v_i) = 0$ , then the bound is trivially satisfied. Otherwise, after  $\text{LS}(v_i)$  we have  $\ell_i \leq \text{ubound}(v_i) - \varepsilon'$ , so the upper bound still holds after  $\text{RS}(v_i)$ .  $\square$

Additionally, Lemma 3.5 shows that it is always possible to construct an  $\varepsilon$ -grid representation having the same topology as the original representation, in the sense that overlapping pairs of intervals keep overlapping, and touching pairs of intervals keep touching. Also notice that both representations  $\mathcal{R}$  and  $\mathcal{R}'$  have the same order of the intervals.

In the standard unit interval graph representation problem, no bounds on the positions of the intervals are given, and we get  $\varepsilon' = 1$  and  $\varepsilon = \frac{1}{n}$ . Lemma 3.5 proves in a particularly clean way that the grid of size  $\frac{1}{n}$  is sufficient to construct unrestricted representations of unit interval graphs. Cornil et al. [8] show how to construct this representation directly from the ordering  $<$ , whereas we use some given representation to construct an  $\varepsilon$ -grid representation.

### 3.2.2 The Hardness of BOUNDREP

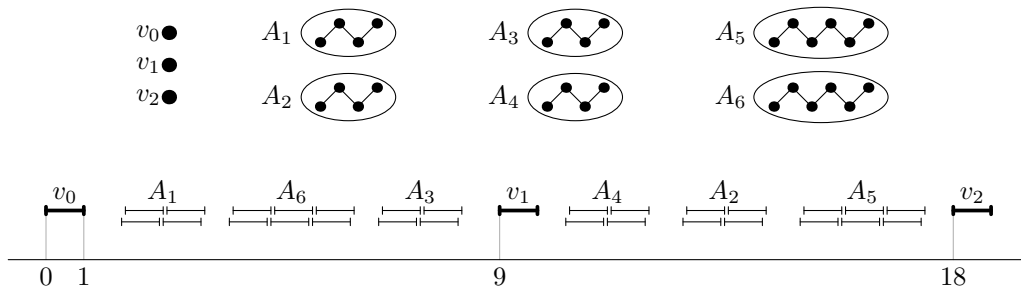
In this subsection we focus on hardness of bounded representations of unit interval graphs. We prove Theorem 1.3 stating that BOUNDREP is NP-complete.

We reduce the problem from 3-PARTITION. An input of 3-PARTITION consists of natural numbers  $k$ ,  $M$ , and  $A_1, \dots, A_{3k}$  such that  $\frac{M}{4} < A_i < \frac{M}{2}$  for all  $i$ , and  $\sum A_i = kM$ . The question is whether it is possible to partition the numbers  $A_i$  into  $k$  triples such that each triple sums to exactly  $M$ . This problem is known to be strongly NP-complete (even if all numbers have polynomial sizes) [14].

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<sup>2</sup>In other words, for the smallest shifts we assign the right-shift 0, for the second smallest shifts we assign  $\varepsilon$ , for the third smallest shifts  $2\varepsilon$ , and so on.

### 3.2. Bounded Representations of Unit Interval Graphs



**Figure 3.5:** We consider the following input for 3-PARTITION:  $k = 2$ ,  $M = 7$ ,  $A_1 = A_2 = A_3 = A_4 = 2$  and  $A_5 = A_6 = 3$ . The associated unit interval graph is depicted on top, and at the bottom we find one of its correct bounded representations, giving 3-partitioning  $\{A_1, A_3, A_6\}$  and  $\{A_2, A_4, A_5\}$ .

*Proof of Theorem 1.3.* According to Lemma 3.5, if there exists a representation satisfying the bound constraints, there also exists an  $\varepsilon$ -grid representation with this property. Since the length of  $\varepsilon$  given by (3.1), written in binary, is polynomial in the size of the input, all endpoints can be placed in polynomially-long positions. Thus we can guess the bounded representation and the problem belongs to **NP**.

Let us next prove that the problem is **NP-hard**. For a given input of 3-PARTITION, we construct the following unit interval graph  $G$ . For each number  $A_i$ , we add a path  $P_{2A_i}$  (of length  $2A_i - 1$ ) into  $G$  as a separate component. For all vertices  $x$  in these paths, we set bounds

$$\text{lbound}(x) = 1 \quad \text{and} \quad \text{ubound}(x) = k \cdot (M + 2).$$

In addition, we add  $k + 1$  independent vertices  $v_0, v_1, \dots, v_k$  and make their positions in the representation fixed:

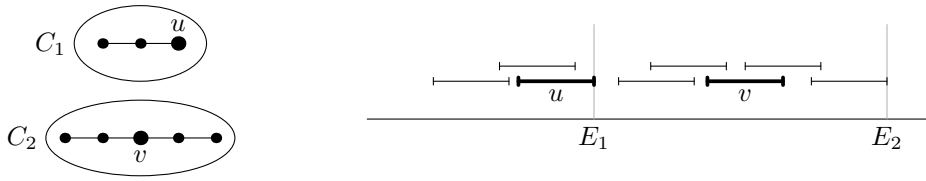
$$\text{lbound}(v_i) = \text{ubound}(v_i) = i \cdot (M + 2).$$

See Figure 3.5 for an illustration of the reduction. Clearly, the reduction is polynomial.

We now argue that the bounded representation problem is solvable if and only if the given input of 3-PARTITION is solvable. Suppose first that the bounded representation problem admits a solution. There are  $k$  gaps between the fixed intervals  $v_0, \dots, v_k$  each of which has space less than  $M + 1$ . (The length of the gap is  $M + 1$  but the endpoints are taken by  $v_i$  and  $v_{i+1}$ .) The bounds of the paths force their representations to be inside these gaps, and each path lives in exactly one gap. Hence the representation induces a partition of the paths.

Now, the path  $P_{2A_i}$  needs space at least  $A_i$  in every representation. The representations of the paths may not overlap and the space in each gap is less than  $M + 1$ , hence the sum of all  $A_i$ 's in each part is at most  $M$ . Since the total sum of  $A_i$ 's is exactly  $kM$ , the sum in each part has to be  $M$ . Thus the obtained partition solves the 3-PARTITION problem.

Conversely, every solution of 3-PARTITION can be realized in this way. □



**Figure 3.6:** The positions of the vertices  $u$  and  $v$  are fixed by the bound constraints. The component  $C_1$  can only be represented in a single way, since its reversal would block space for the component  $C_2$ .

### 3.3 Bounded Representations with Prescribed Ordering

In this section, we deal with the BOUNDREP problem when a fixed ordering  $\blacktriangleleft$  of the components is prescribed. First we solve the problem using linear programming, then we describe additional structure of bounded representations and using this structure we construct an almost quadratic-time algorithm solving the linear programs.

#### 3.3.1 LP Approach for BOUNDREP

According to Lemma 3.2, each component of  $G$  can be represented in at most two different ways, up to local reordering of groups of indistinguishable vertices. Unlike the case of proper interval graphs, we cannot arbitrarily choose one of the orderings, since neighboring components restrict each other's space. For example, only one of the two orderings for the component  $C_1$  in Figure 3.6 makes a representation of  $C_2$  possible.

In the algorithm, we process components  $C_1 \blacktriangleleft C_2 \blacktriangleleft \dots \blacktriangleleft C_c$  from left to right. For each component  $C_t$ , we calculate by the algorithm of Corneil et al. the partial ordering  $<$  described in Section 3.1 and its reversal. The elements that are incomparable by these partial orderings are vertices of the same group of indistinguishable vertices. From  $<$ , we want to construct a correct linear orderings  $\triangleleft$ .

**Lemma 3.6.** *Suppose there exists some bounded representation  $\mathcal{R}$ . Then there exists a bounded representation  $\mathcal{R}'$  such that for every indistinguishable pair  $v_i$  and  $v_j$  having  $\text{lbound}(v_i) \leq \text{lbound}(v_j)$  it holds that  $\ell_i' \leq \ell_j'$ .*

*Proof.* For a representation, we call a pair  $(v_i, v_j)$  *bad* if  $v_i$  and  $v_j$  are indistinguishable,  $\text{lbound}(v_i) \leq \text{lbound}(v_j)$  and  $\ell_i > \ell_j$ . We describe a process which iteratively constructs  $\mathcal{R}'$  from  $\mathcal{R}$ , by constructing a sequence of representations  $\mathcal{R} = \mathcal{R}_0, \mathcal{R}_1, \dots, \mathcal{R}_k = \mathcal{R}'$ , where the positions in a representation  $\mathcal{R}_s$  are denoted by  $\ell_i^s$ 's.

In each step  $s$ , we create  $\mathcal{R}_s$  from  $\mathcal{R}_{s-1}$  by fixing one bad pair  $(v_i, v_j)$ : we set  $\ell_i^s = \ell_j^{s-1}$  and the rest of the representation remains the same. Since  $v_i$  and  $v_j$  are indistinguishable and  $\mathcal{R}_{s-1}$  is correct, the obtained  $\mathcal{R}_s$  is a representation. Regarding bound constraints,

$$\text{lbound}(v_i) \leq \text{lbound}(v_j) \leq \ell_j^{s-1} = \ell_i^s < \ell_i^{s-1} \leq \text{ubound}(v_i),$$

so the bounds of  $v_i$  are satisfied.



### 3.3. Bounded Representations with Prescribed Ordering

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Now, in each  $\mathcal{R}_s$  the set of all left endpoints is a subset of the set of all left endpoints of  $\mathcal{R}$ . In each step, we move one left-endpoint to the left, so each endpoint is moved at most  $n - 1$  times. Hence the process terminates after  $\mathcal{O}(n^2)$  iterations and produces  $\mathcal{R}'$  without bad pairs are requested.  $\square$

For  $<$  and its reversal, we use Lemma 3.6 to construct linear orderings  $\triangleleft$ : If  $v_i$  and  $v_j$  belong to the same group of indistinguishable vertices and  $\text{lbound}(v_i) < \text{lbound}(v_j)$ , then  $v_i \triangleleft v_j$ . If  $\text{lbound}(v_i) = \text{lbound}(v_j)$ , we choose any order  $\triangleleft$  between  $v_i$  and  $v_j$ .

We obtain two total orderings  $\triangleleft$ , and we solve a linear program for each of them. Let  $v_1 \triangleleft v_2 \triangleleft \dots \triangleleft v_k$  be one of these orderings. We denote the right-most endpoint of a representation of a component  $C_t$  by  $E_t$ . Additionally, we define  $E_0 = -\infty$ . The linear program has variables  $\ell_1, \dots, \ell_k$ , and it minimizes the value of  $E_t$ . Let  $\varepsilon$  be defined as in (3.1). We solve:

$$\begin{aligned} \text{Minimize:} & & E_t & := \ell_k + 1, \\ \text{subject to:} & & E_{t-1} + \varepsilon & \leq \ell_1, \end{aligned} \tag{3.2}$$

$$\ell_i \leq \ell_{i+1}, \quad \forall i = 1, \dots, k - 1, \tag{3.3}$$

$$\ell_i \geq \text{lbound}(v_i), \quad \forall i = 1, \dots, k, \tag{3.4}$$

$$\ell_i \leq \text{ubound}(v_i), \quad \forall i = 1, \dots, k, \tag{3.5}$$

$$\ell_i \geq \ell_j - 1, \quad \forall v_i v_j \in E, v_i \triangleleft v_j, \tag{3.6}$$

$$\ell_i + \varepsilon \leq \ell_j - 1, \quad \forall v_i v_j \notin E, v_i \triangleleft v_j. \tag{3.7}$$

We solve the same linear program for the other ordering of the vertices of  $C_t$ . If none of the two programs is feasible, we report that no bounded representation exists. If exactly one of them is feasible, we keep the values obtained for  $\ell_1, \dots, \ell_k$  and  $E_t$ , and process the next component  $C_{t+1}$ . If the two problems are feasible, we keep the one such that the value of  $E_t$  in the solution is smaller, and process  $C_{t+1}$ .

**Lemma 3.7.** *Every bounded  $\varepsilon$ -grid representation of every component  $C_t$  with the left-to-right order  $v_1 \triangleleft \dots \triangleleft v_k$  satisfies all constraints (3.4)–(3.7).*

*Proof.* Constraints of types (3.4) and (3.5) are satisfied since the representation is bounded. Constraints of type (3.6) give correct representation of intersecting pairs of intervals. The non-intersecting pairs of an  $\varepsilon$ -grid representation are at distance at least  $\varepsilon$  which makes constraints of type (3.7) satisfied.  $\square$

Now, we are ready to show:

**Proposition 3.8.** *The BOUNDREP problem can be solved in polynomial time with respect to  $r$  by the algorithm above where  $r$  is the size of the input.*

*Proof.* Concerning the running time, it depends polynomially on the sizes of  $n$  and  $\varepsilon$ , which are polynomial in the size of the input  $r$ . It remains to show correctness.

Suppose that the algorithm returns a candidate for a bounded representation. The formulation of the linear program ensures that it is a correct representation:

Constraints of type (3.2) and (3.3) make the representation respect  $\triangleleft$ , and the drawings of the distinct components are disjoint. Constraints of type (3.4) and (3.5) enforce that the given lower and upper bounds for the positions of the intervals are satisfied. Finally, constraints of type (3.3), (3.6) and (3.7) make the drawing of the vertices of a particular component  $C_t$  be a correct representation.

Suppose next that a bounded representation exists. According to Lemma 3.5 and Lemma 3.6, there also exists an  $\varepsilon$ -grid bounded representation  $\mathcal{R}'$  having the order in the indistinguishable groups as defined above. So for each component  $C_t$ , one of the two orderings  $\triangleleft$  constructed for the linear programs agree with the left-to-right order of  $C_t$  in  $\mathcal{R}'$ .

We want to show that the representation of each component  $C_t$  in  $\mathcal{R}'$  gives a solution to one of the two linear programs associated to  $C_t$ . We denote by  $E'_t$  the value of  $E_t$  in the representation  $\mathcal{R}'$ , and by  $E_t^{\min}$  the value of  $E_t$  obtained by the algorithm after solving the two linear programming problems associated to  $C_t$ . We show by induction on  $t$  that  $E_t^{\min} \leq E'_t$ , which specifically implies that  $E_t^{\min}$  exists and at least one of the linear programs for  $C_t$  is solvable.

We start with  $C_1$ . As argued above, the left-to-right order in  $\mathcal{R}'$  agrees with one of the orderings  $\triangleleft$ , so the representation of  $C_1$  satisfies the constraints (3.3). Since  $E_0 = -\infty$ , it also satisfies the constraint (3.2). By Lemma 3.7, the rest of the constraints are also satisfied. Thus the representation of  $C_1$  gives a feasible solution for one program and gives  $E_1^{\min} \leq E'_1$ .

Assume now that, for some  $C_t$  with  $t \geq 1$ , at least one of the two linear programming problems associated to  $C_t$  admits a solution, and from induction hypothesis  $E_t^{\min} \leq E'_t$ . In  $\mathcal{R}'$ , two neighboring components are represented at distance at least  $\varepsilon$ . Additionally, if  $v_1$  refers to a vertex of  $C_{t+1}$ ,  $\ell_1 \geq E'_t + \varepsilon \geq E_t^{\min} + \varepsilon$ . Therefore, representation of  $C_{t+1}$  in  $\mathcal{R}'$  satisfies the constraint (3.2) and similarly as above the rest of the conditions. So the representation of  $C_{t+1}$  in  $\mathcal{R}$  gives some solution to one of the programs and we get  $E_{t+1}^{\min} \leq E'_{t+1}$ .

In summary, if there exists a bounded representation, for each component  $C_t$  at least one of the two linear programming problems associated to  $C_t$  admits a solution. Therefore, the algorithm returns a correct bounded representation  $\mathcal{R}$  (as discussed in the beginning of the proof). We note that  $\mathcal{R}$  does not have to be an  $\varepsilon$ -grid representation since the linear program just states that non-intersecting intervals are at distance at least  $\varepsilon$ . To construct an  $\varepsilon$ -grid representation if necessary, we can proceed as in the proof of Lemma 3.5.  $\square$

For a pseudocode, see Algorithm 5 in Appendix A.5.

We note that it is possible to reduce the number of constraints of the linear program from  $\mathcal{O}(n^2)$  to  $\mathcal{O}(n)$ . Using the ordering constraints (3.3), we can replace the groups of constraints (3.6) and (3.7) by a linear number of constraints as follows. For each  $v_j$ , let  $v_i$  be the rightmost vertex such that  $v_i \triangleleft v_j$  and  $v_i v_j \notin E$ . Then we only state the constraint (3.6) for  $v_{i+1}$  and  $v_j$ , and the constraint (3.7) for  $v_i$  and  $v_j$ . This is equivalent to the original formulation of the problem.

### 3.3.2 The partially ordered set $\mathfrak{Rep}$

Let the graph  $G$  in consideration be a connected unit interval graph. We study structural properties of its representations. Suppose that we fix some partial left-to-right order  $<$  of the intervals from Section 3.1, so that only indistinguishable vertices are incomparable, and also we fix some positive  $\varepsilon = \frac{1}{K}$ . For most of this section, we work just with lower bounds and completely ignore upper bounds.

We define  $\mathfrak{Rep}$  as the set of all  $\varepsilon$ -grid representations in the left-to-right ordering extending  $<$  satisfying the lower bounds. We define a very natural partial ordering  $\leq$  on  $\mathfrak{Rep}$ : We put  $\mathcal{R} \leq \mathcal{R}'$  if and only if  $\ell_i \leq \ell'_i$  for every  $v_i \in V(G)$ . In this section, we study structural properties of the poset  $(\mathfrak{Rep}, \leq)$ .

If  $\varepsilon \leq \frac{1}{n}$ , it holds that  $\mathfrak{Rep} \neq \emptyset$  since the graph  $G$  is a unit interval graph and there always exists an  $\varepsilon$ -grid representation  $\mathcal{R}$  far to the right satisfying the lower bound constraints.

**The Semilattice Structure.** Let us assume that  $\text{lbound}(v_i) > -\infty$  for some  $v_i \in V(G)$ , otherwise the structure of  $\mathfrak{Rep}$  is not very interesting. Let  $S$  be a subset of  $\mathfrak{Rep}$ . The infimum  $\inf(S)$  is the greatest representation  $\mathcal{R} \in \mathfrak{Rep}$  such that  $\mathcal{R} \leq \mathcal{R}'$  for every  $\mathcal{R}' \in S$ . In a general poset, infimums may not exist, but if they exist, they are always unique. For  $\mathfrak{Rep}$ , we show:

**Lemma 3.9.** *For every non-empty  $S \subseteq \mathfrak{Rep}$ , the infimum  $\inf(S)$  exists.*

*Proof.* We construct the requested infimum  $\mathcal{R}$  as follows:

$$\ell_i = \min\{\ell'_i : \forall \mathcal{R}' \in S\}, \quad \forall v_i \in V(G).$$

Notice that the positions in  $\mathcal{R}$  are well-defined since the position of each interval in each  $\mathcal{R}'$  is bounded and always on the  $\varepsilon$ -grid. Clearly, if  $\mathcal{R}$  is a correct representation, it is the infimum  $\inf(S)$ . It remains to show that  $\mathcal{R} \in \mathfrak{Rep}$ .

Clearly all positions in  $\mathcal{R}$  belong to the  $\varepsilon$ -grid and satisfy the lower bound constraints. Let  $v_i$  and  $v_j$  be two vertices. The values  $\ell_i$  and  $\ell_j$  in  $\mathcal{R}$  are given by two representation  $\mathcal{R}_1, \mathcal{R}_2 \in S$  (so  $\ell_i = \ell_i^1$  and  $\ell_j = \ell_j^2$ ). Notice that the left-to-right order in  $\mathcal{R}$  has to extend  $<$ : If  $v_i < v_j$ , then  $\ell_i = \ell_i^1 \leq \ell_i^2 < \ell_j^2 = \ell_j$  since  $\mathcal{R}_1$  minimizes the position of  $v_i$  and the left-to-right order in  $\mathcal{R}_2$  extends  $<$ . Concerning correctness of the representation of the pair  $v_i$  and  $v_j$ , we suppose that  $\ell_i = \ell_i^1 \leq \ell_j^2 = \ell_j$ , otherwise we swap  $v_i$  and  $v_j$ .

- Let  $v_i v_j \in E(G)$ . Then  $\ell_j^2 \leq \ell_j^1$  since  $\mathcal{R}_2$  minimized the position of  $v_j$ . Since  $\mathcal{R}_1$  is a correct representation,  $\ell_j^1 - 1 \leq \ell_i^1$ . So  $\ell_j - 1 \leq \ell_i \leq \ell_j$ , and the intervals  $v_i$  and  $v_j$  intersect.
- The other case is when  $v_i v_j \notin E(G)$ . Then  $\ell_i^1 \leq \ell_i^2 \leq \ell_j^2 - 1 - \varepsilon$  since  $\mathcal{R}_1$  minimized the position of  $v_i$ ,  $\mathcal{R}_2$  is a correct representation and  $v_i < v_j$  in both representations. So also  $v_i$  and  $v_j$  do not intersect in  $\mathcal{R}$  as requested.

So  $\mathcal{R}$  represents correctly each pair  $v_i$  and  $v_j$ , and hence  $\mathcal{R} \in \mathfrak{Rep}$ . □

A poset is a *(meet)-semilattice* if for every pair of elements  $a, b$  the infimum  $\inf(\{a, b\})$  exists. Lemma 3.9 shows that the poset  $(\mathfrak{Rep}, \leq)$  forms a (meet)-semilattice. Similarly as  $\mathfrak{Rep}$ , we could consider a poset set of all ( $\varepsilon$ -grid) representations satisfying both the lower and the upper bounds. The structure of this poset would be a *complete lattice* having infimums and supremums of all subsets. Lattices and semilattices are frequently studied and posets which are lattices satisfy very strong algebraic properties.

**The Left-most Representation.** We are interested in a specific representation in  $\mathfrak{Rep}$ , called the *left-most representation*. An  $\varepsilon$ -grid representation  $\mathcal{R} \in \mathfrak{Rep}$  is the left-most representation if  $\mathcal{R} \leq \mathcal{R}'$  for every  $\mathcal{R}' \in \mathfrak{Rep}$ ; so the left-most representation is left-most in each interval at the same time. We note that the notion of the left-most representation does not make sense if we consider general representations (not on the  $\varepsilon$ -grid). The left-most representation is the infimum  $\inf(\mathfrak{Rep})$ , and thus by Lemma 3.9 we get:

**Corollary 3.10.** *The left-most representation always exists and it is unique.*

There are two algorithmic motivations for studying left-most representations. First, in the linear program of Section 3.3.1 we need to find a representation minimizing  $E_t$ . Clearly, the left-most representation is minimizing  $E_t$  and in addition it is minimizing the rest of the endpoints as well. The second motivation is that we want to construct a representation satisfying the upper bounds as well. It seems reasonable to try to place every interval as far to the left as possible and the left-most representation is a good candidate for a bounded representation.

**Lemma 3.11.** *There exists a representation  $\mathcal{R}'$  satisfying both lower and upper bound constraints if and only if the left-most representation  $\mathcal{R}$  satisfies the upper bound constraints.*

*Proof.* Since  $\mathcal{R} \in \mathfrak{Rep}$ , it satisfies the lower bounds. If  $\mathcal{R}$  satisfies the upper bound constraints, it is a bounded representation. On the other hand, let  $\mathcal{R}'$  be a bounded representation. Then

$$\text{lbound}(v_i) \leq \ell_i \leq \ell'_i \leq \text{ubound}(v_i), \quad \forall v_i \in V(G),$$

and the left-most representation is also a bounded representation.  $\square$

### 3.3.3 Left-Shifting of Intervals

Suppose that we construct some initial  $\varepsilon$ -grid representation which is not the left-most representation. We want to transform this initial representation in  $\mathfrak{Rep}$  into the left-most representation of  $\mathfrak{Rep}$  by applying the following simple operation called *left-shifting*. The left-shifting operation shifts one interval of the representations by  $\varepsilon$  to the left such that this shift maintains the correctness of the representation; for an example see Figure 3.7a. The main goal of this section is to prove that by left-shifting we can always produce the left-most representation.

**Proposition 3.12.** *For  $\varepsilon = \frac{1}{K}$  and  $K \geq n$ , an  $\varepsilon$ -grid representation  $\mathcal{R} \in \mathfrak{Rep}$  is the left-most representation if and only if it is not possible to shift any single interval to the left by  $\varepsilon$  while maintaining correctness of the representation.*

### 3.3. Bounded Representations with Prescribed Ordering

Before proving the proposition, we describe some additional combinatorial structure of left-shifting. An interval  $v_i$  is called *fixed* if it is in the left-most position and cannot ever be shifted more to the left, i.e.,  $\ell_i = \min\{\ell'_i, \forall \mathcal{R}' \in \mathfrak{Rep}\}$ . For example, an interval  $v_i$  is fixed if  $\ell_i = \text{lbound}(v_i)$ . A representation is the left-most representation if and only if every interval is fixed.

**Obstruction Digraph.** An interval  $v_i$ , having  $\ell_i > \text{lbound}(v_i)$ , can be left-shifted if it does not make the representation incorrect, and the incorrectness can be obtained in two ways. First, there could be some interval  $v_j$ ,  $v_j \triangleleft v_i$  such that  $v_i v_j \notin E(G)$  and  $\ell_j + 1 + \varepsilon = \ell_i$ ; we call  $v_j$  a *left-obstruction* of  $v_i$ . Second, there could be some interval  $v_j$ ,  $v_i \triangleleft v_j$  such that  $v_i v_j \in E(G)$  and  $\ell_i + 1 = \ell_j$  (so  $v_i$  and  $v_j$  are touching); then we call  $v_j$  a *right-obstruction* of  $v_i$ . In both cases, we first need to move  $v_j$  before moving  $v_i$ .

For the current representation  $\mathcal{R}$ , we define the *obstruction digraph*  $H$  on the vertices of  $G$  as follows. We put  $V(H) = V(G)$  and  $(v_i, v_j) \in E(H)$  if and only if  $v_j$  is an obstruction of  $v_i$ . For an edge  $(v_i, v_j)$ , if  $v_j \triangleleft v_i$ , we call it a *left-edge*; if  $v_i \triangleleft v_j$ , we call it a *right-edge*. As we apply left-shifting, the structure of  $H$  changes; see Figure 3.7b.

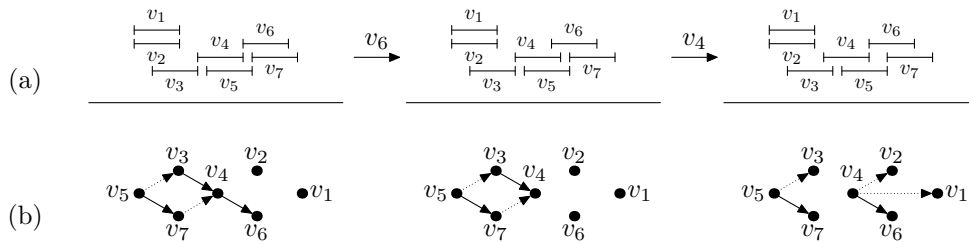
**Lemma 3.13.** *An interval  $v_i$  is fixed if and only if there exists an oriented path in  $H$  from  $v_i$  to  $v_j$  such that  $\ell_j = \text{lbound}(v_j)$ .*

*Proof.* Suppose that  $v_i$  is connected to  $v_j$  by a path in  $H$ . By definition of  $H$ ,  $v_x v_y \in E(H)$  implies that  $v_y$  has to be shifted before  $v_x$ . Thus  $v_j$  has to be shifted before moving  $v_i$  which is not possible since  $\ell_j = \text{lbound}(v_j)$ .

On the other hand, suppose that  $v_i$  is fixed, i.e.,  $\ell_i = \inf\{\ell'_i : \forall \mathcal{R}'\}$ . Let  $H'$  be the induced subgraph of  $H$  of the vertices  $v_j$  such that there exists an oriented path from  $v_i$  to  $v_j$ . If for all  $v_j \in H'$ ,  $\ell_j > \text{lbound}(v_j)$ , we can shift all vertices of  $H'$  by  $\varepsilon$  to the left which constructs a correct representation and contradicts that  $v_i$  is fixed. Therefore, there exists  $v_j \in H'$  having  $\ell_j = \text{lbound}(v_j)$  as requested.  $\square$

For example in Figure 3.7, if  $\ell_2 = \text{lbound}(v_2)$ , then the intervals  $v_2, v_4, v_3, v_7$  and  $v_5$  are fixed. Also, we can easily prove:

**Lemma 3.14.** *If  $\varepsilon = \frac{1}{K}$  and  $K \geq \frac{n}{2}$ , the obstruction digraph  $H$  is acyclic.*



**Figure 3.7:** (a) A representation modified by left-shifting of  $v_6$  and  $v_4$ . (b) The corresponding obstruction digraph  $H$  for each of the representations. Only sinks of the obstruction digraph can be left-shifted.

*Proof.* Suppose for contradiction that  $H$  contains some cycle  $u_1, \dots, u_c$ . This cycle contains  $a$  left-edges and  $b$  right-edges. Recall that if  $(u_i, u_{i+1})$  is a left-edge, then  $\ell_{u_{i+1}} = \ell_{u_i} - 1 - \varepsilon$ , and if it is a right-edge,  $\ell_{u_{i+1}} = \ell_{u_i} + 1$  (and similarly for  $(u_c, u_1)$ ). If we go along the cycle from  $u_1$  to  $u_1$ , the initial and the final positions have to be the same. Therefore  $a(1 + \varepsilon) = b$ .

Now if this equation holds, then  $a$  has to be a multiple of  $K$ . Therefore  $a \geq K$  and  $b \geq K + 1$ , and thus  $n \geq c = a + b \geq 2K + 1$  which is not possible.  $\square$

We note that the assumption  $K \geq \frac{n}{2}$  is necessary. For every  $\varepsilon = \frac{1}{K}$ , there exists a representation of a graph with  $2K + 1$  vertices having a cycle in  $H$ . The graph contains two cliques  $v_0, \dots, v_{K-1}$  and  $w_0, \dots, w_K$  such that  $v_i$  is also adjacent to  $w_0, \dots, w_i$ . Then the assignment  $\ell_{v_0} = 0$ ,  $\ell_{v_i} = \ell_{v_0} + i\varepsilon$  and  $\ell_{w_i} = \ell_{v_0} + 1 + i\varepsilon$  is a correct representation. Observe that  $H$  contains a cycle  $w_K v_{K-1} w_{K-1} v_{K-2} w_{K-2} \dots v_1 w_1 v_0 w_0 w_K$ . See Figure 3.8 for  $K = 3$ .

**Predecessors of Poset  $\mathfrak{Rep}$ .** A representation  $\mathcal{R}' \in \mathfrak{Rep}$  is a *predecessor* of  $\mathcal{R} \in \mathfrak{Rep}$  if  $\mathcal{R}' < \mathcal{R}$  and there is no representation  $\bar{\mathcal{R}} \in \mathfrak{Rep}$  such that  $\mathcal{R}' < \bar{\mathcal{R}} < \mathcal{R}$ . We denote the predecessor relation by  $\prec$ . In a general poset, predecessors may not exist. But they always exist for a poset of a discrete structure like  $(\mathfrak{Rep}, \leq)$ : Indeed, there are finitely many representations  $\bar{\mathcal{R}}$  between any  $\mathcal{R}' < \mathcal{R}$ , and thus the predecessors always exist. Also, for any two representations  $\mathcal{R}' < \mathcal{R}$ , there exists a finite *chain* of predecessors  $\mathcal{R}' = \mathcal{R}_0 \prec \mathcal{R}_1 \prec \dots \prec \mathcal{R}_k = \mathcal{R}$ .

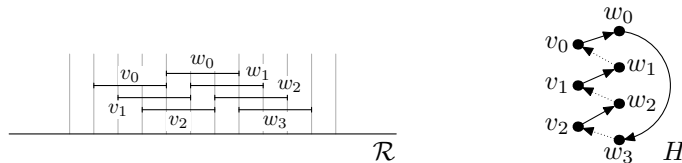
For the poset  $(\mathfrak{Rep}, \leq)$ , we are able to fully describe the predecessor structure:

**Lemma 3.15.** *The representation  $\mathcal{R}'$  is a predecessor of  $\mathcal{R}$  if and only if  $\mathcal{R}'$  is obtained from  $\mathcal{R}$  by applying one left-shifting operation.*

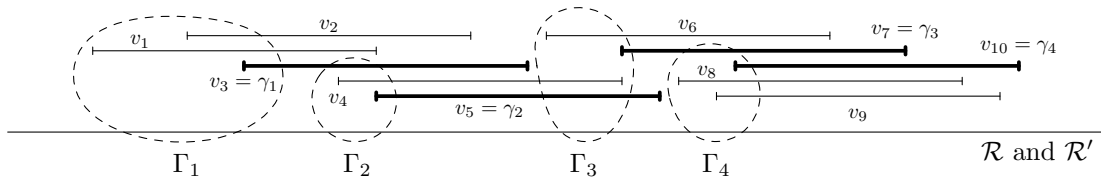
*Proof.* Clearly, if  $\mathcal{R}'$  is obtained from  $\mathcal{R}$  by one left-shifting, it is a predecessor of  $\mathcal{R}$ .

On the other hand, suppose we have  $\mathcal{R}' < \mathcal{R}$ . Let  $H$  be the obstruction digraph of  $\mathcal{R}$  and  $\bar{H}$  be the subgraph of  $H$  induced by the intervals having different positions in  $\mathcal{R}$  and  $\mathcal{R}'$ . Then there are no directed edges from  $\bar{H}$  to  $H \setminus \bar{H}$  (otherwise  $\mathcal{R}'$  would be an incorrect representation). According to Lemma 3.14, the digraph  $\bar{H}$  is acyclic. Therefore, it contains at least one sink  $v_i$ . By left-shifting  $v_i$  in  $\mathcal{R}$ , we create a correct representation  $\bar{\mathcal{R}} \in \mathfrak{Rep}$ . Clearly,  $\mathcal{R}' \leq \bar{\mathcal{R}} \prec \mathcal{R}$ , and so  $\mathcal{R}'$  is a predecessor of  $\mathcal{R}$  if and only if  $\mathcal{R}' = \bar{\mathcal{R}}$ .  $\square$

**Proof of Left-shifting Proposition.** The main proposition of this subsection is a simple corollary of Lemma 3.15.



**Figure 3.8:** An  $\varepsilon$ -grid representation for  $\varepsilon = \frac{1}{3}$  on the left and the obstruction digraph  $H$  containing a cycle on the right.



**Figure 3.9:** Both representations  $\mathcal{R}$  and  $\mathcal{R}'$  in one figure, with intervals of  $\mathcal{R}'$  depicted in bold. The left endpoints of intervals of each group are enclosed by dashed curves, and these curves are ordered from left to right according to  $<$ .

*Proof of Proposition 3.12.* The left-most representation  $\mathcal{R}$  is  $\text{inf}(\mathfrak{Rep})$ , so it has no predecessors and nothing can be left-shifted. On the other hand, if  $\mathcal{R} < \mathcal{R}'$ , there is a chain of predecessors in between which implies that it is possible to left-shift some interval.  $\square$

### 3.3.4 Preliminaries for the Almost Quadratic-time Algorithm

Before describing the almost quadratic-time algorithm, we present several results which simplify the graph and the description of the algorithm.

**Pruned Graph.** The obstruction digraph  $H$  may contain many edges since each vertex  $v_i$  can have many obstructions. But if  $v_i$  has many, say, left-obstructions, these obstructions have to be positioned the same. If two intervals  $u$  and  $v$  have the same position in a correct unit interval representation, then  $N[u] = N[v]$  and they are indistinguishable. Our goal is to construct a *pruned graph*  $G'$  which replaces each group of indistinguishable vertices of  $G$  by a single vertex. This construction is not completely straightforward since indistinguishable vertices may have different lower and upper bounds.

Let  $\Gamma_1, \dots, \Gamma_k$  be the vertices partitioned by groups of indistinguishable vertices (and the groups are ordered by  $<$  from left to right). We construct a unit interval graph  $G'$ , where the vertices are  $\gamma_1, \dots, \gamma_k$  with  $\text{lbound}(\gamma_i) = \max\{\text{lbound}(v_j) : v_j \in \Gamma_i\}$ , and the edges  $E(G')$  correspond to the edges between the groups of  $G$ .

Suppose that we have the left-most representation  $\mathcal{R}'$  of the pruned graph  $G'$  and we want to construct the left-most representation  $\mathcal{R}$  of  $G$ . Let  $\Gamma_\ell$  be a group. We place each interval  $v_i \in \Gamma_\ell$  as follows. Let  $\gamma_{\leftarrow}^\ell$  be the first non-neighbor of  $\gamma_\ell$  on the left and  $\gamma_{\rightarrow}^\ell$  be the right-most neighbor of  $\gamma_\ell$  (possibly  $\gamma_{\rightarrow}^\ell = \gamma_\ell$ ). We set

$$l_i = \max\{\text{lbound}(v_i), l_{\gamma_{\leftarrow}^\ell} + 1 + \varepsilon, l_{\gamma_{\rightarrow}^\ell} - 1\}, \quad (3.8)$$

and if  $\gamma_{\leftarrow}^\ell$  does not exist, we ignore it in max. The meaning of this formula is to place each interval as far to the left as possible while maintaining the structure of  $\mathcal{R}'$ . Figure 3.9 contains an example of the construction of  $\mathcal{R}$ .

Before proving correctness of the construction of  $\mathcal{R}$ , we show two general properties of the formula (3.8). The first lemma states that each interval  $v_i \in \Gamma_\ell$  is not placed in  $\mathcal{R}$  too far from the position of  $\gamma_\ell$  in  $\mathcal{R}'$ .

**Lemma 3.16.** *For each  $v_i \in \Gamma_\ell$ , it holds*

$$l_{\gamma_\ell} - 1 \leq l_i \leq l_{\gamma_\ell}. \quad (3.9)$$

*Proof.* The first inequality is true since  $l_{\gamma_\ell} - 1 \leq l_{\gamma_{\rightarrow}^\ell} - 1 \leq l_i$  holds according to (3.8) and the ordering  $<$  for  $\mathcal{R}'$ . The second inequality holds since  $\mathcal{R}'$  is a correct bounded representation, and so  $l_{\gamma_\ell}$  is greater than or equal to each term in (3.8).  $\square$

The second lemma states that the representations  $\mathcal{R}$  and  $\mathcal{R}'$  are *intertwining* each other. If  $\mathcal{R}$  is drawn on top of  $\mathcal{R}'$ , then the vertices of each group  $\Gamma_\ell$  are in between of  $\gamma_{\ell-1}$  and  $\gamma_\ell$ ; see Figure 3.9.

**Lemma 3.17.** *For each  $v_i \in \Gamma_\ell$ ,  $\ell > 1$ , it holds*

$$l_{\gamma_{\ell-1}} < l_i \leq l_{\gamma_\ell}, \quad (3.10)$$

*Proof.* The second inequality holds by (3.9). For the first inequality, there are two possible cases why the groups  $\Gamma_{\ell-1}$  and  $\Gamma_\ell$  are distinct:

- The first case is when  $\gamma_{\leftarrow}^\ell$  is a neighbor of  $\gamma_{\ell-1}$ . Then  $l_{\gamma_{\ell-1}} \leq l_{\gamma_{\leftarrow}^\ell} + 1 < l_i$ ; the first inequality holds since  $\gamma_{\leftarrow}^\ell \gamma_{\ell-1} \in E(G')$  and  $\mathcal{R}'$  is a correct representation, and the second inequality is given by (3.8).
- The second case is when  $\gamma_{\rightarrow}^\ell$  is a non-neighbor of  $\gamma_{\ell-1}$ . Then  $l_{\gamma_{\ell-1}} < l_{\gamma_{\rightarrow}^\ell} - 1 \leq l_i$  by the fact that  $\gamma_{\ell-1} \gamma_{\rightarrow}^\ell \notin E(G')$  and by (3.8).

In both cases, we get  $\gamma_{\ell-1} < l_i$ .  $\square$

Now, we are ready to show correctness of the construction of  $\mathcal{R}$ .

**Proposition 3.18.** *From the left-most representation  $\mathcal{R}'$  of the pruned graph  $G'$ , we can construct the correct left-most representation  $\mathcal{R}$  of  $G$  by placing the intervals according to (3.8).*

*Proof.* We argue the correctness of the representation  $\mathcal{R}$ . Let  $v_i$  and  $v_j$  be a pair of vertices of  $G$ . Let  $v_i v_j \in E(G)$ . If  $v_i$  and  $v_j$  belong to the same group  $\Gamma_\ell$ , they intersect each other at position  $l_{\gamma_\ell}$  by (3.9). Otherwise let  $v_i \in \Gamma_\ell$  and  $v_j \in \Gamma_{\ell'}$ , and assume that  $\Gamma_\ell < \Gamma_{\ell'}$ . Then  $l_i \leq l_{\gamma_\ell} \leq l_j$  by the intertwining property (3.10). Also,  $l_j \leq l_{\gamma_{\ell'}} \leq l_{\gamma_{\rightarrow}^{\ell'}} \leq l_i + 1$  since  $\gamma_{\ell'}$  is a right neighbor of  $\gamma_\ell$  and (3.9). Therefore,  $l_i \leq l_j \leq l_i + 1$  and  $v_i$  intersects  $v_j$  in  $\mathcal{R}$ . Now, let  $v_i v_j \notin E(G)$ ,  $v_i \in \Gamma_\ell$ ,  $v_j \in \Gamma_{\ell'}$  and  $v_i < v_j$ . Then  $l_i \leq l_{\gamma_\ell} \leq l_{\gamma_{\leftarrow}^{\ell'}} \leq l_j - 1 - \varepsilon$  by (3.8) and (3.9), so  $v_i$  and  $v_j$  do not intersect. So the assignment  $\mathcal{R}$  is a correct representation of  $G$ .

It remains to show that  $\mathcal{R}$  is the left-most representation of  $G$ . We can identify each  $\gamma_\ell$  with one interval  $v_i \in \Gamma_\ell$  having  $\text{lbound}(v_i) = \text{lbound}(\gamma_\ell)$ ; for an example see Figure 3.9. So  $G'$  can be viewed as an induced subgraph of  $G$ . We want to show that the intervals of  $G'$  are represented in  $\mathcal{R}$  exactly the same as in  $\mathcal{R}'$ . Since  $\mathcal{R}|_{G'}$  ( $\mathcal{R}$  restricted to  $G'$ ) is some representation of  $G'$  and  $\mathcal{R}'$  is the left-most representation of  $G'$ ,  $l'_{\gamma_\ell} \leq l_{\gamma_\ell}$  for every  $\gamma_\ell$ . By (3.9), we get  $l'_{\gamma_\ell} = l_{\gamma_\ell}$ .

We know that  $\mathcal{R}|_{G'}$  is the left-most representation, or in other words each interval of  $G'$  is fixed in  $\mathcal{R}$ . The rest of the intervals are placed so that they are either trivially fixed by  $l_i = \text{lbound}(v_i)$ , or they have as obstructions some fixed intervals from  $G'$ , in which case they are fixed by Lemma 3.13. Therefore, every interval of  $G$  is fixed and  $\mathcal{R}$  is the left-most representation.  $\square$



For the pruned graph  $G'$ , the obstruction digraph  $H$  has in and out-degree at most two. Each interval has at most one left-obstruction and at most one right-obstruction, and these obstructions are always the same. More precisely, if  $v_j$  is a left-obstruction of  $v_i$ , then  $v_j = v_{\leftarrow}^i$ , whereas if  $v_j$  is a right-obstruction of  $v_i$ , then  $v_j = v_{\rightarrow}^i$ .

The pruning operation can be done in time  $\mathcal{O}(n + m)$ , so we may assume that our graph  $G$  is already pruned and contains no indistinguishable vertices. And the structure of obstructions in  $G$  can be computed in time  $\mathcal{O}(n + m)$  as well.

**Position Cycle.** For each interval in some  $\varepsilon$ -grid representation, we can write its position in this form:

$$\ell_i = \alpha_i + \beta_i \varepsilon, \quad \alpha_i \in \mathbb{Z}, \beta_i \in \mathbb{Z}_K, \quad (3.11)$$

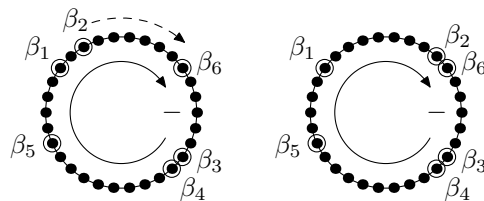
where  $\varepsilon = \frac{1}{K}$ . In other words,  $\alpha_i$  is an integer position of  $v_i$  in the grid and  $\beta_i$  describes how far is this interval from this integer position.

Concerning left-shifting, the values  $\beta_i$  are more important. We can depict  $\mathbb{Z}_K = \{0, \dots, K - 1\}$  as a cycle with  $K$  vertices where the value decreases clockwise. The value  $\beta_i$  assigns to each interval  $v_i$  one vertex of the cycle. The cycle  $\mathbb{Z}_K$  together with marked positions of  $\beta_i$ 's is called the *position cycle*. A vertex of the position cycle is called *taken* if some  $\beta_i$  is assigned to it, and *empty* otherwise. The position cycle allows us to visualize and work with left-shifting very intuitively. When an interval  $v_i$  is left-shifted,  $\beta_i$  cyclically decreases by one, so  $\beta_i$  moves clockwise along the cycle. For an illustration, see Figure 3.10.

If  $(v_i, v_j)$  is a left-edge of  $H$ , then  $\beta_j = \beta_i - 1$ , and if  $(v_i, v_j)$  is a right-edge, then  $\beta_i = \beta_j$ . So if  $v_j$  is an obstruction of  $v_i$ ,  $\beta_j$  has to be very close to  $\beta_i$  (either at the same position or at the next clockwise position). If there is a big empty space in the clockwise direction from  $\beta_i$ , the interval  $v_i$  can be left-shifted many times (or till it becomes fixed by  $\ell_i = \text{lbound}(v_i)$ ). Notice that if  $\beta_i$  is very close to  $\beta_j$ , it does not mean that  $\ell_i$  is very close to  $\ell_j$  because the values  $\alpha_i$  and  $\alpha_j$  are ignored in the position cycle.

#### 3.3.5 The Almost Quadratic-Time Algorithm for BOUNDREP

We want to solve an instance of BOUNDREP with a prescribed ordering  $\blacktriangleleft$ . We work with an  $\varepsilon$ -grid which is different from the one in Section 3.2.1. The new value of  $\varepsilon$  is



**Figure 3.10:** The position cycle with  $\beta_1, \dots, \beta_6$  is on the left. We can shift  $\beta_2$  in clockwise direction towards  $\beta_6$ , which gives a new representation with a new position cycle on the right. We note that after left-shifting,  $v_6$  is not necessarily an obstruction of  $v_2$ .

the value given by (3.1) refined  $n$  times, so

$$\varepsilon = \frac{1}{n^2} \cdot \varepsilon'.$$

Lemma 3.5 applies for this value of  $\varepsilon$  as well, so if the instance is solvable, there exists a solution which is on this  $\varepsilon$ -grid.

The algorithm works exactly as the algorithm of Subsection 3.3.1. The only difference is that we can solve the linear program in time  $\mathcal{O}(n^2 + nD(r))$ , and now we describe how to do it. We assume that the input component is already pruned, otherwise we prune it and use Proposition 3.18 to complete the representation. We expect that the left-to-right order  $\triangleleft$  of the vertices is given. The algorithm requires time  $\mathcal{O}(nD(r))$  since the bounds are given in form  $\frac{p_i}{q_i}$  and we need to perform arithmetic operations with these bounds.

**Overview.** The algorithm for one linear program works in three basic steps:

1. We construct an initial  $\varepsilon$ -grid representation (in ordering  $\triangleleft$ ) having  $\ell_i \geq \text{lbound}(v_i)$  for all intervals, using the algorithm of Corneil et al. [8].
2. We shift the intervals to the left while maintaining correctness of the representation until the left-most representation is constructed, using Proposition 3.12.
3. We check whether the left-most representation satisfies the upper bounds. If so, we have the left-most representation satisfying all bound constraints. This representation solves the linear program of Subsection 3.3.1 and minimizes  $E_t$ . Otherwise, the left-most representation does not satisfy the upper bound constraints, and thus by Lemma 3.11 no representation satisfying the upper bound constraints exists, and the linear program has no solution.

**Input Size.** Let  $r$  be the size of the input. A standard complexity assumption is that we can operate with polynomially large numbers (having  $\mathcal{O}(\log r)$  bits in binary) in constant time, to avoid extra factor  $\mathcal{O}(\log r)$  in the complexity of most of the algorithms. However, the value of  $\varepsilon$  given by (3.1) might require  $\mathcal{O}(r)$  digits when written in binary. The assumption that we can compute with numbers having  $\mathcal{O}(r)$  digits in constant time would break most of the computational models. Therefore, our computational model requires a larger time for arithmetic operations with numbers having  $\mathcal{O}(r)$  digits in binary. For example, the best known algorithm for multiplication/division requires time  $\mathcal{O}(D(r))$ .

The problem is that a straightforward implementation of our algorithm working with the  $\varepsilon$ -grid would require time  $\mathcal{O}(n^2 r^c)$  for some  $c$  instead of  $\mathcal{O}(n^2 + nD(r))$ . There is an easy way out. Instead of computing with *long numbers* having  $\mathcal{O}(r)$  digits, we mostly compute with *short numbers* having just  $\mathcal{O}(\log r)$  digits. Instead of the  $\varepsilon$ -grid, we mostly work in a larger  $\Delta$ -grid where  $\Delta = \frac{1}{n^2}$ . The algorithm computes with the long numbers only in two places. First, some initial computations concerning the input are performed. Second, when the shifting makes some interval fixed, the algorithm estimates the final  $\varepsilon$ -grid position of the interval. All these computations can be done in total time  $\mathcal{O}(nD(r))$  and we describe everything in detail later.

**Left-Shifting.** The basic operation of the algorithm is the LEFTSHIFT procedure which we describe here. We deal separately with fixed and unfixed intervals (and some intervals might be fixed initially). Unfixed intervals are on the  $\Delta$ -grid and fixed intervals have precise positions calculated on the  $\varepsilon$ -grid. We place only unfixed intervals on the position cycle for the  $\Delta$ -grid. At any moment of the algorithm, each vertex of the position cycle is taken by at most one  $\beta_i$ ; this is true for the initial representation and the shifting keeps this property.

We define the procedure LEFTSHIFT( $v_i$ ) which shifts  $v_i$  from the position  $\ell_i$  into a new position  $\ell'_i$  such that the representation remains correct. The procedure LEFTSHIFT( $v_i$ ) consists of two steps:

1. Since  $v_i$  is unfixed, it has some  $\beta_i$  placed on the position cycle. Let  $k$  be such that the vertices  $\beta_i + 1, \dots, \beta_i + k$  of the position cycle are empty and the vertex  $\beta_i + k + 1$  is taken by some  $\beta_b$ . Then a candidate for the new position of  $v_i$  is  $\bar{\ell}_i = \ell_i - k\Delta$ .
2. We need to ensure that this shift from  $\ell_i$  to  $\bar{\ell}_i$  is valid with respect to  $\text{lbound}(v_i)$  and the positions of the fixed intervals. Concerning the lower bound, we cannot shift further than  $\text{lbound}(v_i)$ . Concerning the fixed intervals, the shift is limited by positions of fixed obstructions of  $v_i$ . If  $v_j$  is a fixed left-obstruction, we cannot shift further than  $\ell_j + 1 + \varepsilon$ , and if  $v_{j'}$  a fixed right-obstruction, we cannot shift further than  $\ell_{j'} - 1$ .

The resulting position after applying LEFTSHIFT( $v_i$ ) is

$$\ell'_i = \max\{\bar{\ell}_i, \text{lbound}(v_i), \ell_j + 1 + \varepsilon, \ell_{j'} - 1\}. \quad (3.12)$$

**Lemma 3.19.** *If the original representation  $\mathcal{R}$  is correct, than the LEFTSHIFT( $v_i$ ) procedure produces a correct representation  $\mathcal{R}'$ .*

*Proof.* Clearly, the lower bound for  $v_i$  is satisfied in  $\mathcal{R}'$ . The shift of  $v_i$  from  $\ell_i$  to  $\ell'_i$  can be viewed as a repeated application of the left-shifting operation from Section 3.3.3. We just need to argue that each left-shifting operation can be applied till the position  $\ell'_i$  is reached.

If at some point, the left-shifting operation could not be applied, there would have to be some obstruction  $v_j$  of  $v_i$ . There is no unfixed obstruction since all vertices of the position cycle  $\beta_i + 1, \dots, \beta_i + k$  are empty. And  $v_j$  cannot be fixed as well since we check positions of both possible obstructions. So there is no obstruction  $v_j$ . Therefore, by repeated applying the left-shifting operation, the interval  $v_i$  gets at a position  $\ell'_i$  and the resulting representation is correct.  $\square$

After LEFTSHIFT( $v_i$ ), if  $\bar{\ell}_i$  is not a strict maximum of the four terms in (3.12), the interval  $v_i$  becomes fixed; either trivially since  $\ell'_i = \text{lbound}(v_i)$ , or by Lemma 3.13 since  $v_i$  becomes obstructed by some fixed interval. In such a case, we remove  $\beta_i$  from the position cycle.

**Fast Implementation of Left-Shifting.** Since we apply the LEFTSHIFT procedure repeatedly, we want to implement it in time  $\mathcal{O}(1)$ . Considering the terms in (3.12),

the first term  $\bar{\ell}_i$  is a short number (on the  $\Delta$ -grid) and the remaining terms are long numbers (on the  $\varepsilon$ -grid). We first compare  $\bar{\ell}_i$  to the remaining terms which are three comparisons of short and long numbers and we are going to show how to compare them in  $\mathcal{O}(1)$ . If  $\bar{\ell}_i$  is a strict maximum, we use it for  $\ell'_i$ . Otherwise, we need to compute the maximum of the remaining three terms which takes time  $\mathcal{O}(D(r))$ . But then the interval  $v_i$  becomes fixed, and so this costly step is done exactly  $n$  times, and takes the total time  $\mathcal{O}(nD(r))$ .

**Lemma 3.20.** *With the total precomputation time  $\mathcal{O}(nD(r))$ , it is possible to compare  $\bar{\ell}_i$  to the remaining terms in (3.12) in time  $\mathcal{O}(1)$  per LEFTSHIFT procedure.*

*Proof.* Initially, we do the following precomputation for the lower bounds. By the input, we have  $b$  lower bounds given in the form  $\frac{p_1}{q_1}, \dots, \frac{p_b}{q_b}$  as irreducible fractions. For each bound, we first compute its position  $(\alpha_i, \beta_i)$  on the  $\varepsilon$ -grid; see (3.11).

If  $\text{lbound}(v_i) \ll \text{lbound}(v_j)$  for some vertices  $v_i$  and  $v_j$ , then  $\text{lbound}(v_i)$  is never achieved since the graph is connected and every representation takes space at most  $n$ . Therefore we can increase  $\text{lbound}(v_i)$  without any change in the solution of the instance. More precisely, let  $\alpha = \max \alpha_i$ . Then we modify each bound by setting  $\alpha_i := \max\{\alpha - n - 1, \alpha_i\}$ . In addition, we shift all the bounds by subtracting a constant  $C$  such that each  $\alpha_i - C \in [0, n + 1]$ . Concerning  $\beta_i$ , we round the position  $(\alpha_i, \beta_i)$  down to a position  $(\alpha_i, \bar{\beta}_i)$  of the  $\Delta$ -grid. These precomputations can be done for all lower bounds in time  $\mathcal{O}(nD(r))$ .

Suppose that we want to find out whether  $\bar{\ell}_i \leq \text{lbound}(v_j) = \alpha_j + \beta_j \cdot \varepsilon$  where  $\bar{\ell}_i$  is in the  $\Delta$ -grid. Then it is sufficient to check whether  $\bar{\ell}_i \leq \alpha_j + \bar{\beta}_j \Delta$  which can be done in constant-time since both  $\alpha_j$  and  $\bar{\beta}_j$  are short numbers.

When  $v_j$  becomes fixed, its precise position is computed using (3.12). Then we compute the values  $\ell_j - 1$  and  $\ell_j + 1 + \varepsilon$  used in (3.12) and round them down to the  $\Delta$ -grid. Using these precomputed values,  $\bar{\ell}_i$  can be compared with the remaining terms in (3.12) in time  $\mathcal{O}(1)$ . When an interval becomes fixed, time  $\mathcal{O}(D(r))$  is used. Since each interval becomes fixed exactly once, this rounding takes the total time  $\mathcal{O}(nD(r))$ .  $\square$

Notice that the representation is constructed in a position shifted by  $C$ . Later, before checking the upper bound, we shift the whole representation back.

**Shifting Phases.** All shifting of the algorithm is done by repeated application of the LEFTSHIFT procedure. Using Lemma 3.19, we know that the representation created in each step is correct. We apply the procedure in such a way that each interval is almost always shifted by almost one. Recall that  $\Delta = \frac{1}{n^2}$  and the position cycle has  $n^2$  vertices. The initial  $\Delta$ -grid representation is obtained by the algorithm of Corneil et al. [8]. We shift it such that  $\ell_i \geq \text{lbound}(v_i)$  for each  $v_i$  and  $\ell_i \leq \text{lbound}(v_i) + \Delta$  for some  $v_i$ . In such a case, each interval is shifted in total by at most  $\mathcal{O}(n)$ , and in total we apply the LEFTSHIFT procedure  $\mathcal{O}(n^2)$  times.

The initial representation obtained by the algorithm of Corneil et al. [8] places all intervals in such a way that  $\beta_i$ 's are positioned equidistantly in the position cycle;

refer to the left-most position cycle in Figure 3.11. (So there is a gap of size  $n$  between each consecutive pair of  $\beta_i$ 's.)

The shifting of unfixed intervals proceeds in two phases. The first phase creates one big gap by clustering all  $\beta_i$ 's in one part of the cycle. To do so, we apply the LEFTSHIFT procedure to each interval, in the order given by the position cycle. Of course, some intervals might become fixed and disappear from the position cycle. We obtain one big gap of size at least  $n(n - 1)$ . Again, refer to Figure 3.11.

In the second phase, we use this big gap to shift intervals one by one, which also moves the cluster along the position cycle. Again, if some interval becomes fixed, it is removed from the position cycle. The second phase finishes when each interval becomes fixed and the left-most representation is constructed. For an example, see Figure 3.12.

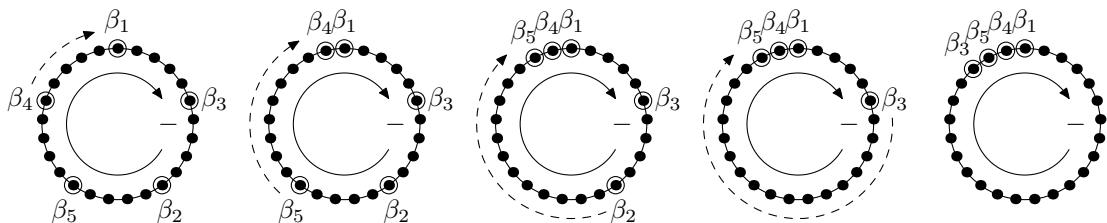
**Putting Together.** For a pseudocode, see Algorithm 7 in Appendix A.7. First, we show correctness of the shifting algorithm and its complexity:

**Lemma 3.21.** *For a component having  $n$  vertices such that the size of the input is  $r$ , the shifting algorithm construct a correct left-most representation in time  $\mathcal{O}(n^2 + nD(r))$ .*

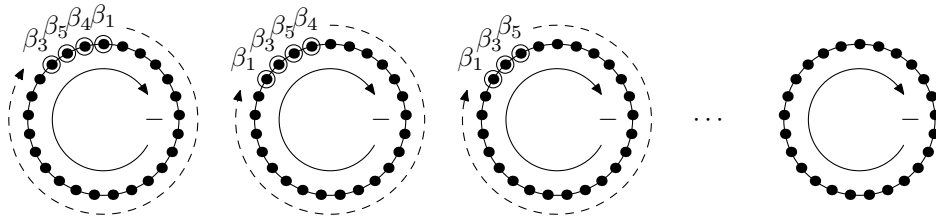
*Proof.* First, we argue correctness of the algorithm. The algorithm starts with an initial representation which is correct and satisfies the lower bounds. By Lemma 3.19, after applying each LEFTSHIFT procedure, the resulting representation is still correct. The algorithm keeps a correct list of fixed intervals which is increased by shifting. So after finitely many applications of the LEFTSHIFT procedure, every interval becomes fixed, and we obtain the left-most representation.

Concerning complexity, all precomputations take total time  $\mathcal{O}(nD(r))$ . Using Lemma 3.20, each LEFTSHIFT( $v_i$ ) procedure can be applied in time  $\mathcal{O}(1)$  unless  $v_i$  becomes fixed. The first phase is applying the LEFTSHIFT procedure  $n - 1$  times. In the second phase, each interval is shifted by at least  $\frac{n-1}{n}$  (unless it becomes fixed). Since each interval can be shifted by at most  $\mathcal{O}(n)$  from its initial position, the second phase applies the LEFTSHIFT procedure  $\mathcal{O}(n^2)$  times. So the total running time of the algorithm is  $\mathcal{O}(n^2 + nD(r))$ .  $\square$

We are ready to prove that BOUNDREP with a prescribe ordering  $\blacktriangleleft$  can be solved in time  $\mathcal{O}(n^2 + nD(r))$ :



**Figure 3.11:** The position cycle during the first phase, changing from left to right. The first phase clusters the  $\beta_i$ 's by moving  $\beta_4$ ,  $\beta_5$ ,  $\beta_2$  and  $\beta_3$  towards  $\beta_1$ . When LEFTSHIFT( $v_2$ ) is applied,  $v_2$  becomes fixed and  $\beta_2$  disappears from the position cycle.



**Figure 3.12:** The position cycle during the second phase, changing from left to right. We shift  $\beta_i$ 's across the big gap till all  $\beta_i$ 's disappear.

*Proof of Theorem 1.4.* We proceed exactly as in the algorithm of Section 3.3.1, so we process the components  $C_1 \blacktriangleleft \dots \blacktriangleleft C_c$  from left to right, and for each of them we solve two linear programs. For each linear program, we find the left-most representation using Lemma 3.21, and we test for this representation (shifted back by  $C$ ) whether the upper bounds are satisfied. According to Lemma 3.11, the linear program is solvable if and only if the left-most representation satisfy the upper bounds, and clearly the left-most representation minimizes  $E_t$ . The time complexity of the algorithm is  $\mathcal{O}(n^2 + nD(r))$  and the proof of correctness is exactly the same as in Proposition 3.12.  $\square$

We finally present an FPT algorithm for BOUNDRP with respect to the number of components  $c$ . The algorithm is based on Theorem 1.4.

*Proof of Corollary 1.5.* There are  $c!$  possible left-to-right orderings of the components of  $G$ . For each of them, we can decide in time  $\mathcal{O}(n^2 + nD(r))$  whether there exists a bounded representation in the order, using Theorem 1.4. So the total time necessary is  $\mathcal{O}((n^2 + nD(r))c!)$ .  $\square$

### 3.4 Extending Unit Interval Graphs

The REPEXT(UNIT INT) problem can be solved using Theorem 1.4. We just need to show that it is a particular instance of BOUNDRP with the known ordering  $\blacktriangleleft$  of the components:

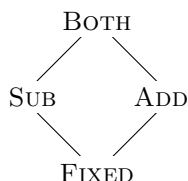
*Proof of Corollary 1.6.* The graph  $G$  contains unlocated components and located components. Similarly to Section 3.1, unlocated components can be placed far to the right and we can deal with them using standard recognition algorithm.

Concerning located components  $C_1, \dots, C_c$ , they have to be ordered from left to right, which gives the required ordering  $\blacktriangleleft$ . We straightforwardly construct the instance of BOUNDRP with this  $\blacktriangleleft$  as follows. For each pre-drawn interval  $v_i$  at position  $\ell_i$ , we put  $\text{lbound}(v_i) = \text{ubound}(v_i) = \ell_i$ . For the rest of the intervals, we set no bounds. Clearly, this instance of BOUNDRP is equivalent with the original REPEXT(UNIT INT) problem. And we can solve it in time  $\mathcal{O}(n^2 + nD(r))$  using Theorem 1.4.  $\square$

# 4

## Extending Chordal Graphs and Their Subclasses

There are four types **FIXED**, **SUB**, **ADD**, and **BOTH** which form the following lattice, given by Figure 4.1. For a type  $\mathfrak{T}$ , we denote by  $\text{Gen}(\mathfrak{T}, T')$  a set of all tree  $T$  which we can generate from  $T'$  using the modification of type  $\mathfrak{T}$ . In addition, if  $T'$  contains pre-drawn subtrees, trees in  $\text{Gen}(\mathfrak{T}, T')$  contain these pre-drawn subtrees as well (possibly modified by subdivision). The ordering of types given by the lattice has this property: If  $\mathfrak{T} \leq \mathfrak{T}'$ , then  $\text{Gen}(\mathfrak{T}, T') \subseteq \text{Gen}(\mathfrak{T}', T')$ .



**Figure 4.1:** The lattice formed by four types **FIXED**, **SUB**, **ADD**, and **BOTH**.

Now, whether a given instance is solvable depends on the set  $\text{Gen}(\mathfrak{T}, T')$ ; so if this set contains more trees, it only helps for solving the problem. Let  $\mathfrak{T} \leq \mathfrak{T}'$ . If an instance of **RECOG\*** or **REPEXT** is solvable for type  $\mathfrak{T}$ , then it is solvable for type  $\mathfrak{T}'$  as well. And equivalently, if it is not solvable for  $\mathfrak{T}'$ , it is also not solvable for  $\mathfrak{T}$ .

For the types **ADD** and **BOTH** (and **SUB** for **PROPER P-in-P** and **P-in-P**), the set  $\text{Gen}(\mathfrak{T}, T')$  contains a tree having an arbitrary tree  $T$  as a subtree. Therefore, the **RECOG\*** problem for these types is equivalent to the standard **RECOG** problem, and we can use known polynomial-time algorithms.

For **PROPER P-in-P** and **P-in-P** classes, the types **ADD** and **SUB** behave differently. The type **ADD** allows to extend the ends of the paths. The type **SUB** allows to expand the middle of the path but if the endpoint of the path is contained in some pre-drawn subpath, it remains to be contained after the subdivision. The type **BOTH** makes the problems equivalent to the **RECOG** and **REPEXT** problems for the real line.

**Indistinguishable Vertices.** Let  $u$  and  $v$  be two vertices of  $G$  such that  $N[u] = N[v]$ . These two vertices are called *indistinguishable* since they can be represented exactly the same, having  $R_u = R_v$  (a common property of all intersection representations). From the structural point of view, *groups of indistinguishable* vertices are not very interesting. The goal is to construct a pruned graph where each group is represented by

a single vertex. For that, we need to be little careful since we cannot prune pre-drawn vertices.

For an arbitrary graph, its groups of indistinguishable vertices can be located in time  $\mathcal{O}(n + m)$  [41]. We prune the graph in the following way. If  $u$  and  $v$  are indistinguishable and  $u$  is not pre-drawn, we eliminate  $u$  from the graph (and for the representation, we can put  $R_u = R_v$ ). The resulting pruned graph has the following property: If two vertices  $u$  and  $v$  indistinguishable, they are both pre-drawn. For the rest of this chapter, we expect that all input graphs are pruned.

**Maximal Cliques.** There is the following property of maximal cliques, valid for all representations by subtrees inside a tree. Let  $x \in T$  and consider the set  $K = \{u : u \in V(G), x \in R_u\}$ . Clearly,  $K$  is a clique of  $G$ .

On the other, let  $K$  be a maximal clique of  $G$ . Subtrees of tree have the Helly property which states that every pairwise intersecting collection of subtrees have a common intersection as a subtree. Since the subtrees representing  $K$  are pair-wise intersecting, the common intersection  $R_K = \bigcap_{u \in K} R_u$  is a subtree of  $T$ . This subtree  $R_K$  is not intersected by any other  $R_v$  for  $v \notin K$  (otherwise  $K$  would not be a maximal clique). Thus the subtrees  $R_K$  corresponding to different maximal cliques are pairwise disjoint. For example, if  $|T|$  is smaller than the number of maximal cliques of  $G$ , the graph is clearly not representable in  $T$ .

## 4.1 Subpaths-in-Path Graphs

In this section, we deal with classes **PROPER P-in-P** and **P-in-P**. The results obtained here are used as tools for **P-in-T** and **T-in-T** graphs in Section 4.2.

### 4.1.1 Polynomial Cases

First we deal with all polynomial cases. Also, we describe several concepts as minimum span, useful in the rest of the paper.

**Non-fixed Type Recognition.** The only limitation for recognition of interval graphs inside a given path is the length of the path. In all three types **SUB**, **ADD** and **BOTH**, we can produce a path as long as necessary. (With a trivial exception  $T' = P_0$  for **SUB**, for which the instance is solvable only if  $G = K_n$ .) For a subpath-in-path representation, only the order of the endpoints from left to right is important, not the exact positions. In a tree  $T$  with at least  $2n$  vertices, every possible ordering is realizable.

Thus the problems are equivalent to the standard recognition of interval graphs on the real line. For **PROPER P-in-P**, it can be solved in time  $\mathcal{O}(n+m)$ , for example [33, 8]. Similarly for **P-in-P**, it can be solved in linear-time [6, 9].

**Both Type Extension.** This extension type is equivalent with the partial representation extension problems of interval graphs on the real line. Again only the ordering of the endpoints is important. The only change here is that some of the endpoints are already placed. By subdividing, we can place any amount of the endpoints between any two



endpoints (not sharing the same position). Also, the path can be extended to the left and to the right which allows to place any amount of endpoints to the left of the left-most pre-drawn endpoint and to the right of the right-most pre-drawn endpoint. So any extending ordering can be realized in the BOTH type.

The partial representation extension problem for interval graphs on the real line was first considered in [29]. The paper gives algorithms for both classes INT and PROPER INT and does not explicitly deal with representations sharing endpoints but the algorithms are easy to modify. The results of Theorems 1.1 and 1.2, and [5] show that the both extension problems are solvable in time  $\mathcal{O}(n + m)$ .

**Sub Type Extension.** It is possible to modify the algorithms of Theorems 1.1 and 1.2 to deal with the SUB type. Instead of describing details of these representations, we show a reduction of the SUBtype extension to the BOTHtype extension which we can solve in time  $\mathcal{O}(n + m)$  as discussed above.

**Theorem 4.1.** *The both SUB type extension problems  $\text{REPEXT}(\text{PROPER P-in-P}, \text{SUB})$  and  $\text{REPEXT}(\text{P-in-P}, \text{SUB})$  can be solved in time  $\mathcal{O}(n + m)$ .*

*Proof.* First, we describe the algorithm for P-in-P. Let  $p_1, \dots, p_t$  be the vertices of the path  $T'$ . If the graph contains unlocated components, we first deal with them. They can be placed if one of the following works, and otherwise the representation is not extendible.

- If there is only a single located component, then at least one of  $p_1$  and  $p_t$  has to be not contained in any pre-drawn interval. If so, we can subdivide that end of the path  $T'$  and place all the unlocated components there. Otherwise, the unlocated components cannot be placed at all.
- If there are more located components, they have to be ordered correctly from left to right  $C_1 \blacktriangleleft \dots \blacktriangleleft C_c$ ; see Section 1.5. Now, we can subdivide an edge between these components and place the unlocated components there.

If we succeed, we remove unlocated components from the graph. What remains is to deal with the located components.

If at least one of  $p_1$  and  $p_t$  is contained in some pre-drawn interval, we modify both the path and the graphs. If  $v_1, \dots, v_k \in C_1$  such that  $p_1 \in R_{v_1}, \dots, R_{v_k}$ , we modify as follows. First, we extend the path by one by adding  $p_0$  attached to  $p_1$ . We introduce an additional pre-drawn interval  $v_{\leftarrow}$  adjacent exactly to  $v_1, \dots, v_k$  with  $R_{v_{\leftarrow}} = \{p_0\}$  and we modify  $R'_{v_i} = R_{v_i} \cup \{p_0\}$ . Exactly the same modification introducing  $p_{t+1}$  and  $v_{\rightarrow}$  is used for the right-end if there is some  $v \in C_c$  such that  $p_t \in R_v$ .

We use the described algorithm for  $\text{REPEXT}(\text{P-in-P}, \text{BOTH})$  for the modified graph and the modified path, which runs in time  $\mathcal{O}(n + m)$ . What remains is to argue correctness, which we do only for left end of  $T$ ; for the right end the argument is symmetric. If nothing pre-drawn contains  $p_1$ , the edge  $p_1 p_2$  can be subdivided as necessary, thus creating the exactly same situation as in BOTH type. On the other hand, if  $p_0$  was added, everything with exception of  $v_1, \dots, v_k$  has to be represented on the right of  $v_{\leftarrow}$  which is placed on  $p_0$ .

But there is a single problem we need to deal with. The newly added edge  $p_0p_1$  can be subdivided. There are two possibilities. If  $|R_{v_i}| \geq 2$  for each  $i$ , the subdivision of  $p_0p_1$  is equivalent to subdivision of  $p_1p_2$  which is correct in the original problem. Now let  $|R_{v_i}| = 1$  for some  $i$ . Then  $N(v_i)$  has to be a complete subgraph. In such a case, we can revert the subdivision of  $p_0p_1$  as follows. Let  $p'_1, \dots, p'_s$  be the newly created vertices by subdividing  $p_0p_1$ . For each  $v \in N(v_i)$  (of course, with exception of  $v_{\leftarrow}$ ), we put  $R'_v = R_v \setminus \{p'_1, \dots, p'_s\} \cup \{p_1\}$ , and we remove  $p'_1, \dots, p'_s$  by contractions.

Now, by removing  $p_0, p_{t+1}, v_{\leftarrow}$  and  $v_{\rightarrow}$  (of course, only if they are added), we obtain a correct representation of  $G$  inside a subdivision of  $T'$  extending the partial representation. Concerning **PROPER P-in-P**, we use almost the same approach. One change is that we append two vertices  $\bar{p}_0$  and  $p_0$  (resp.  $p_{t+1}$  and  $\bar{p}_{t+1}$ ) to the end of  $T'$  and put  $R_{v_{\leftarrow}} = \{\bar{p}_0, p_0\}$  (resp.  $R_{v_{\rightarrow}} = \{p_{t+1}, \bar{p}_{t+1}\}$ ), so the resulting partial representation is proper. Concerning the case  $|R_{v_i}| = 1$  for some  $i$ , it is trivial since  $k = 1$  and  $N(v_i)$  is empty, and the component  $C_1$  has to be the single interval.  $\square$

For a pseudocode, see Algorithm 8 in Appendix A.8.

**General Properties of PROPER P-in-P.** In each representation, we have some ordering  $\triangleleft$  of intervals from left to right. This is an ordering of left endpoints from left to right (and at the same time the ordering of the right endpoints). In Section 3.1, we describe this ordering  $\triangleleft$  and a partial ordering  $<$  in details. Since the graphs we consider in this chapter are pruned, the indistinguishable vertices which are incomparable in  $<$  are ordered by the partial representation.

Now let us consider the types **FIXED** and **ADD**. The space on the path is limited and it is important to know how much space does a component  $C$  require. We denote it by  $\text{minspan}(C)$ . Let  $\mathcal{R}$  be some representation of  $C$ ,  $p_i$  be the left-most vertex of  $T'$  contained in representation of  $C$  and  $p_j$  be the right-most such vertex. Then

$$\text{minspan}(C) = \begin{cases} \min_{\forall \mathcal{R}} \{j - i + 1\} & \text{if some representation of } C \text{ exists,} \\ +\infty & \text{otherwise.} \end{cases}$$

**Lemma 4.2.** *For every component  $C$  (both located, or unlocated), the value  $\text{minspan}(C)$  can be computed in  $\mathcal{O}(n + m)$  (together with a realizing representation).*

*Proof.* First, we deal with an unlocated component. We start by constructing any ordering  $\triangleleft$  of the left endpoints of intervals from left to right from Lemma 3.2, in time  $\mathcal{O}(n + m)$  using the algorithm of Corneil et al. [8]. Using this ordering, we want to produce a representation as small as possible.

Let  $\ell_i$  denote the left endpoint and  $r_i$  the right endpoint of the interval  $v_i$ . From ordering  $v_1 \triangleleft \dots \triangleleft v_n$ , we want to compute a common ordering  $<$  of both the left endpoints and the right endpoints, exactly as in the proof of Lemma 3.3. The starting point is an ordering of just the left endpoints  $\ell_1 < \dots < \ell_n$ . Into this ordering, we insert right endpoints  $r_1, \dots, r_n$  one-by-one. A right endpoint  $r_i$  is inserted right before  $\ell_j$  where  $v_j$  is the left-most non-neighbor of  $v_i$  on the right (or if such  $v_j$  does not exist, we append  $r_i$  to the end). For an example of construction of  $<$ , see Figure 4.2.



**Figure 4.2:** The ordering  $\triangleleft$  is  $\ell_1 \triangleleft \ell_2 \triangleleft r_1 \triangleleft \ell_3 \triangleleft \ell_4 \triangleleft r_2 \triangleleft r_3 \triangleleft \ell_5 \triangleleft r_4 \triangleleft \ell_6 \triangleleft r_5 \triangleleft r_6$  for the component on the left. The constructed smallest possible representation of the component  $C$  on the right, with  $\text{minspan}(C) = 8$ .

Now, we construct the smallest representation as follows. Let  $p_1, \dots, p_k$  be the vertices of the tree  $T$ . We construct an assignment  $f$  which maps endpoints into  $T$ . Then for a vertex  $v_i$  we put  $R_{v_i} = \{p_j : f(\ell_i) \leq p_j \leq f(r_i)\}$ . The mapping  $f$  is constructed for endpoints one-by-one, according to  $\triangleleft$ . If the previous endpoint in  $\triangleleft$  had assigned vertex  $p_i$ , we assign to the current endpoint: If the current endpoint is a right endpoint and the previous endpoint is a left endpoint, assign  $p_i$ . Otherwise assign  $p_{i+1}$ . In total, the component needs  $2n - \ell$  vertices of  $T$  where  $\ell$  denotes the number of changes from a left endpoint to a right endpoint in the ordering  $\triangleleft$ ; so  $2n - \ell$  is the value of  $\text{minspan}(C)$ . For an example, see Figure 4.2. The total complexity of the algorithm is clearly  $\mathcal{O}(n + m)$ . The constructed representation is correct and it can be argued the same as in the proof of Lemma 3.3.

Concerning minimality notice that in a pruned graph it always holds  $\ell_i \neq \ell_j$  and  $r_i \neq r_j$  for every pair  $v_i$  and  $v_j$ . We argue that we use gaps as small as possible. Only a right endpoint  $r_i$  following a left endpoint  $\ell_j$  can be placed at the same position. The other case of a right endpoint  $r_i$  followed by a left endpoint  $\ell_j$  requires a gap of size one; otherwise  $R_{v_i}$  would intersect  $R_{v_j}$  but  $v_i v_j \notin E$ . So the gaps are minimal and we construct a smallest representation and give the value  $\text{minspan}(C)$  correctly.

Concerning a located component, we just modify the above approach slightly. The ordering  $\triangleleft$  has to be compatible with the ordering of the pre-drawn intervals. For a group of indistinguishable intervals, all its intervals are pre-drawn and we know their ordering from left to right. So we just test whether  $<$  and its reversal can be used. We have (at most) two possibilities for  $\triangleleft$  which give the same  $\text{minspan}(C)$  but the minimum representations might be differently shifted, and we are able to construct both of them. If the pre-drawn intervals do not belong to one group, the ordering  $\triangleleft$  is uniquely defined (if it is compatible with the ordering of pre-drawn intervals at all).

We compute the common ordering  $\triangleleft$  as before and place the endpoints in this ordering. The only exception is that the endpoints of the pre-drawn intervals are fixed. Additionally, we place the endpoints on the left of the left-most pre-drawn endpoint as far to the right as possible and similarly as far to the left as possible on the right of the right-most pre-drawn endpoint. The constructed representation is the smallest possible and gives  $\text{minspan}(C)$ .  $\square$

**General Properties of P-in-P.** We use the maximal clique approach described in Section 2.2.1. Recall properties of maximal cliques from introduction of this chapter. For a component  $C$ , we denote by  $\text{cl}(C)$  the number of maximal cliques of  $C$ . Since the subtrees  $R_K$  corresponding to the maximal cliques are disjoint, they have to be ordered from left to right. There is the following well-known property of this ordering [12]:

**Lemma 4.3** (Fulkerson and Gross). *A graph is an interval graph if and only if there exists an ordering of the maximal cliques  $K_1 < \dots < K_{\text{cl}(C)}$  such that for every vertex the cliques containing this vertex appear consecutively in this ordering.*

We quickly argue correctness of the lemma. Clearly, in an interval representation, all maximal cliques corresponding to one vertex  $v$  are appearing consecutively (otherwise the clique in between would be intersected by  $R_v$  in addition). On the other hand, having an ordering  $<$  of the maximal cliques from the statement, we can construct a representation as follows. To each clique  $K_i$ , assign one vertex  $p_i$  of  $T$  (this vertex corresponds to the clique point from Section 2.2.1). Now for each vertex  $v$ , we assign  $R_v = \{p_i : v \in K_i\}$ . Since the maximal cliques appear consecutively, we assign a subpath to each interval. Also, the representation is correct.

For types FIXED and ADD, we again consider minimum span defined exactly as for proper interval graphs above. Clearly,  $\text{minspan}(C) \geq \text{cl}(C)$ . We show:

**Lemma 4.4.** *For an unlocated component  $C$ ,  $\text{minspan}(C) = \text{cl}(C)$  if  $C$  is a component of an interval graph. We can find a smallest representation in time  $\mathcal{O}(n + m)$ .*

*Proof.* We start by identifying maximal cliques in time  $\mathcal{O}(n + m)$ , using algorithm of Rose et al. [41]. To construct a smallest representation, we find an ordering from Lemma 4.3, using the PQ-tree algorithm [6] in time  $\mathcal{O}(n + m)$ . If such an ordering does not exist, the graph  $G$  is not an interval graph and no representation exists. If the ordering exists, we can construct a representation using exactly  $\text{cl}(C)$  vertices of the path as described above.  $\square$

**Fixed Type Recognition.** We just need to use the values  $\text{minspan}$  we already know how to compute.

**Proposition 4.5.** *Both  $\text{RECOG}^*(\text{PROPER P-in-P}, \text{FIXED})$  and  $\text{RECOG}^*(\text{P-in-P}, \text{FIXED})$  can be solved in time  $\mathcal{O}(n + m)$ .*

*Proof.* We process components  $C_1, \dots, C_c$  one-by-one and place them from left to right on  $T'$ . If  $\sum_{i=1}^c \text{minspan}(C_i) \leq |T'|$ , we can place the components using the smallest representation from Lemma 4.2 for **PROPER P-in-P**, resp. Lemma 4.4 for **P-in-P**. Otherwise, the path is too small and the representation cannot be constructed.  $\square$

**Add Type Extension, PROPER P-in-P.** We use the same technique as in the algorithm of Section 3.3.1 for unit interval graphs, with the difference that we can use minimum spans and Lemma 4.2 instead of linear programming.

**Theorem 4.6.** *The problem  $\text{REPEXT}(\text{PROPER INT}, \text{ADD})$  can be solved in time  $\mathcal{O}(n + m)$ .*

*Proof.* Since the path can be expanded to left and to right as much as necessary, we can place unlocated components far to the left. So we only need to deal with located components, ordered  $C_1 \blacktriangleleft \dots \blacktriangleleft C_c$  from left to right. We place components from left

to right. When we place  $C_i$ , it has to be placed on the right of  $C_{i-1}$ . We have (at most) two possible smallest representations corresponding to two different orderings of  $C_i$ . We test whether at least one of them can be placed on the right of  $C_{i-1}$  and pick the one minimizing the right-most vertex of  $T$  taken by  $C_i$  (leaving maximum possible space for  $C_{i+1}, \dots, C_c$ ). If neither representation can be placed, the extension algorithm fails.

Now, if the algorithm finishes, it constructs a correct representation. On the other hand, we place each component as far to the left as possible (while restricted by the previous components on the left). So if  $C_i$  cannot be placed, there exists no representation extending the partial representation.  $\square$

### 4.1.2 NP-complete Cases

The basic gadgets of the reduction are paths. They have the following minimum spans.

**Lemma 4.7.** *For P-in-P,  $\text{minspan}(P_n) = n$ . For PROPER P-in-P and  $n \geq 2$ ,  $\text{minspan}(P_n) = n + 2$ .*

*Proof.* For P-in-P, the number of maximal cliques of  $P_n$  is  $n$ . For PROPER P-in-P, the ordering  $\prec$  is

$$\ell_0 \prec \ell_1 \prec r_0 \prec \ell_2 \prec r_1 \prec \dots \prec \ell_i \prec r_{i-1} \prec \dots \prec \ell_n \prec r_{n-1} \prec r_n.$$

So there are  $n$  changes from  $\ell_i$  to  $r_{i-1}$  and the minimum span is  $n + 2$ .  $\square$

We reduce the problem from 3-PARTITION. The input of 3-PARTITION consists of integers  $k, M$  and  $A_1, \dots, A_{3k}$  such that  $\frac{M}{4} < A_i < \frac{M}{2}$  for each  $A_i$  and  $\sum A_i = kM$ . It asks whether it is possible to partition  $A_i$ 's into  $k$  triples such that the sets  $A_i$  of each triple sum to exactly  $M$ .<sup>1</sup> This problem is known to be strongly NP-complete (even with all integers of a polynomial size) [14].

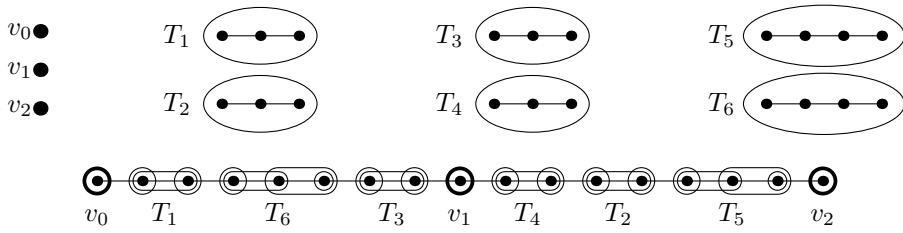
**Theorem 4.8.** *The both FIXED type problems  $\text{REPEXT}(\text{PROPER P-in-P}, \text{FIXED})$  and  $\text{REPEXT}(\text{P-in-P}, \text{FIXED})$  are NP-complete.*

*Proof.* The described reduction works for both PROPER P-in-P and P-in-P with small modifications. For a given input of 3-PARTITION (with  $M \geq 4$ ), we construct a graph  $G$  and its partial representation. As the fixed tree we choose  $T' = P_{(M+1)k}$ , with the vertices  $p_0, \dots, p_{(M+1)k}$ .

Now, the graph  $G$  contains two types of gadgets as separate components. First, it contains  $k + 1$  split gadgets  $S_0, \dots, S_k$  which split the path into  $k$  gaps of equal sizes  $M$ . Then it contains  $3k$  take gadgets  $T_1, \dots, T_{3k}$ . A take gadget  $T_i$  takes in each representation space at least  $A_i$  of one of the  $k$  gaps.

For this reduction, the gadgets are particularly simple. The split gadget  $S_i$  is just a single pre-drawn vertex  $v_i$  with  $R_{v_i} = \{p_{(M+1)i}\}$ . The split gadgets clearly split the path into  $k$  equal gaps of size  $M$ . The take gadget  $T_i$  is a  $P_{A_i}$  for P-in-P, resp.  $P_{A_i-2}$

<sup>1</sup>Notice that if a subset of  $A_i$ 's sums to exactly  $M$  it has to be a triple due to size conditions.



**Figure 4.3:** An example of the reduction for the input set of 3-PARTITION:  $k = 2$ ,  $M = 7$ ,  $A_1 = A_2 = A_3 = A_4 = 2$  and  $A_5 = A_6 = 3$ . On top, the constructed subpath-in-path graph is depicted. On bottom, the partial representation (depicted in bold) is extended.

for **PROPER P-in-P**. According to Lemma 4.7,  $\text{minspan}(T_i) = A_i$ . The representation is extendible if and only if it is possible to place the take gadgets into the  $k$  gaps. For an illustration, see Figure 4.3. The reduction is clearly polynomial.

To conclude the proof, we show that the partial representation is extendible if and only if the corresponding 3-PARTITION input has a solution. If the partial representation is extendible, the take gadgets  $T_i$  are divided into the  $k$  gaps on the path which forms a partition. Based on conditions for sizes of  $A_i$ 's, each gap contains exactly three take gadgets of the total minimum span  $M$ ; thus the partition solves the 3-PARTITION problem. On the other hand, a solution of 3-PARTITION describes how to place the take gadgets into the  $k$  gaps and construct the extending representation.  $\square$

**Corollary 4.9.** *The problem  $\text{REPEXT}(\text{P-in-P}, \text{ADD})$  is NP-complete.*

*Proof.* We use the above reduction with one additional pre-drawn interval  $v$  attached to everything in  $G$ . We put  $R_v = \{p_0, \dots, p_{(M+1)k}\}$ , so it contains the whole tree  $T'$ . Now since representation of each  $T_i$  has to intersect  $R_v$ , it has to be placed inside of the  $k$  gaps as before.  $\square$

### 4.1.3 Parametrized Complexity

In this subsection, we study parametrized complexity. The parameters are the number of components  $c$ , the number of pre-drawn intervals  $k$  and the size of the tree  $t$ .

**By Number of Components.** In the above reduction, one might ask whether it is possible to make the reduction graph  $G$  connected. For **P-in-P**, it is indeed possible to add universal vertices adjacent to everything in  $G$  and thus making  $G$  connected, as in the proof of Corollary 4.9. The following results answers this question for **PROPER P-in-P** negatively (unless  $\text{P} = \text{NP}$ ):

**Proposition 4.10.** *The problem  $\text{REPEXT}(\text{PROPER P-in-P}, \text{FIXED})$  is fixed-parameter tractable in the number of components  $c$ , solvable in time  $\mathcal{O}((n + m)c!)$ .*

*Proof.* There is  $c!$  possible orderings of the components from left to right, and we test each of them. We show that for a given ordering of components, we can solve the problem in time  $\mathcal{O}(n + m)$ ; thus gaining the total time  $\mathcal{O}((n + m)c!)$ . We solve the

problem almost the same as in the proof of Theorem 4.6. The only difference is that we deal with all the components instead of only the located components.

We process the components from left to right. When we process  $C_i$ , we place it on the right of  $C_{i-1}$  as far to the left as possible. For unlocated  $C_i$ , we can take any ordering. For located  $C_i$ , we test both orderings and take the one placing  $C_i$  further to the left. We construct the representation in time  $\mathcal{O}(n + m)$  and the algorithm is clearly correct; see the proof of Theorem 4.6 for more details.  $\square$

It is necessary for NP-hardness of the problem REPEXT(**PROPER P-in-P**, **FIXED**) to have some pre-drawn subpaths. On the other hand, also some unlocated components are necessary. If all the components would be located, there is a unique ordering  $\blacktriangleleft$  and we can test it in time  $\mathcal{O}(n + m)$  as described above. In general, for  $c$  components and  $c'$  located components, we need to test only  $c!/c'$  different orderings.

**By Number of Pre-drawn Intervals.** In the reduction in Theorem 4.8, we need to have  $k$  pre-drawn intervals. One could ask, whether the problems becomes simpler with a small number of pre-drawn intervals. We answer this negatively. For **PROPER P-in-P**, the problem is in XP and W[1]-hard with respect to  $k$ . For **P-in-P**, we show that it is W[1]-hard.

We start with two closely related problems BINPACKING and GENBINPACKING. In the both problems, we have  $k$  bins and  $n$  items of integer sizes. The question is whether we can pack (partition) these items into the  $k$  bins when sizes of the bins are limited. For BINPACKING, all the bins have the same size. For GENBINPACKING, the bins have different sizes. Formally:

**Problem:** BINPACKING  
**Input:** Integers  $k, \ell, V$  and  $A_1, \dots, A_\ell$ .  
**Question:** Does there exist a  $k$ -partition  $\mathcal{P}_1, \dots, \mathcal{P}_k$  of  $A_1, \dots, A_\ell$  such that  $\sum_{A_i \in \mathcal{P}_j} A_i \leq V$  for every  $\mathcal{P}_j$ .

**Problem:** GENBINPACKING  
**Input:** Integers  $k, \ell, V_1, \dots, V_k$  and  $A_1, \dots, A_\ell$ .  
**Question:** Does there exist a  $k$ -partition  $\mathcal{P}_1, \dots, \mathcal{P}_k$  of  $A_1, \dots, A_\ell$  such that  $\sum_{A_i \in \mathcal{P}_j} A_i \leq V_j$  for every  $\mathcal{P}_j$ .

**Lemma 4.11.** *The problems BINPACKING and GENBINPACKING are polynomially equivalent.*

*Proof.* Obviously BINPACKING is a special case of GENBINPACKING. On the other hand, let  $k, \ell, V_1, \dots, V_k$  and  $A_1, \dots, A_\ell$  be an instance of GENBINPACKING. We construct an instance  $k', \ell', V'$  and  $A'_1, \dots, A'_{\ell'}$  of BINPACKING as follows. We put  $k' = k, \ell' = \ell + k$  and  $V' = 2 \cdot \max V_i + 1$ . The weights of the first  $\ell$  items are the same, i.e.  $A'_i = A_i$  for  $i = 1, \dots, \ell$ . The additional items  $A'_{\ell+1}, \dots, A'_{\ell+k}$  are called *large* and we put  $A'_{\ell+i} = V' - V_i$  for  $i = 1, \dots, k$ .

Now, each bin has to contain exactly one large item since two large items take more space than  $V'$ . After placing large items into the bins, we obtain bins of sizes

$V_1, \dots, V_k$  in which we have to place the remaining items. This corresponds exactly to the original GENBINPACKING instance.  $\square$

If the input sizes are encoded in binary, the problem is NP-complete even for  $k = 2$ . The more interesting version which we use here is that the sizes are encoded in unary so all sizes are polynomial. In such a case, the BINPACKING problem is known to be solvable in time  $t^{\mathcal{O}(k)}$  using dynamic programming where  $t$  is the total size of all items. And it is W[1]-hard with respect to the parameter  $k$  [24]. Similar holds for REPEXT(PROPER P-in-P, FIXED):

*Proof of Theorem 1.7.* For a given instance of the BINPACKING problem, we can solve it by REPEXT(PROPER P-in-P, FIXED) in a similar manner as in the reduction in Theorem 4.8. As  $T'$ , take a path  $P_{(V+1)k}$ . As  $G$ , take  $P_{A_i-2}$  for each  $A_i$  and pre-drawn vertices  $v_0, \dots, v_k$  such that  $R_{v_i} = \{p_{(V+1)i}\}$ . The rest of the argument is exactly as in the proof of Theorem 4.8.

Now, we want to solve REPEXT(PROPER P-in-P, FIXED) using  $2^k$  instances of GENBINPACKING (which is polynomially equivalent to BINPACKING), where  $k$  is the number of pre-drawn intervals.

First we deal with located components  $C_1 \blacktriangleleft \dots \blacktriangleleft C_c$ . For each component, we have two possible orderings  $<$  and using Lemma 4.2 we get (at most) two possible smallest representations which might be differently shifted. In total, we have at most  $2^c \leq 2^k$  possible representations keeping  $C_1, \dots, C_c$  as small as possible leaving maximum possible gaps for unlocated components. We test each of these representations.

Now, let  $C'_1, \dots, C'_{c'}$  be the unlocated components. For each  $C'_i$ , we compute  $\text{minspan}(C'_i)$  using Lemma 4.2. The goal is to place unlocated components in the  $c + 1$  gaps between representations of the located components  $C_1, \dots, C_c$ . We can solve this problem using GENBINPACKING as follows. We have  $k + 1$  bins of sizes equal to the gaps between the representations of  $C_1, \dots, C_c$ . We have  $c'$  items of sizes  $A_i = \text{minspan}(C'_i)$ . The solution of GENBINPACKING tells in which gaps the unlocated components can be placed. If there exists no solution, this specific smallest representation of located components can not be used.

We can test all  $2^k$  possible representations. Thus we get the required weak truth-table reduction.  $\square$

For a pseudocode of one reduction, see Algorithm 9 in Appendix A.9.

**Corollary 4.12.** *The problem REPEXT(PROPER P-in-P, FIXED) is W[1]-hard and belongs to XP, solvable in time  $n^{\mathcal{O}(k)}$  where  $k$  is the number of pre-drawn intervals.*

*Proof.* Both obtained easily by Theorem 1.7.  $\square$

**Proposition 4.13.** *The problems REPEXT(P-in-P, FIXED) and REPEXT(P-in-P, ADD) are W[1]-hard with respect to the parameter  $k$  where  $k$  is the number of pre-drawn intervals.*

*Proof.* Modify the reduction in Theorem 4.8 and Corollary 4.9 as in the proof of Theorem 1.7.  $\square$



**By Size of the Path.** We show that the NP-complete cases are fixed-parameter tractable with respect to the size of the path  $t$ . It is easy to find a solution by a brute-force algorithm:

**Proposition 4.14.** *For  $t$  the size of  $T'$ , the problems  $\text{REPEXT}(\text{PROPER P-in-P}, \text{FIXED})$  and  $\text{REPEXT}(\text{P-in-P}, \text{FIXED})$  are fixed-parameter tractable with respect to the parameter  $t$ . They can be solved in time  $\mathcal{O}(n + m + f(t))$  where  $f(t) = t^{2t^2}$ .*

*Proof.* In a pruned graph, the non-pre-drawn vertices has to be represented pairwise different. There are at most  $t^2$  possible different subpaths of a path with  $t$  vertices so the pruned graph can contain at most  $t^2$  non-pre-drawn vertices; otherwise the extension is not possible. We can test every possible assignment of  $t^2$  non-pre-drawn vertices to  $t^2$  subpaths, and for each assignment we test whether it is a correct representation.  $\square$

## 4.2 Path and Chordal Graphs

We present several results concerning P-in-T and T-in-T classes. We use many results from Section 4.1 as basic tools here.

### 4.2.1 Polynomial Cases

The recognition problem for types ADD and BOTH is equivalent to standard recognition without any additional tree  $T'$ . Indeed, we can modify  $T'$  by adding an arbitrary tree to it. If the input graph is either P-in-T or T-in-T, there exists a tree  $T''$  in which the graph can be represented. We produce  $T$  by attaching  $T''$  to  $T'$  in any way. Clearly, the graph can be represented in  $T$  as well, completely ignoring the part  $T'$  for example.

For path graphs, the original recognition algorithm is due to Gavril [15] in time  $\mathcal{O}(n^4)$ . The current fastest algorithm is by Schäffer [43] in time  $\mathcal{O}(nm)$ . For chordal graphs, there is a beautiful simple algorithm in time  $\mathcal{O}(n + m)$  by Rose et al. [41].

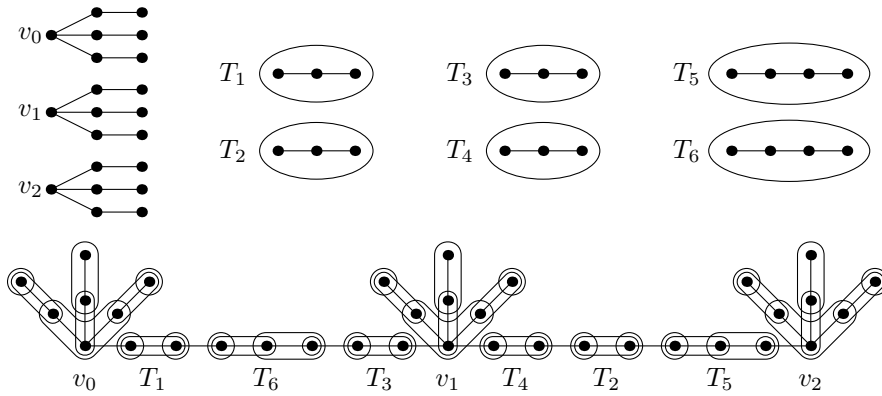
### 4.2.2 NP-complete Cases

All the remaining cases from the table of Figure 1.7 are NP-complete. We describe a modification of the reduction of Theorem 4.8 for path and chordal graphs. We start with the simplest reduction for the FIXED type and then modify it for the other problems.

**Fixed Type.** For FIXED type, we can avoid pre-drawn subtrees, using an additional structure of the tree.

**Proposition 4.15.** *Both  $\text{RECOG}^*(\text{P-in-T}, \text{FIXED})$  and  $\text{RECOG}^*(\text{T-in-T}, \text{FIXED})$  are NP-complete.*

*Proof.* We again reduce from 3-PARTITION with an input  $k$  and  $M$ , and for technical purposes let  $M \geq 4$ . We construct a graph  $G$  and a tree  $T$  as follows. The tree  $T$  is a



**Figure 4.4:** For the same input set of 3-PARTITION, on top the constructed graph is depicted. On bottom, a representation is constructed, giving a solution  $\{A_1, A_3, A_6\}$  and  $\{A_2, A_4, A_5\}$ .

path  $P_{(M+1)k}$  (denote its vertices  $p_0, \dots, p_{(M+1)k}$ ) with additional three paths of length two attached to every vertex  $p_{(M+1)i}$ , for each  $i = 0, \dots, k$ ; see Figure 4.4.

Each split gadget  $S_i$  is a star, depicted on the left of Figure 4.4. When the split gadgets are placed as in the figure, they split the tree into  $k$  gaps exactly as the pre-drawn vertices in the proof of Theorem 4.8. Each take gadget  $T_i$  is the same path  $P_{A_i}$  as before.

What remains is to argue correctness of the reduction. We claim that each  $R_{v_i}$  contains at least one branch vertex (actually exactly one, since there are exactly  $n + 1$  branch vertices in  $T$ ). If some  $R_{v_i}$  would only contain non-branch vertices, then it would not be possible to represent three disjoint neighbors  $u_1, u_2$  and  $u_3$  of this  $v_i$  since each  $R_{u_j} \setminus R_{v_i}$  has to be non-empty.

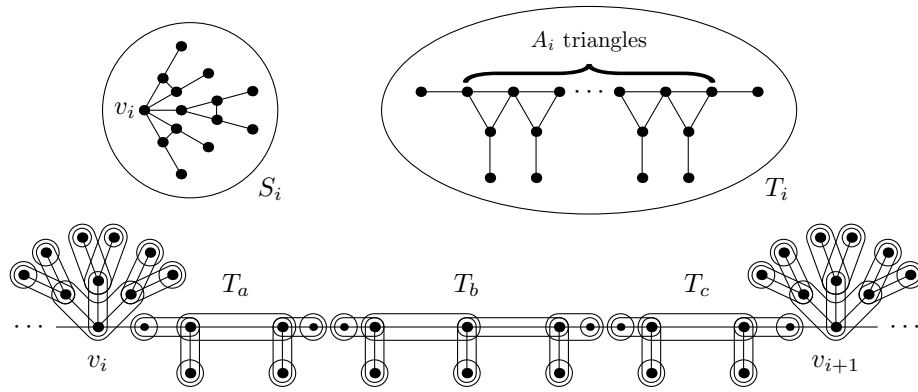
Now since each branch vertex is taken by one  $R_{v_i}$ , the tree  $T$  is split into  $k$  gaps as before. Since  $|A_i| > 2$ , each  $T_i$  can be represented only inside of these gaps. Notice that the total sum of free vertices in the gaps has to be  $kM$ , and therefore the split gadgets has to be represented entirely in the attached stars as in Figure 4.4. The rest of the reduction works exactly as in Theorem 4.8.  $\square$

**Sub Type.** By modifying the above reduction, we get:

**Theorem 4.16.** *The problems  $\text{RECOG}^*(\text{P-in-T}, \text{SUB})$  and  $\text{RECOG}^*(\text{T-in-T}, \text{SUB})$  are NP-complete.*

*Proof.* We need to modify the two gadgets from the reduction in Theorem 4.15 in such a way that subdivision of the tree does not help in placing them. Subdivision only increases the number of non-branch vertices. Thus a take gadget  $T_i$  requires  $A_i$  branch vertices. Similarly, the split gadget  $S_i$  is more complicated. See Figure 4.5 on top.

The tree  $T$  is constructed as follows. We start with a path  $P_{(M+1)k}$  with vertices  $p_0, \dots, p_{(M+1)k}$ . To each vertex  $p_{(M+1)i}$  we attach a subtree isomorphic to the trees in Figure 4.5 on bottom. To the other vertices of the path, we attach one leaf per a vertex.



**Figure 4.5:** On top, the split gadget  $S_i$  on left and the take gadget  $T_i$  on right. On bottom, a part of the tree  $T$ , the small vertices are added by subdivision. The gap between two split gadgets contains three take gadgets  $T_a$ ,  $T_b$  and  $T_c$  giving one triple  $\{A_a, A_b, A_c\}$  with  $A_a + A_b + A_c = M$ .

Clearly, for a given solution of 3-PARTITION, we can construct a correct representation in a subdivided tree. On the other hand, we are going to show how to construct a solution of 3-PARTITION from a given tree representation.

Recall maximal cliques from introduction of this chapter. Notice that each triangle  $u_1u_2u_3$  in the gadgets is a maximal cliques  $K$  (and for each triangle, we get a different maximal clique). As such,  $R_K$  has to contain a branch vertex since  $N[u_i] \neq N[u_j]$  for each  $i \neq j$ . The gadget  $S_i$  contains three triangles, each taking one branch vertex of  $T$ . In addition, their  $R_K$ 's are connected by  $R_{v_i}$  which has to contain another branch vertex. So in total,  $S_i$  contains at least four branch vertices. The gadget  $T_i$  contains  $A_i$  triangles, and so it requires at least  $A_i$  branch vertices. Since the number of branch vertices of  $T$  is limited, each  $S_i$  takes exactly four branch vertices and each  $T_i$  takes exactly  $A_i$  branch vertices.

Now, if some  $T_i$  would contain a branch vertex of the subtrees attached to  $p_{(M+1)j}$ , at least on its branch vertices would not be used (either not taken by  $T_i$  or  $T_i$  would require at least  $A_i + 1$  branch vertices). So each  $S_i$  has to take branch vertices of the subtrees attached to  $p_{(M+1)j}$  for some  $j$ , and the take gadgets have to be placed inside the gaps exactly as before.  $\square$

**Theorem 4.17.** *Even with only a single subtree pre-drawn, i.e.,  $|G'| = 1$ , the problems  $\text{REPEXT}(\text{T-in-T}, \text{ADD})$  and  $\text{REPEXT}(\text{T-in-T}, \text{BOTH})$  are NP-complete.*

*Proof.* We easily modify the above reductions; for ADD type, the reduction of Proposition 4.15, for BOTH type, the reduction of Theorem 4.16. The modification adds one pre-drawn vertex  $v$  into  $G$  adjacent to everything such that  $R_v = T'$ . The representation of  $v$  spans the whole tree and thus forces the entire representation into  $T'$ .

We now deal just with ADD type, for BOTH is the argument exactly the same. Let  $T'$  be the partial tree and let  $T$  be the tree in which the representation is constructed, so  $T'$  is a subtree of  $T$ . We claim that we can restrict a representation of each vertex of  $G$  into  $T'$  and thus obtain a correct representation inside the subtree  $T'$ .

Let  $x \in V$ . Since  $xv \in E$ , the intersection of  $R_x$  and  $T'$  is a non-empty subtree. So  $R'_x = R_x \cap T'$  is a representation of  $G$  in  $T'$ . To argue the correctness, let  $x$  and  $y$  be two different vertices from  $v$  (otherwise trivial). If  $xy \notin E$ , then  $R_x \cap R_y = \emptyset$ , and so  $R'_x \cap R'_y = \emptyset$  as well. Otherwise  $xyv$  is a triangle in  $G$ , and thus by the Helly property the subtrees  $R_x$ ,  $R_y$  and  $R_v = T'$  have a non-empty common intersection, giving  $R'_x \cap R'_y$  non-empty. This gives a reduction from  $\text{REPEXT}(\mathbf{T-in-T}, \text{FIXED})$ .  $\square$

For path graphs, one can use a similar technique of a pre-drawn universal vertex attached to everything. But there is the following difficulty: To do so, the input partial tree  $T'$  has to be a path. For type **BOTH**, the complexity of  $\text{REPEXT}(\mathbf{P-in-T}, \text{BOTH})$  remains open. For type **ADD**, we get the following weaker result:

**Proposition 4.18.** *The problem  $\text{REPEXT}(\mathbf{P-in-T}, \text{ADD})$  is **NP-complete**.*

*Proof.* Similarly as in Theorem 4.17, add a pre-drawn universal vertex  $v$  on the path  $T'$  constructed in the reduction in Theorem 4.8 such that  $R_v = T'$ . The rest is exactly as above.  $\square$

### 4.2.3 Parametrized Complexity

We deal with parametrized complexity of the problems and we give only minor and partial results in this direction. Unlike in Section 4.1, parametrization by number of pre-drawn subtrees  $k$  is mostly not helpful. We show that every problem with exception of  $\text{REPEXT}(\mathbf{P-in-T}, \text{ADD})$  is already **NP-complete** for  $k = 0$  or  $k = 1$ . For  $\text{REPEXT}(\mathbf{P-in-T}, \text{ADD})$ , we have only a weaker result that it is **W[1]-hard** with respect to the parameter  $k$  since Proposition 4.13 straightforwardly generalizes.

Similarly, the low number of components  $c$  does not make the problem any easier. We can easily modify the above reductions to show that all problems remain **NP-complete** even if the graph  $G$  is connected.

Concerning size of the tree, Proposition 4.14 straightforwardly generalizes:

**Proposition 4.19.** *Let  $t$  be the size of  $T'$ . The problems  $\text{REPEXT}(\mathbf{P-in-T}, \text{FIXED})$  and  $\text{REPEXT}(\mathbf{T-in-T}, \text{FIXED})$  are fixed-parameter tractable with respect to  $t$ . They can be solved in time  $\mathcal{O}(n + m + f'(t))$  where  $f(t) = 2^{t^2}$ .*

*Proof.* Proceed exactly as before, prune the graph and test all possible assignments. The only difference is that  $T$  has at most  $2^t$  subtrees.  $\square$

We note that a more precise bound for the number of subtrees could be use but we did not try to better estimate the function  $f'$ .

# 5

## Conclusions

In this thesis, we show recent developments in the study of the partial representation extension problems. We deal with classes of interval graphs, proper interval graphs, unit interval graphs and chordal graphs. We describe concepts and techniques common to these algorithms, and we believe that they can be applied to other classes as well.

We conclude this thesis by describing two related problems to the partial representation extension problem. Also, we give some open problems.

### 5.1 Simultaneous Representations

In the introduction, we give a formal definition of the simultaneous representations problem, considered recently by Jampani and Lubiw [23, 22]. To recall the problem, the input gives graphs  $G_1, \dots, G_k$  and the problem asks whether there exist representations  $\mathcal{R}^1, \dots, \mathcal{R}^k$  assigning the same sets to the common vertices  $I$ . For a class  $\mathcal{C}$ , we denote this problem by  $\text{SIMREP}(\mathcal{C})$ .

Here, we show that for many classes  $\mathcal{C}$ , the simultaneous representations problem is closely related to the partial representation extension problem, and many techniques useful for one problem can be applied to the other problem as well. This relation is more like a general principle than a precise mathematical statement.

**Partial Representation Extension ‘solves’ Simultaneous Representations.** Let the size of  $I$  be small. If there exists only a small number of different representations of the subgraph induced by the vertices of  $I$ , we can test all of them and solve  $\text{SIMREP}$  using  $\text{REPEXT}$ . So if the  $\text{REPEXT}$  problem is solvable in polynomial time, we can get a fixed-parameter tractable algorithm with parameter  $i = |I|$ . We show this for interval graphs:

**Proposition 5.1.** *We can solve  $\text{SIMREP}(\text{INT})$  in time  $\mathcal{O}((n+m) \cdot (2i)!)$ , where  $n$  is the total number of vertices of all graphs and  $m$  is the total number of edges of all graphs.*

*Proof.* If a representation  $\mathcal{R}_I$  of  $I$  would be given, we could use the algorithm for  $\text{REPEXT}(\text{INT})$  to test whether it is possible to extend it to a simultaneous representation of all the graphs. We just need to test for every graph  $G_j$  whether it is possible to extend the partial representation  $\mathcal{R}_I$  to a representation of entire  $G_j$ . This can be done in time  $\mathcal{O}(n+m)$ , using Theorem 1.1.

Since only the left-to-right order of endpoints is important, an interval graph with  $i$  vertices has  $\mathcal{O}((2i)!)$  topologically different representations. Therefore, we can test all possible representation of  $I$  and get the running time  $\mathcal{O}((n + m) \cdot (2i)!)$ .  $\square$

Similar relation holds for some other classes, for example **PROPER INT**. We note that this is currently the best known algorithm for **SIMREP(INT)** when  $k > 2$ , no polynomial-time algorithm is known. For  $k = 2$ , Jampani and Lubiw [23] give an algorithm in time  $\mathcal{O}(n^2 \log n)$ , and a recent paper of Bläsius and Rutter [5] improves the running time to  $\mathcal{O}(n + m)$ .

**Simultaneous Representations “solves” Partial Representation Extension.** Another relation is that we are sometimes able to solve **REPEXT** by **SIMREP**, which was suggested to us by Lubiw. Suppose that we have a graph  $G$  and a partial representation  $\mathcal{R}'$  of  $G'$ . We construct an instance of **SIMREP** for  $k = 2$  as follows:  $I = G'$ ,  $G_1 = G$  and  $G_2$  contains additional auxiliary vertices. The purpose of these auxiliary vertices is to fix a representation of  $I$  to be topologically equivalent to the partial representation  $\mathcal{R}'$ . Therefore, the solution  $\mathcal{R}^1$  and  $\mathcal{R}^2$  of the simultaneous representations problem gives a topologically correct representation  $\mathcal{R}^1$  extending  $\mathcal{R}'$ .

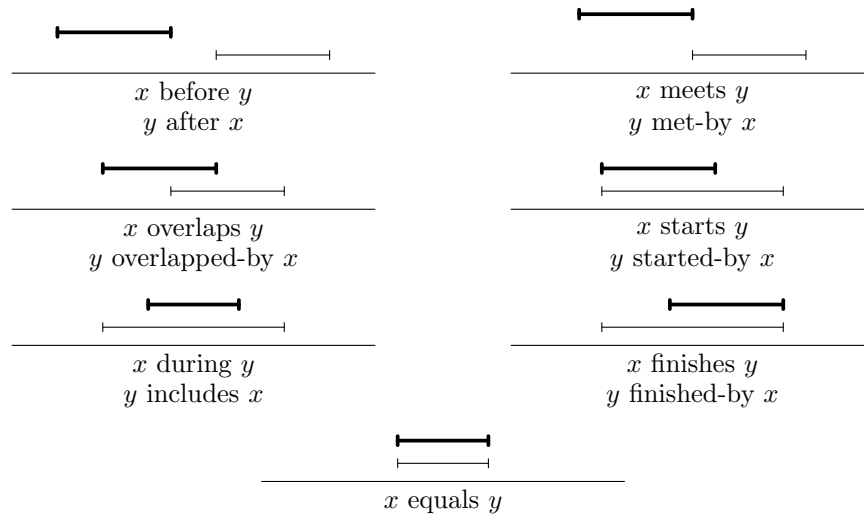
For example in the case of interval graphs, we construct  $G_2$  as follows. Consider the partial representation and add a long path consisting of short intervals on top of it. These intervals correspond to the auxiliary vertices added to  $G_2$  and force a grid-like structure on the real line. This grid forces  $I$  to be represented topologically the same as in the partial representation  $\mathcal{R}'$ . Thus we can solve **REPEXT(INT)** using **SIMREP(INT)** which is used in [5] to obtain an algorithm solving **REPEXT(INT)** in time  $\mathcal{O}(n + m)$ .

We note that this relation does not always work. First, it might not be possible to construct such a graph  $G_2$ , forcing a topologically unique representation of  $I$ . For example, the problem of simultaneous representations of chordal graphs is solvable in a polynomial time [22]. But the corresponding partial representation extension problem, considered in Chapter 4, is **NP**-complete; see Theorem 4.17. Second, it might happen that the topological equivalency of the representations is not sufficient, for example for partially represented unit interval graphs.

**Applying Our Techniques.** Concerning classes **PROPER INT** and **UNIT INT**, the simultaneous representations problem similarly distinguishes these classes. One can easily construct two graphs which have simultaneous proper interval representations but not simultaneous unit interval representations. We believe that many techniques developed in Chapter 3 can be applied for the **SIMREP(PROPER INT)** and **SIMREP(UNIT INT)** problems. We already have some partial results in this direction which are not yet written, and we just sketch our ideas here.

First, one needs to construct simultaneous left-to-right orderings  $<_1, \dots, <_k$  having the same order on  $I$ . If these orderings do not exist, the simultaneous representations cannot be constructed. Using these orderings, we can easily construct simultaneous proper interval representations. For unit interval graphs, we can additionally apply linear programming or some shifting approach.

There are two main problems for which we do not know a solution yet. We are



**Figure 5.1:** Thirteen primitive relations between the thick interval  $x$  and the thin interval  $y$ .

able to construct orderings  $<_1, \dots, <_k$  only if the graphs  $G_1, \dots, G_k$  are connected. If they are not connected, the construction of the orderings  $<_1, \dots, <_k$  is not clear. Second, for shifting algorithm, we need to choose some initial representation, and it is not clear how many shifting iterations are necessary.

## 5.2 Allen Algebras

The following type of problems is studied in theory of artificial intelligence and time reasoning; see Golumbic [16] for a survey. Suppose that we have several events which happened at some time. To every event, we can assign an interval of the timeline. Now, for some pairs of events we know relations. Allowing shared endpoints, Allen [1] characterized thirteen *primitive relations* between the events, see Figure 5.1. A *relation* is a set of several primitive relations. For some pairs of events, we specify relations in which they can occur. For example, we can specify for events  $x$  and  $y$  that either  $x$  is before  $y$ , or  $x$  is during  $y$ .

We want to find intervals representing the events such that all the specified relations are satisfied. This is called the *interval satisfiability problem*. Vilian and Kautz [47] proved that this problem is **NP**-complete. Golumbic and Shamir [18] gave a more simple proof, using the interval graph sandwich problem.

Notice that we can describe  $\text{REPEXT}(\text{INT})$  and  $\text{REPEXT}(\text{PROPER INT})$  in the settings of Allen Algebras. In the case of  $\text{REPEXT}(\text{INT})$ , we do it in the following way. If vertices are non-adjacent, we assign them the relation  $\{\text{before}, \text{after}\}$ . If they are adjacent, we assign the complement of the previous relation. For pairs of pre-drawn intervals, we give singleton relations. Similarly, we can specify  $\text{REPEXT}(\text{PROPER INT})$ .

But these more general problem are **NP**-complete, so they do not help in solving  $\text{REPEXT}(\text{INT})$  and  $\text{REPEXT}(\text{PROPER INT})$ . On the other hand, the results presented in this thesis show that some very specific problems concerning Allen Algebras are

polynomially solvable.

### 5.3 Open Problems

We state several open problems.

**Different Approach?** The formulation of the first problem is not very precise. As we already described in the introduction, all the algorithm for solving partial representation extension problems we know are based on the following principle. First, we use some (known) way how to find all possible representations of an input graph. Then we derive some necessary conditions from the partial representations. Moreover, we show that these conditions are sufficient. Then, we test these conditions on all possible representations of the graph. If some representation satisfies them, we can use this representation to extend the input partial representation.

In the case of **INT**, we use PQ-trees. For **PROPER INT** and **UNIT INT**, we use uniqueness of the representation. The algorithms for extension of comparability, permutation and function graphs is based on properties of modular decomposition [25]. Also, the extension algorithm for planar graphs [2] uses SPQR-trees to work with all possible representations of the given planar graph.

**Question 5.2.** *Is it possible to solve some REPEXT problem more “directly”, without “testing” all possible representations?*

**Faster Algorithm for Unit Interval Graphs.** Concerning Chapter 3, we give only one open problem:

**Problem 5.3.** *Is it possible to solve REPEXT(UNIT INT) in time  $\mathcal{O}(r)$ , where  $r$  is the size of the input?*

**Two Problems for Chapter 4.** One of the main goals of Chapter 4 is to stimulate future research in this area. Therefore, we give two open problems.

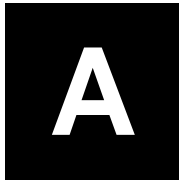
The first problems concerns the only open case in the table in Figure 1.7.

**Problem 5.4.** *What is complexity of REPEXT(P-in-T, BOTH)?*

Concerning parametrized complexity, we believe it is useful to first attack problems related to interval graphs. This allows to develop tools for more complicated chordal graphs. A generalization of Theorem 1.7 and Corollary 4.12 for **P-in-P** seems to be particularly interesting. The PQ-tree approach seems to be a good starting point.

**Problem 5.5.** *Does REPEXT(P-in-P, FIXED) belong to XP with respect to  $k$  where  $k$  is the number of pre-drawn intervals?*





# Algorithms

In this appendix, we give pseudocodes of the main algorithms of this thesis. These pseudocodes are not very detail and not written formally in any programming language. They are meant to be overviews of all important steps done in each algorithm.

## A.1 Reordering PQ-tree, General Partial Order

The pseudocode is in Algorithm 1. It is described in Section 2.1.1.

---

**Algorithm 1** Reordering a PQ-tree – REORDER( $T, \triangleleft$ )

---

**Require:** A PQ-tree  $T$  and a partial ordering  $\triangleleft$ .

**Ensure:** A reordering  $T'$  of  $T$  such that  $\triangleleft_{T'}$  extends  $\triangleleft$  if it exists.

- 1: Construct a digraph of  $\triangleleft$ .
  - 2: Process the nodes of  $T$  from bottom to the root:
  - 3: **for** a processed node  $N$  **do**
  - 4:   Consider the subdigraph induced by the children of  $N$ .
  - 5:   **if** the node  $N$  is a P-node **then**
  - 6:     Find a topological sorting of the subdigraph.
  - 7:     If it exists, reorder  $N$  according to it, otherwise output “no”.
  - 8:   **else if** the node  $N$  is a Q-node **then**
  - 9:     Test whether the current ordering or its reversal is compatible with the subdigraph.
  - 10:    If yes, reorder the node, otherwise output “no”.
  - 11:   **end if**
  - 12:   Contract the subdigraph into a single vertex.
  - 13: **end for**
  - 14: **return** A reordering  $T'$  of  $T$ .
-

## A.2 Reordering PQ-tree, Interval Order

The pseudocode is in Algorithm 2. The description is in Section 2.1.2.

---

**Algorithm 2** Reordering a PQ-tree, interval order – REORDER( $T, \triangleleft$ )

---

**Require:** A PQ-tree  $T$  and an interval order  $\triangleleft$  (with a normalized representation).

**Ensure:** A reordering  $T'$  of  $T$  such that  $\triangleleft_{T'}$  extends  $\triangleleft$  if it exists.

- 1: Calculate handles for each individual interval.
  - 2: Process the nodes from bottom to the root:
  - 3: **for** a processed node  $N$  **do**
  - 4:   Let the subtrees of  $N$  represent sets  $\mathcal{I}_1, \dots, \mathcal{I}_k$ .
  - 5:   Sort their lower and upper handles from left to right.
  - 6:   **if** the node  $N$  is a P-node **then**
  - 7:     Find any topological sorting by repeated removing of minimal elements.
  - 8:     If it exists, reorder  $N$  according to it, otherwise output “no”.
  - 9:   **else if** the node  $N$  is a Q-node **then**
  - 10:     Test whether the current ordering or its reversal is compatible.
  - 11:     Process the ordering from left to right, check for every element whether it is minimal and remove its handles from the common order of handles.
  - 12:     If one ordering is compatible, reorder the node, otherwise output “no”.
  - 13:   **end if**
  - 14:   Compute handles for  $\mathcal{I} = \mathcal{I}_1 \cup \dots \cup \mathcal{I}_k$ .
  - 15: **end for**
  - 16: **return** A reordering  $T'$  of  $T$ .
- 

## A.3 Extending Interval Graphs

The pseudocode is in Algorithm 3. It is described in Section 2.2.

---

**Algorithm 3** Extending Interval Graphs – REPEXT(INT)

---

**Require:** An interval graph  $G$  and a partial representation  $\mathcal{R}'$ .

**Ensure:** A representation  $\mathcal{R}$  extending  $\mathcal{R}'$  if it exists.

- 1: Compute maximal cliques and construct a PQ-tree.
  - 2: Compute  $\curvearrowright$  and  $\curvearrowleft$  and construct an interval order  $\triangleleft$ .
  - 3: Use Algorithm 2 to reorder the PQ-tree according  $\triangleleft$ .
  - 4: If any of these steps fails, no representation exists and output “no”.
  - 5: Place the clique-point in order from left to right, as far to the left as possible.
  - 6: Construct a representation  $\mathcal{R}$ , using the clique-points.
  - 7: **return** A representation  $\mathcal{R}$  extending  $\mathcal{R}'$ .
-

## A.4 Extending Proper Interval Graphs

The pseudocode is in Algorithm 4. The description is in Section 3.1.

---

**Algorithm 4** Extending Proper Interval Graphs – REPEXT(PROPER INT)

---

**Require:** A proper interval graph  $G$  and a partial representation  $\mathcal{R}'$ .

**Ensure:** A representation  $\mathcal{R}$  extending  $\mathcal{R}'$  if it exists.

```
1: if pre-drawn intervals of some component do not appear consecutively then
2:   Return “no”,  $\mathcal{R}'$  is not extendible.
3: end if
4: Assign to the components pairwise disjoint open segments containing their pre-
   drawn intervals.
5: for each component do
6:   Construct the partial orderings  $<$  and  $<_{G'}$ .
7:   if there exists an ordering  $\triangleleft$  extending  $<_{G'}$ , and  $<$  or its reversal then
8:     Construct a representation of the component in its open segment as follows.
9:     Compute a common ordering  $\triangleleft$ , starting with  $\ell_1 \triangleleft \cdots \triangleleft \ell_n$ :
10:    for each  $r_i$  do
11:      Insert  $r_i$  right before  $\ell_j$  such that  $v_j$  is the first non-neighbor of  $v_i$  on the
      right (or append  $r_i$  to the end, if  $v_j$  does not exist).
12:    end for
13:    Using  $\triangleleft$ , construct a representation of the component by placing non-pre-
      drawn endpoints equidistantly into the gaps.
14:   else
15:     Return “no”,  $\mathcal{R}'$  is not extendible.
16:   end if
17: end for
18: return A representation  $\mathcal{R}$  extending  $\mathcal{R}'$ .
```

---

## A.5 Bounded Representation – LP Approach

The pseudocode is in Algorithm 5. The description is in Section 3.3.1.

---

**Algorithm 5** Bounded Representation of Unit Interval Graphs – BOUNDREP

---

**Require:** A unit interval graph  $G$ , bound constraints and ordering  $\blacktriangleleft$ .

**Ensure:** A representation  $\mathcal{R}$  in the ordering  $\blacktriangleleft$  satisfying the bounds if it exists.

- 1: Compute the value  $\varepsilon$  according to the bounds  $\frac{p_1}{q_1}, \dots, \frac{p_b}{q_b}$ :

$$\varepsilon' := \frac{1}{\text{lcm}(q_1, q_2, \dots, q_b)}, \quad \text{and} \quad \varepsilon := \frac{\varepsilon'}{n}.$$

- 2: Process the components  $C_1, \dots, C_c$  according to  $\blacktriangleleft$ .
  - 3: **for** a component  $C_t$  **do**
  - 4:   Find the ordering  $<$ .
  - 5:   Construct linear orderings  $\triangleleft$  for  $<$  and its reversal.
  - 6:   For each ordering, solve one linear program using the previous value  $E_{t-1}$ .
  - 7:   **if** at least one program has a solution **then**
  - 8:     Use the solution minimizing the value of  $E_t$  of the linear program.
  - 9:   **else**
  - 10:     No representation exists, output “no”.
  - 11:   **end if**
  - 12: **end for**
  - 13: **return** A representation  $\mathcal{R}$  in the ordering  $\blacktriangleleft$  satisfying the bounds.
- 

## A.6 Extending Unit Interval Graphs

The pseudocode is in Algorithm 6. The description is in Section 3.4.

---

**Algorithm 6** Extending Unit Interval Graphs – REPEXT(UNIT INT)

---

**Require:** A unit interval graph  $G$  and a partial representation  $\mathcal{R}'$ .

**Ensure:** A representation  $\mathcal{R}$  extending  $\mathcal{R}'$  if it exists.

- 1: Deal with unlocated components separately, using the standard algorithm.
- 2: Construct the ordering  $\blacktriangleleft$  for located components.
- 3: Set bounds exactly for each pre-drawn interval  $v_i$  at position  $\ell_i$ , set

$$\text{lbound}(v_i) = \text{ubound}(v_i) = \ell_i.$$

- 4: Solve the located components using the bounded representation problem.
  - 5: If no bounded representation exists, output “no”.
  - 6: **return** A representation  $\mathcal{R}$  extending  $\mathcal{R}'$ .
-

## A.7 Bounded Representation – Shifting Algorithm

The pseudocode is in Algorithm 7. It is described in Section 3.3.5.

---

**Algorithm 7** Bounded Representation of Unit Interval Graphs – BOUNDRP

---

**Require:** A unit interval graph  $G$ , bound constraints and ordering  $\blacktriangleleft$ .

**Ensure:** A representation  $\mathcal{R}$  in the ordering  $\blacktriangleleft$  satisfying the bounds if it exists.

- 1: Construct a pruned graph, as described in Section 3.3.4.
- 2: Compute the value  $\varepsilon$  according to the bounds  $\frac{p_1}{q_1}, \dots, \frac{p_b}{q_b}$ :

$$\varepsilon' := \frac{1}{\text{lcm}(q_1, q_2, \dots, q_b)}, \quad \text{and} \quad \varepsilon := \frac{\varepsilon'}{n^2}.$$

- 3: Process the components  $C_1, \dots, C_c$  according to  $\blacktriangleleft$ .
  - 4: **for** a component  $C_t$  **do**
  - 5:   Find the ordering  $\triangleleft$  (which is the same as  $<$  before).
  - 6:   For  $\triangleleft$  and its reversal, construct the left-most representation (with additional lower bound  $E_{t-1}$ ).
  - 7:   Precompute rounded lower bounds.
  - 8:   Construct an initial representation using [8].
  - 9:   Proceed the first phase using the LEFTSHIFTprocedure.
  - 10:   Proceed the second phase using the LEFTSHIFTprocedure.
  - 11:   **for** each LEFTSHIFT( $v_i$ ) **do**
  - 12:     **if**  $\bar{\ell}_i$  is the strict maximum **then**
  - 13:       Shift  $v_i$  to position  $\bar{\ell}_i$ .
  - 14:     **else**
  - 15:       The interval  $v_i$  becomes fixed.
  - 16:       Compute precise and rounded positions of  $v_i$ .
  - 17:       Remove  $\beta_i$  from the position cycle.
  - 18:     **end if**
  - 19:   **end for**
  - 20:   **if** at least one left-most representation satisfies the upper bounds **then**
  - 21:     Use the left-most representation minimizing the value of  $E_t$ .
  - 22:   **else**
  - 23:     No representation exists, output “no”.
  - 24:   **end if**
  - 25: **end for**
  - 26: **return** A representation  $\mathcal{R}$  in the ordering  $\blacktriangleleft$  satisfying the bounds.
-

## A.8 Subpath-in-Path Graphs – Sub Type Extension

The pseudocode is in Algorithm 8. The description is in Section 4.1.1.

---

**Algorithm 8** Sub Type Extension of (Proper) Subpath-in-Path Graphs

---

**Require:** A (proper) subpath-in-path graph  $G$  and a partial representation  $\mathcal{R}'$ .

**Ensure:** A representation  $\mathcal{R}$  extending  $\mathcal{R}'$  if it exists.

- 1: **if** unlocated components can be placed **then**
  - 2:   Place them and ignore them in the rest of the algorithm.
  - 3: **else**
  - 4:   No representation exists, output “no”.
  - 5: **end if**
  
  - 6: **if** some subpath contains  $p_1$  **then**
  - 7:   Add  $p_0, v_{\leftarrow}$  (and  $\bar{p}_0$  for PROPER P-in-P).
  - 8: **end if**
  - 9: **if** some subpath contains  $p_t$  **then**
  - 10:   Add  $p_{t+1}, v_{\rightarrow}$  (and  $\bar{p}_{t+1}$  for PROPER P-in-P).
  - 11: **end if**
  
  - 12: Apply the algorithm for type BOTH.
  - 13: Deal with vertices created by subdivision of  $p_0p_1$  and  $p_t p_{t+1}$  if necessary.
  - 14: Modify the representation to construct  $\mathcal{R}$ .
  - 15: **return** A representation  $\mathcal{R}$  extending  $\mathcal{R}'$ .
- 

## A.9 Proper Subpath-in-Path Graphs by Bin Packing

The pseudocode is in Algorithm 9. It is described in Section 4.1.3.

---

**Algorithm 9** Sub Type Extension of Subpath-in-Path Graphs

---

**Require:** A proper subpath-in-path graph  $G$  and a partial representation  $\mathcal{R}'$  having  $k$  pre-drawn intervals.

**Ensure:** A representation  $\mathcal{R}$  extending  $\mathcal{R}'$  if it exists.

- 1: Test all  $2^k$  possible orderings of located components.
  - 2: **for** each ordering **do**
  - 3:   Place the located components according to the ordering and minimum spans.
  - 4:   Distribute unlocated components into gaps using BINPACKING.
  - 5: **end for**
  - 6: **if** no ordering works **then**
  - 7:   No representation exists, output “no”.
  - 8: **end if**
  
  - 9: **return** A representation  $\mathcal{R}$  extending  $\mathcal{R}'$ .
-



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